

EXTENDED TO FEBRUARY 15, 2017

Form **990****Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990.A For the 2015 calendar year, or tax year beginning **APR 1, 2015** and ending **MAR 31, 2016**

B Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization

HARPETH RIVER WATERSHED ASSOCIATION, INC

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

PO BOX 1127

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

FRANKLIN, TN 37065F Name and address of principal officer: **DORENE BOLZE****215 JAMESTOWN PARK, BRENTWOOD, TN 37027**

D Employer identification number

62-1802858

E Telephone number

(615) 790-9767G Gross receipts \$ **517,183.**H(a) Is this a group return for subordinates? ☐ Yes ☒ NoH(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527J Website: ▶ **WWW.HARPETHRIVER.ORG**K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶L Year of formation: **1999** M State of legal domicile: **TN****Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: TO PROTECT AND RESTORE THE STATE SCENIC HARPETH RIVER AND CLEAN WATER IN TENNESSEE			
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.			
	3	Number of voting members of the governing body (Part VI, line 1a)	3	5	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	5	
	5	Total number of individuals employed in calendar year 2015 (Part V, line 2a)	5	7	
	6	Total number of volunteers (estimate if necessary)	6	250	
	Revenue	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b		Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
8		Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year	
9		Program service revenue (Part VIII, line 2g)	437,740.	438,853.	
10		Investment income (Part VIII, column (A), lines 3, 4, and 7d)	5,875.	4,995.	
11		Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	16.	<34,143.>	
12		Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	<2,497.>	<5,172.>	
13		Grants and similar amounts paid (Part IX, column (A), lines 1-3)	441,134.	404,533.	
14		Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.	
Expenses		15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	292,510.	265,942.	
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 35,267.	0.	0.	
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	128,295.	155,761.	
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	420,805.	421,703.	
	19	Revenue less expenses. Subtract line 18 from line 12	20,329.	<17,170.>	
	Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
		21	Total liabilities (Part X, line 26)	189,752.	155,322.
		22	Net assets or fund balances. Subtract line 21 from line 20	20,520.	3,260.
				169,232.	152,062.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date			
	DORENE BOLZE, EXECUTIVE DIRECTOR	12/22/2016			
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	Firm's name ▶	Firm's EIN ▶			
	Firm's address ▶	Phone no.			

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☐**1** Briefly describe the organization's mission:**TO PROTECT AND RESTORE THE STATE SCENIC HARPETH RIVER AND CLEAN WATER
IN TENNESSEE - SEE ATTACHED NARRATIVE DESCRIPTION****2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code:) (Expenses \$ **126,034.** including grants of \$) (Revenue \$ **4,995.**)
**RIVER RESTORATION AND WILDLIFE PROTECTION - SEE ATTACHED NARRATIVE
DESCRIPTION****4b** (Code:) (Expenses \$ **185,344.** including grants of \$) (Revenue \$)
WATER QUALITY PROTECTION - SEE ATTACHED NARRATIVE DESCRIPTION**4c** (Code:) (Expenses \$ **59,309.** including grants of \$) (Revenue \$)
OUTREACH, EDUCATION & RECREATION - SEE ATTACHED NARRATIVE DESCRIPTION**4d** Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses **370,687.**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	1 X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	2 X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3	X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4	X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	5	X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	6	X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	7	X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8	X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9	X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	10	X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	11a X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	11b X	
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	11c	X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	11d	X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	11e X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	11f X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	12a X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	12b	X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13	X
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	14b	X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	15	X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>	16	X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	17	X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	18 X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	19	X

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Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		X
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

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Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	4	
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	7	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the sponsoring organization make any taxable distributions under section 4966?		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒**Section A. Governing Body and Management**

	1a	1b	2	3	4	5	6	7a	7b	8a	8b	9	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	5													
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.														
b Enter the number of voting members included in line 1a, above, who are independent		5												
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?														X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?														X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?														X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?														X
6 Did the organization have members or stockholders?														X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?														X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?														X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:														
a The governing body?										X				
b Each committee with authority to act on behalf of the governing body?										X				
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O														X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	10a	10b	11a	12a	12b	12c	13	14	15a	15b	16a	16b	Yes	No
10a Did the organization have local chapters, branches, or affiliates?														X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?														
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?			X											
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.														
12a Did the organization have a written conflict of interest policy? If "No," go to line 13				X										
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?					X									
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done						X								
13 Did the organization have a written whistleblower policy?							X							X
14 Did the organization have a written document retention and destruction policy?							X							
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?														
a The organization's CEO, Executive Director, or top management official									X					
b Other officers or key employees of the organization									X					
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).														
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?												X		
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?														

