

Tennessee Secretary of State
Tre Hargett



Division of Business and Charitable Organizations
312 Rosa L. Parks Avenue, 6th Floor
Nashville, Tennessee 37243-1102

June 09, 2023

Ms. BARBARA HARRIS
201 VENTURE CIRCLE
NASHVILLE, TN 37228

RE: Registration to Solicit Funds for Charitable Purposes
Organization Name: SOUTHEAST COMMUNITY CAPITAL CORPORATION
CO Number: CO9935
Renewal Date: 06/30/2024

Dear Ms. BARBARA HARRIS :

Pursuant to the Tennessee Charitable Solicitations Act, T.C.A. § 48-101-501, *et seq.* the Tennessee Secretary of State has reviewed your application and is pleased to announce your organization's registration to solicit contributions has been **approved**.

The organization must maintain statutory compliance by submitting a renewal application and required fees on an annual basis. At that time you may be required to submit tax filings, financial statements, proof of IRS status, and other documents related to your organization and its fundraising activities. You can find additional information and submit additional filings online at <https://sos.tn.gov/charities>. The "CO" Number listed above will serve as your organization's charitable registration number and should be used when submitting any charitable filings or correspondence.

Please also be advised that if the organization's application or other provided information includes false, misleading or deceptive statements, appropriate action will be taken. Pursuant to the Tennessee Charitable Solicitations Act, a civil penalty of up to five thousand dollars (\$5,000.00) may be assessed for any violation.

Thank you for registering your organization and please do not hesitate to contact us with any questions.

Sincerely,

Tre Hargett
Secretary of State

Tracking Number
2023113627

Application to Renew Registration of a Charitable Organization



Tre Hargett
Secretary of State

Division of Business and Charitable Organizations

Department of State

State of Tennessee
312 Rosa L. Parks Avenue, 6th Floor
Nashville, Tennessee 37243
Phone: 615-741-2555
Fax: 615-253-5173
sos.tn.gov/charities

CO Number: CO9935
Filed: 06/02/2023 11:25 AM
Tre Hargett
Secretary of State

Organization Information

Legal Name of the Charitable Organization: SOUTHEAST COMMUNITY CAPITAL CORPORATION

Legal entity type of the Organization: Corporation

Business Services Control Number: 000381683

FEIN: 62-1823596

Initial Registration Date: 05/19/2009

Renewal Date: 06/30/2023

Has your fiscal year ending month changed since your last renewal?

☐ Yes ☒ No

Fiscal Year Ending Month: December

When and where was the organization legally established

Date: 12/23/1999

Country: USA

City/State: OAK RIDGE, TN

County: Anderson

Has your Principal Office address changed since your last renewal?

☐ Yes ☒ No

Principal Office Address

201 VENTURE CIRCLE
USA, NASHVILLE, TN 37228

Has your Mailing address changed since your last renewal?

☐ Yes ☒ No

Mailing Office Address

201 VENTURE CIRCLE
USA, NASHVILLE, TN 37228

Contact Information for the Charitable Organization

Contact Name: Ms. BARBARA HARRIS

Telephone Number: (615) 425-7171

Fax Number: (615) 425-7172

Email: accountspayable@pathwaylending.org

Website: www.pathwaylending.org

Current names used by the charity organization

PATHWAY LENDING

PATHWAY WOMEN'S BUSINESS CENTER

Do you need to modify other names that the charity solicits under?

☐ Yes ☒ No

Has the organization registered in any other state(s)?

☒ Yes ☐ No

Other States

VA, AL, AR, GA, KY, MS, NC

Does the charity have other offices, chapters, branches, affiliates or a parent?

☐ Yes ☒ No

The category that best describes your organization

W - Public Affairs, Society Benefit

The charitable purpose of the organization

Contributions are used for lending to small businesses and energy efficiency projects, loan loss reserves and operating expenses as allowed by contracts. In turn, these small businesses are given technical assistance to help them become a sustainable and viable business. Further, with the addition of the Women's Business Center grant from the SBA, Pathway now offers business educational classes for persons interested in starting a business, those seeking to grow their businesses, or those who need some type of business coaching that will create jobs and/or grow their business.

Tax & Financial Information

Has your tax exempt status changed since your last renewal?