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **TN**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☒ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records: **DORENE BOLZE - (615) 790-9767**
215 JAMESTOWN PARK, SUITE 101, BRENTWOOD, TN 37027

[illegible]

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
1b Sub-total								80,000.	0.	0.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								80,000.	0.	0.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c	64,149.			
	d Related organizations	1d				
	e Government grants (contributions)	1e	46,972.			
	f All other contributions, gifts, grants, and similar amounts not included above	1f	327,732.			
	g Noncash contributions included in lines 1a-1f: \$		32,909.			
	h Total. Add lines 1a-1f		438,853.			
Program Service Revenue	2 a <u>SERVICE REVENUE</u>	Business Code 541700	4,995.	4,995.		
	b					
	c					
	d					
	e					
	f All other program service revenue					
	g Total. Add lines 2a-2f		4,995.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		21.			21.
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6 a Gross rents	(i) Real (ii) Personal				
	b Less: rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
	b Less: cost or other basis and sales expenses		34,164.			
	c Gain or (loss)		<34,164.>			
	d Net gain or (loss)		<34,164.>	<34,164.>		
	8 a Gross income from fundraising events (not including \$ 64,149. of contributions reported on line 1c). See Part IV, line 18	a	72,954.			
	b Less: direct expenses	b	78,486.			
	c Net income or (loss) from fundraising events		<5,532.>			<5,532.>
	9 a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities					
	10 a Gross sales of inventory, less returns and allowances	a				
	b Less: cost of goods sold	b				
c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code				
11 a <u>OTHER INCOME</u>	900099	360.			360.	
b						
c						
d All other revenue						
e Total. Add lines 11a-11d		360.				
12 Total revenue. See instructions.		404,533.	<29,169.>	0.	<5,151.>	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 ...				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	80,000.	68,000.	8,000.	4,000.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	163,876.	138,002.		25,874.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes	22,066.	18,639.	362.	3,065.
11 Fees for services (non-employees):				
a Management				
b Legal	675.	675.		
c Accounting	3,282.		3,282.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	38,854.	38,806.	24.	24.
12 Advertising and promotion	2,174.	2,174.		
13 Office expenses	1,591.	1,344.	247.	
14 Information technology	5,510.	5,510.		
15 Royalties				
16 Occupancy	29,543.	26,669.	1,437.	1,437.
17 Travel	5,554.	5,492.	62.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	298.	298.		
23 Insurance	5,792.	5,218.	287.	287.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a LICENSE PLATES	21,261.	21,261.		
b POSTAGE AND PRINTING	15,876.	15,390.		486.
c CONTRACT LABOR	12,391.	11,516.	875.	
d DUES, SUBSCRIPTIONS AND	3,985.	2,859.	1,126.	
e All other expenses	8,975.	8,834.	47.	94.
25 Total functional expenses. Add lines 1 through 24e	421,703.	370,687.	15,749.	35,267.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	97,435.	1	23,952.
	2 Savings and temporary cash investments		2	
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net	14,646.	4	69,881.
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges		9	3,261.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 10,459.		
	b Less: accumulated depreciation	10b 9,464.		
		35,457.	10c	995.
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11	42,214.	12	57,233.
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
15 Other assets. See Part IV, line 11		15		
16 Total assets. Add lines 1 through 15 (must equal line 34)	189,752.	16	155,322.	
Liabilities	17 Accounts payable and accrued expenses	5,841.	17	1,687.
	18 Grants payable		18	
	19 Deferred revenue	13,534.	19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	1,145.	25	1,573.
	26 Total liabilities. Add lines 17 through 25	20,520.	26	3,260.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	162,057.	27	116,364.
	28 Temporarily restricted net assets	7,175.	28	35,698.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	169,232.	33	152,062.
34 Total liabilities and net assets/fund balances	189,752.	34	155,322.	

Form 990 (2015)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	404,533.
2	Total expenses (must equal Part IX, column (A), line 25)	2	421,703.
3	Revenue less expenses. Subtract line 2 from line 1	3	<17,170.>
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	169,232.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	152,062.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☒

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	2a	X
b Were the organization's financial statements audited by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	2b	X
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____ If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	2c	X
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? _____	3a	X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits _____	3b	

Form 990 (2015)

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.

2015

Open to Public Inspection

HARPETH RIVER WATERSHED ASSOCIATION, INC

Employer identification number
62-1802858

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Total

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. 532021 09-23-15

Schedule A (Form 990 or 990-EZ) 2015

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	342,889.	459,062.	416,555.	437,740.	438,853.	2095099.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	342,889.	459,062.	416,555.	437,740.	438,853.	2095099.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						626,942.
6 Public support. Subtract line 5 from line 4.						1468157.

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
7 Amounts from line 4	342,889.	459,062.	416,555.	437,740.	438,853.	2095099.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	49.	28.	28.	16.	21.	142.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	97,879.	89,065.	43,105.	71,571.	73,314.	374,934.
11 Total support. Add lines 7 through 10						2470175.
12 Gross receipts from related activities, etc. (see instructions)					12	39,474.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f))	14	59.44 %
15 Public support percentage from 2014 Schedule A, Part II, line 14	15	63.36 %
16a 33 1/3% support test - 2015. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
b 33 1/3% support test - 2014. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
17a 10% -facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		
b 10% -facts-and-circumstances test - 2014. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		

Schedule A (Form 990 or 990-EZ) 2015

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2014 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2014 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2015. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box in line 11 on Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No" describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 11a or 11b in Part I, answer (b) and (c) below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
11a		
b A family member of a person described in (a) above?		
11b		
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI .		
11c		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
1		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		
2		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		
1		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
1		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
2		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		
3		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	Yes	No
2a		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
2b		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI .		
3a		
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		
3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8		

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other factors (explain in detail in Part VI):			
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		

Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3	4		
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		
7 <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).			

Schedule A (Form 990 or 990-EZ) 2015

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions		Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes	
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	
4	Amounts paid to acquire exempt-use assets	
5	Qualified set-aside amounts (prior IRS approval required)	
6	Other distributions (describe in Part VI). See instructions.	
7	Total annual distributions. Add lines 1 through 6.	
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9	Distributable amount for 2015 from Section C, line 6	
10	Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required-see instructions)			
3 Excess distributions carryover, if any, to 2015:			
a			
b			
c			
d From 2013			
e From 2014			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2015 distributable amount			
i Carryover from 2010 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2015 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2015 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2015, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).			
6 Remaining underdistributions for 2015. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).			
7 Excess distributions carryover to 2016. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a			
b			
c Excess from 2013			
d Excess from 2014			
e Excess from 2015			

Schedule A (Form 990 or 990-EZ) 2015

Supplemental Information.

(See instructions.)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2015

Name of the organization

HARPETH RIVER WATERSHED ASSOCIATION, INC

Employer identification number

62-1802858

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☒ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization HARPETH RIVER WATERSHED ASSOCIATION, INC	Employer identification number 62-1802858
---	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>		\$ <u>30,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>2</u>		\$ <u>20,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>3</u>		\$ <u>51,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>4</u>		\$ <u>15,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>5</u>		\$ <u>19,250.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>6</u>		\$ <u>58,350.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization HARPETH RIVER WATERSHED ASSOCIATION, INC	Employer identification number 62-1802858
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>7</u>		\$ <u>14,857.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>8</u>		\$ <u>31,742.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>9</u>		\$ <u>20,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>10</u>		\$ <u>15,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>11</u>		\$ <u>45,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>12</u>		\$ <u>10,600.</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

62-1802858

Part II

[illegible]

Name of organization	Employer identification number
HARPETH RIVER WATERSHED ASSOCIATION, INC	62-1802858

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

SCHEDULE D
(Form 990)Department of the Treasury
Internal Revenue Service**Supplemental Financial Statements**▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**▶ **Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.**

OMB No. 1545-0047

2015Open to Public
Inspection

Name of the organization

HARPETH RIVER WATERSHED ASSOCIATION, INC

Employer identification number

62-1802858**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of a historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1

▶ \$

(ii) Assets included in Form 990, Part X

▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1

▶ \$

b Assets included in Form 990, Part X

▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items

(check all that apply):

- a** ☐ Public exhibition **d** ☐ Loan or exchange programs
- b** ☐ Scholarly research **e** ☐ Other _____
- c** ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.**5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assetsto be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.**1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No**b** If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No**b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment ☐ %
- b** Permanent endowment ☐ %
- c** Temporarily restricted endowment ☐ %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** unrelated organizations
- (ii)** related organizations

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? ☐

	Yes	No
3a(i)		
3a(ii)		
3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment	10,459.		9,464.	995.
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				995.

Schedule D (Form 990) 2015

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) BROKERAGE ACCOUNT	57,233.	END-OF-YEAR MARKET VALUE
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶	57,233.	