☐ Yes ☒ No

Last Fiscal Year Start: January 2022

Last Fiscal Year End: December 2022

Type of 990 Tax Form Filed: 990 (Long Form)

Gross Revenue

Direct and Indirect Public Contributions	\$ 9,548,119.00
Government Grants	\$ 13,749,728.00
Program Service Revenue	\$ 9,225,414.00
Special Events and Activities	\$ 0.00
Gross Sales of Inventory	\$ 0.00
Other Revenue	\$ 1,927,632.00
Total Revenue	\$ 34,450,893.00

Expenses

Total Program Expenses	\$ 28,412,043.00
Direct Expenses from Special Events	\$ 0.00
Cost of Goods Sold	\$ 0.00
Management and General Expenses	\$ 1,054,078.00
Fundraising Expenses	\$ 11,230.00
Other Expenses	\$ 97,979.00
Total Expenses	\$ 29,575,330.00
Excess/Deficit For the Year (Total Revenue - Total Expenses)	\$ 4,875,563.00

Changes in Net Assets/Fund Balances

Net Assets/Fund Balances at Beginning of Year	\$ 43,419,965.00
Other Changes in Net Assets or Fund Balances	\$ 0.00
Net Assets/Fund Balances	\$ 48,295,528.00
Total Liabilities at End of Year	\$ 235,281,850.00
Net Assets/Fund Balances at End of Year	\$ 48,295,528.00

Solicitation Information

Have you been enjoined by any court from soliciting contributions?

☐ Yes ☒ No

Does your organization contract with or otherwise engage the services of any outside fundraising professional (such as a “professional fund-raiser,” “paid solicitor,” “fund raising counsel,” or “commercial co-venturer”)?

☐ Yes ☒ No

Officer Information

Do you need to modify the current officers?

☒ Yes ☐ No

List each officer, director, and trustee (at least 2 officers are required, and you must list officers who have or share the following titles: "Chief Financial Officer", "Custodian of Contributions", "Custodian of Final Distributions")

Amy Bunton
201 Venture Circle
Nashville, TN 37228, USA
Title(s): Officer

Andre Gist
228 Rush Dr
Lexington, TN 38351, USA
Title(s): Director

Barbara Harris
201 Venture Circle
Nashville, TN 37228, USA
Title(s): Chief Financial Officer, Custodian of Contributions, Custodian of Final Distributions, Director

Cindy Herron
26 Century Blvd, Suite 100
Nashville, TN 37214, USA
Title(s): Director

Mr. Clint Gwin
201 Venture Circle
Nashville, TN 37228, USA
Title(s): Chief Executive Officer, Custodian of Contributions, Custodian of Final Distributions, President

Dave Berezov
2301 Vanderbilt Place, Station B 351518
Nashville, TN 37235, USA
Title(s): Director

Holland Helton
201 Venture Circle
Nashville, TN 37228, USA
Title(s): Secretary, Officer

Hugh Queener
150 - 4th Ave. North, Suite G-150
Nashville, TN 37219, USA
Title(s): Director

Ivanetta Davis-Samuels
1005 Dr. D.B. Todd Blvd
Nashville, TN 37208, USA
Title(s): Director

Jon Davies
1900 Fifth Ave N, 9th Floor
Birmingham, AL 35203, USA
Title(s): Director

Tom Hunter
457 Riceland Rd
Lebanon, TN 37090, USA
Title(s): Director

William H Byrd
1610 University Ave
Knoxville, TN 37921, USA
Title(s): Chairman

Has any officer, director, manager, operator, or principal of the organization been the subject of an injunction, judgement, or administrative order or been convicted of a felony?

☐ Yes ☒ No

Signature

I certify that the statements in this registration statement and all supplemental forms, documents, and continuation sheets are true and correct to the best of my knowledge and belief.

I (Chief Fiscal Officer, Treasurer, or Officer) certify, under penalty of perjury, that the above information is true and correct.

Signed Electronically: Barbara Harris

Date: 06/02/2023

Title: Accountant

I certify that the statements in this registration statement and all supplemental forms, documents, and continuation sheets are true and correct to the best of my knowledge and belief.

I (Chief Fiscal Officer, Treasurer, or Officer) certify, under penalty of perjury, that the above information is true and correct.

Signed Electronically: Clinton Bird Gwin

Date: 06/02/2023

Title: Chief Executive Officer



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Secretary of State

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Phone: 615-741-2555
Fax: 615-253-5173
sos.tn.gov/charities

Date: 06/02/2023

Invoice: 2023-04217

Customer Information

Ms. BARBARA HARRIS
SOUTHEAST COMMUNITY CAPITAL CORPORATION
201 VENTURE CIRCLE
NASHVILLE, 37228

Tracking Number	Description	Amount Paid
2023113627	CH Charitable Renewal	\$ 10.00
Payment Details		
Fee Total:		\$ 20.00
Payment Total:		\$ 10.00
Amount Due:		\$ 0.00
Payment Method		
Payment Type: eCheck		
Check/Confirmation Number: 3852239529		