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
(2) DUE TO HVRP FUND	1,145.	
(3) DUE TO WHCAF FUND	428.	
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	1,573.	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2015

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	852,317.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	
b	Donated services and use of facilities	2b	335,134.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	78,486.
e	Add lines 2a through 2d	2e	413,620.
3	Subtract line 2e from line 1	3	438,697.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	<34,164.>
c	Add lines 4a and 4b	4c	<34,164.>
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	404,533.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	869,487.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	335,134.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	112,650.
e	Add lines 2a through 2d	2e	447,784.
3	Subtract line 2e from line 1	3	421,703.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	421,703.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2:

THE ORGANIZATION FOLLOWS THE GUIDANCE IN ASC 740 ON ACCOUNTING FOR
 UNCERTAINTY IN INCOME TAXES (FORMERLY FIN 48). FOR ALL TAX POSITIONS TAKEN
 BY THE ORGANIZATION, MANAGEMENT BELIEVES IT IS CLEAR THAT THE LIKELIHOOD
 IS GREATER THAN 50 PERCENT THAT THE FULL AMOUNT OF THE TAX POSITIONS TAKEN
 WILL BE ULTIMATELY REALIZED. THE ORGANIZATION INCURRED NO INTEREST OR
 PENALTIES DURING THE YEAR ENDED MARCH 31, 2016.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

EVENT EXPENSES OF \$78,486 NETTED AGAINST REVENUES PER THE 78,486.
 AUDITED FINANCIAL STATEMENTS, BUT SHOWN SEPARATELY PER THE 990.

Part XIII Supplemental Information (continued)

PART XI, LINE 4B - OTHER ADJUSTMENTS:

LOSS ON DISPOSAL OF FIXED ASSETS -34,164.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

EVENT EXPENSES OF \$78,486 NETTED AGAINST REVENUES PER THE 78,486.

AUDITED FINANCIAL STATEMENTS, BUT SHOWN SEPARATELY PER THE 990.

LOSS ON DISPOSAL OF FIXED ASSETS 34,164.

TOTAL TO SCHEDULE D, PART XII, LINE 2D 112,650.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.


		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		DINNER/CONCERT (event type)	(event type)	1 (total number)	
Revenue	1 Gross receipts	136,034.		1,069.	137,103.
	2 Less: Contributions	64,149.			64,149.
	3 Gross income (line 1 minus line 2)	71,885.		1,069.	72,954.
Direct Expenses	4 Cash prizes	0.			
	5 Noncash prizes	15,934.			15,934.
	6 Rent/facility costs	23,343.			23,343.
	7 Food and beverages	23,260.			23,260.
	8 Entertainment	3,086.			3,086.
	9 Other direct expenses	12,863.			12,863.
	10 Direct expense summary. Add lines 4 through 9 in column (d)				78,486.
11 Net income summary. Subtract line 10 from line 3, column (d)				<5,532.>	

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.



		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary. Add lines 2 through 5 in column (d)				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d)				

9 Enter the state(s) in which the organization conducts gaming activities: _____**a** Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No**b** If "No," explain: _____**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No**b** If "Yes," explain: _____


- 11** Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity conducted in:
- | | | |
|--------------------------------------|------------|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name  _____Address  _____


- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization  \$ _____ and the amount of gaming revenue retained by the third party  \$ _____.

c If "Yes," enter name and address of the third party:


Name  _____Address  _____

- 16** Gaming manager information:

Name  _____Gaming manager compensation  \$ _____Description of services provided  _____☐ Director/officer☐ Employee☐ Independent contractor

- 17** Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year  \$ _____

Part IV **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

OMB No. 1545-0047

2015

Open To Public
Inspection

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**
▶ **Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.**

Name of the organization

HARPETH RIVER WATERSHED ASSOCIATION, INC

Employer identification number

62-1802858

Part I **Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded				
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other ...				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (<u>SCH G EVENT-F</u>)	X	5	16,025.FMV	
26 Other ▶ (<u>SCH G EVENT-N</u>)	X	16	15,934.FMV	
27 Other ▶ (<u>MISCELLANEOUS</u>)	X	3	950.FMV	
28 Other ▶ ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions
for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?		X
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?		X
b If "Yes," describe in Part II.		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2015)

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B):

AMOUNTS REPRESENT NUMBER OF CONTRIBUTIONS.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2015

Open to Public
Inspection

Name of the organization

HARPETH RIVER WATERSHED ASSOCIATION, INC

Employer identification number

62-1802858

FORM 990, PART VI, SECTION B, LINE 11:

**THE DRAFT OF THE 990 IS REVIEWED BY THE FINANCIAL ADMINISTRATION STAFF TEAM
AND SENT TO THE BOARD OF DIRECTORS' FINANCE COMMITTEE FOR REVIEW BEFORE
SUBMITTAL TO THE IRS.**

FORM 990, PART VI, SECTION B, LINE 12C:

**HRWA'S BY-LAWS, SECTION III.F. SPECIFY THE PROCESS THE BOARD OF DIRECTORS
FOLLOWS TO REVIEW POTENTIAL CONFLICTS OF INTEREST WITH MEMBERS OF THE
BOARD. HRWA ALSO HAS A CONFLICT OF INTEREST POLICY IN ITS PERSONNEL
HANDBOOK AND A CONFLICT OF INTEREST POLICY FORM THAT ALL INCOMING STAFF
MUST REVIEW AND SIGN INDICATING THAT THEY UNDERSTAND THE ORGANIZATION'S
INTERNAL CONFLICT OF INTEREST POLICY FOR STAFF.**

FORM 990, PART VI, SECTION B, LINE 15:

**THE EXECUTIVE DIRECTOR AND ALL FULL-TIME EMPLOYEES ARE REVIEWED ANNUALLY.
SUPERVISORS REVIEW STAFF THAT THEY MANAGE. FOR THE EXECUTIVE DIRECTOR, THE
BOARD APPOINTS A REVIEW COMMITTEE EACH YEAR. THE EXECUTIVE DIRECTOR
COMPLETES A REVIEW AS DO THE MEMBERS OF THE REVIEW COMMITTEE. THE REST OF
THE BOARD IS PROVIDED WITH THE RESULTS OF THE ANNUAL REVIEW FOR INPUT AS
WELL. RECOMMENDATIONS FOR PRIORITIES FOR THE EXECUTIVE DIRECTOR,
COMPENSATION AND OTHER ASPECTS FROM THE REVIEW COMMITTEE ARE PRESENTED TO
THE FULL BOARD FOR APPROVAL. THE ANNUAL REVIEW PROCESS IS TIMED TO FIT WITH
THE ANNUAL BUDGET CYCLE.**

FORM 990, PART VI, SECTION C, LINE 19:

ALL HRWA GOVERNING DOCUMENTS ARE ON A PUBLIC WEBSITE MANAGED AND REVIEWED

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.
532211
09-02-15

Schedule O (Form 990 or 990-EZ) (2015)

Name of the organization

HARPETH RIVER WATERSHED ASSOCIATION, INC

Employer identification number

62-1802858

BY THE COMMUNITY FOUNDATION OF MIDDLE TN, CALLED GIVING MATTERS. THE GIVING MATTERS STAFF REVIEW ALL POSTINGS AND THEY SPECIFICALLY ENTER THE FINANCIAL DATA THAT IS DERIVED FROM THE 990. HRWA HAS A LINK ON OUR WEBSITE TO GIVING MATTERS AND INFORMS THE PUBLIC THAT THE GOVERNING DOCUMENTS, BY-LAWS, AND FINANCIAL DOCUMENTS CAN BE FOUND HERE. WE ALSO PROVIDE SUCH INFORMATION TO ANY WHO REQUEST IT.

FORM 990, PART XII, LINE 2C

THE PROCESS HAS NOT CHANGED FROM THE PRIOR YEAR.



HARPETH RIVER WATERSHED ASSOCIATION

"Protecting the State Scenic Harpeth River and Clean Water in Tennessee Since 1999"

ANNUAL REPORT: FY16

April 1, 2015- March 31, 2016

I. Water Quality and Sustainability: \$185,344

In summary, HRWA succeeded in having the state establish a tighter permit on Franklin's water withdrawal permit which resulted in Franklin finally installed appropriate river flow and water quality monitoring for its water withdrawal after avoiding it for 8 years. We launched several water quality studies and monitoring programs with partners last summer. HRWA and our lawyers, Southern Environmental Law Center (SELC), successfully defended Franklin's intimidating legal tactics against the organization, resulting in the dismissal of a SLAPP lawsuit against HRWA in federal court. The federal judge also sustained the vast bulk of HRWA's Clean Water Act citizen lawsuit against Franklin's attempt to dismiss it. HRWA subsequently was able to successfully settle the action. As part of HRWA's internal strategic growth plan, we recruited one of two senior program directors as part of a multi-year staffing strategy. Further detail for the year is below.

State Water Quality Protection:

1. Clean Water Act Citizen Suits to address noncompliance with 3 sewer plants

- A. Significant Progress of National and State Significance in HRWA's Clean Water Act Lawsuit Against Franklin to Address State Permit Compliance for its Sewer Plant:** In January 2014, to address compliance issues, HRWA filed 60-day notices of intent to sue under the citizen suit provision of the Clean Water Act against all 3 sewage treatments that discharge in Williamson County into the Harpeth. The two small facilities settled quickly and have addressed many of their problems. The City of Franklin never responded to HRWA's settlement offer, which the City demanded in May 2014. This refusal forced HRWA to file a lawsuit in August 2014. The City demanded a written offer which the City used as a basis for the federal filing against HRWA of a counterclaim for alleged abuse of process. These aggressive legal tactics were ultimately rejected by the federal judge in November 2015. In early March 2016 the federal judge largely rejected the City's attempts to dismiss our lawsuit. In his March 2016 ruling the judge reiterated his admonition of November 2015 to settle the case "before more taxpayer time and money is spent." As a result, as of the end of the fiscal year, we were making progress in our efforts to amicably settle this case.
- B.** On November 4, 2015, Federal District Chief Judge Sharp heard oral arguments and dismissed the city of Franklin's countersuit against HRWA. Press coverage quoted free speech legal expert, Paul Levy from Public Citizen, who explained the aggressive nature of the city's legal tactics: "what they want is to beat

(HRWA) into the ground. I would say it is bullying.” SELC court filings explained how the city’s lawsuit was a SLAPP action, a “strategic lawsuit against public participation.” On March 3, 2016, Judge Sharp ruled on the City’s motion to dismiss portions of our complaint. The Court largely rejected the City’s arguments. The Court allowed the vast bulk of our Clean Water Act complaint to proceed to the next stage in litigation, including counts regarding sewer overflows, the failure to prepare a nutrient management plan for the operation of the sewer plant, and to conduct in-stream water quality monitoring.

- C. Our efforts in this action had important positive value statewide and nationally on several fronts. Franklin’s countersuit against HRWA, which was ultimately dismissed, elevated the issue of SLAPP lawsuits, which are illegal in TN. HRWA’s efforts caused other groups, such as TN Coalition of Open Government, to weigh in on the lack of public discussion and approval of the City’s SLAPP-type lawsuit. The EPA also filed a critically important brief in support of HRWA’s position on the scope of the Clean Water Act, and the court largely sustained those arguments, finding that our issues were within the scope of the Clean Water Act.

SUBSEQUENT EVENTS NOTE: On May 10, 2016, HRWA successfully settled its action against the City. The major points of the federal court-enforceable settlement include the following requirements for Franklin to do more to protect public health and improve the river’s water quality:

- 1) Study and monitor the river’s water quality, including fulfilling obligations that were in Franklin’s current permit since 2010.
- 2) Participate along with HRWA and other agencies in the formulation of a new pollution reduction plan (called a “Total Maximum Daily Load” or “TMDL”) led by TDEC for the entire river. This TMDL plan is supposed to “fairly determine [Franklin’s pollution load allocation] in the context of the entire watershed.”
- 3) Fund up to \$150,000 to conduct studies in support of the TMDL pollution reduction plan.
- 4) Conduct an “optimization study” for the current sewage treatment plant focused on reducing phosphorus and nitrogen inputs to the river to reduce the risk of harmful algal blooms. The City also agreed to prioritize the installation of portions of the new sewage treatment facility up front to have the ability to remove phosphorus to low levels.
- 5) Spend \$10 million over five (5) years as a part of Franklin’s planned capital investment planning efforts to prioritize the upgrading of existing, aging sewer collection infrastructure. HRWA will have input to this process. Franklin also agreed to use emerging technologies to identify and prioritize improvements in the sewer collection system.
- 6) Develop a sewer overflow response plan, similar to those already implemented in many other Tennessee cities. This plan is designed to reduce public health risks from exposure to raw sewage and increase public transparency regarding sewer overflow issues.

7) Not to increase sewer rates based on the lawsuit, counterclaims, or the settlement. In fact, both the HRWA and SELC followed through on their offers to Franklin prior to the filing of the lawsuit and agreed not to collect any attorney's fees, either for the main action – the prosecution of the citizen suit under the federal Clean Water Act -- or for the defense against Franklin's SLAPP counter-suit against HRWA. HRWA also agreed that it would not receive any money as a result of river study projects funded by Franklin.

8) The parties retained their respective rights to appeal permit and TMDL conditions if they are not satisfactory.

9) The settlement also provides for a method of resolving disputes without asking the Court to intervene, but the Court retains jurisdiction to enforce it, if necessary.

HRWA worked with Franklin so that the settlement could be embodied in a settlement agreement, as opposed to a consent decree. Franklin thus entered into a court-enforceable contract with HRWA to take the agreed steps to monitor, protect and clean-up the Harpeth River. The settlement was subject to approval by the court and the US Department of Justice. These approvals were obtained.

The settlement resulted in the reversal of a number of positions taken by Franklin over several years. For example, in its 2013 comments to the state on the proposed new permit conditions Franklin opposed the proposed lower limits for phosphorus and the current permit's requirement to perform an optimization study to find ways for the current sewage treatment plant to reduce phosphorus and nitrogen discharge into the river. In addition, Franklin had opposed the current permit's 24-hour water quality monitoring of the river, which is needed to determine the impact of Franklin's sewer plant pollutant discharge on the river and set appropriate permit conditions.

2. *City of Franklin Decision in August 2015 to Build New Drinking Water Plant/Errors and Omissions on Key Aspects including Size of the Drinking Water Plant and Financial Analysis*

A. HRWA was successful in establishing scientifically-based and well-monitored and reported withdrawals from the Harpeth by Franklin. An issue related to this decade of effort is whether the city's desire to maintain and build a larger drinking water plant was an economically justified use of the Harpeth. This analysis is part of the state's permit decision and its impact on rates. Since 2003 the City had shelved their expansion effort several times after HRWA's expert analyses and input from by joint expert committees reviewed the issues and provided more accurate understanding to the City elected leadership of drinking water supply, production and quality issues. The City resurrected the expansion of the old drinking water plant (as opposed to retiring it) in 2014. HRWA worked with various nationally recognized and local experts in the community and its own compilation of available statistics to provide to the public and elected officials key corrections on core issues. This included: errors and omissions involving the hidden doubling of the size of the plant, incorrect full-cost economic analysis, the city's rates in comparison to other

neighboring utilities, the utility of a Harpeth-based plant during a flood or drought, emergency response preparedness and significant redundancy in the city's primary drinking water provider, the magnitude of drinking water losses in its delivery system, significant financial errors, and underreporting of the total capital costs by over \$4 million, among others.

- B. HRWA prepared a comprehensive set of facts and corrections (HRWA's "Toilet to Tap" campaign web site) and encouraged people who are both city voters and city drinking water customers to communicate with aldermen for the August vote on building the expanded drinking water plant. Starting in early 2015 HRWA also helped create a diverse group of city and county nonprofit and business leaders to work behind the scenes. These leaders needed HRWA to provide a public campaign. As a result of HRWA's efforts, many key community leaders developed a deep understanding of the city's unsupported position that a new drinking water plant was essential and cheaper than shutting the 50+ year old plant down and getting 100% of its drinking water from its current provider (that is over 20 times larger). The city of Franklin's drinking water provider is the Harpeth Valley Utility District with a 4) MGD drinking water plant on the Cumberland River and has been Franklin's primary drinking water provider since the early 80s. It was only after the city tapped into HVUD that the city could expand because it did not have a reliable year-round source of drinking water to support growth.
- C. HRWA launched a social media campaign on Franklin's drinking water issues as part of the American Rivers national Most Endangered Rivers 2015 campaign. This included 4 blogs as part of the national the Most Endangered Rivers, and creating graphics, imagery, slogans, and petitions on Change.org. We both compiled and did important analyses (such as the drinking water loss statistics for all the drinking water utilities in the region), and set up booths in Franklin at high traffic areas and public events, like Art Scene, to talk to people, and handled the petitions. Experts provided material to city elected officials during several city discussions and community leaders including Nissan staff spoke before during city meetings.

3. *HRWA work on Franklin's Harpeth Water Withdrawal part of regional scientific and policy work on establishing standardized approach for permitting water withdrawals*

- A. In July 2015, the TN Department of Environment and Conservation (TDEC), City of Franklin, and HRWA announced the issuance of a new state permit with tighter conditions on Franklin's withdrawal of water from the Harpeth. Prior to 2007, when this permit was first established, the city was regularly pumping the Harpeth dry during the day in the vicinity of downtown Franklin. This permit incorporates some key changes HRWA had recommended based on our analyses to improve protection of the Harpeth and enable better science-based decisions. As a result of HRWA's work, TDEC is funding continued scientific work in establishing river low flow thresholds that will enable the permit conditions to be re-

evaluated as soon as the work is available. This permit also includes a "reopener" clause to enable timely adjustment of the permit based on new information.

TDEC ultimately affirmed HRWA's data validation efforts and issued a new permit that the city did not appeal.

- B. Two additional significant outcomes of regional and national importance resulted in 2015 from HRWA's work. These were announced in the joint press release between Franklin, HRWA, and TDEC. First, the state will fund the next phase of work by the U.S. Geological Survey to develop a standardized approach for setting water withdrawal limits to maintain ecological conditions, work which HRWA has helped to advance since 2003. As of September 2015, the city had contracted with the US Geological Survey to install a river flow and water quality monitoring equipment in an upstream location with input from HRWA. This data is available in real time on the internet to the public and is one of the 6 long-term water quality monitoring locations proposed in the Harpeth River Water Quality Monitoring Plan (2012). Second, the official announcement that the new pollution reduction plan (TMDL) for the entire Harpeth River, the first in the Southeast to apply to an entire river basin, will be launched (see below).

4. *Total Maximum Daily Load (TMDL) Pollution Reduction Study*

A product of our successful work on Franklin's water withdrawal permit was the public announcement, in summer 2015, by TDEC, HRWA, and Franklin of the launch of a new pollution reduction plan (a "Total Maximum Daily Load" or "TMDL") for the Harpeth, which will include the EPA providing important technical expertise. The TMDL for the Harpeth will be the first for an entire river system in the Southeast. EPA envisions the Harpeth River TMDL to also be done as a collaboration of all the entities in the river basin, an approach HRWA has promoted for years. The EPA established a TMDL for the Harpeth River for nutrient enrichment/low dissolved oxygen in 2004 to demonstrate how these pollution reduction plans are done. HRWA conducted and/or coordinated summer studies of the Harpeth, and the data indicated that the old TMDL was still resulting in water quality standards not being met. Analyses of the TMDL by experts and HRWA's efforts to use the EPA TMDL computer model highlighted fundamental problems. The removal of the lowhead dam rejuvenated natural river flows which further supported the need for a new TMDL. HRWA's efforts to conduct a national review of collaborative approaches that involved all stakeholders in a river basin laid the groundwork for the public announcement in July 2015 by TDEC, HRWA, EPA, and Franklin that a new Harpeth River TMDL would be established. This is a major accomplishment and forms the foundation of HRWA's strategic program direction for the next 5 years.

5. *Franklin's Sewer Plant Permit—Expansion from 12 to 16 Million Gallons per Day*

- A. Since HRWA's previous efforts in 2013 to review and provide expert input on the state's draft NPDES (discharge) permit, the state agreed to allow Franklin to re-apply for a new permit. This new permit will set output at an increased 16 million gallons a day to reflect the city's re-design and expansion of its sewer plant. The city's plant is by far the single largest source of pollutant load into the Harpeth. HRWA monitored and provided expert input on city, state and EPA efforts on potential changes to the permit to attempt to mitigate efforts to increase the pollutant load the new permit would allow, remove current water quality monitoring requirements, and make changes to the permit related to raw sewage overflows and how the city measures the raw sewage content going into the plant. These issues are critical to permit compliance and sewer plant operations and are among the issues in the Clean Water Act lawsuit.
- B. Additionally, in the late fall of 2015, HRWA was contacted by Chestnut Bend neighborhood association which is next to the Franklin Sewer plant about their efforts to address attempts by the city to expand operations on the city property that would be close to Franklin high school, a county park, a greenway, residences, and the county's animal shelter. These residents' concerns included odor, safety, noise, and related issues. HRWA provided strategic guidance to the residential subdivision leadership and worked behind the scenes to foster broader public concern. TDEC weighed in as well to address current odor issues and to require the city to hold a public hearing and start a new permit process for composting if the city wants to launch a large operation using the biosolids from the new sewer plant.

6. *Public Outreach: Protect Our River Campaign*

- A. HRWA worked with national partner American Rivers in the annual national Most Endangered Rivers campaign to nominate the Harpeth for 2015. (The story "made" the cover of the Tennessean the day of the joint meeting of TDEC, HRWA, and Franklin to settle on the final components of the city's water withdrawal permit.) EPA also stated that Most Endangered River listing supported their decision to pick the Harpeth for their effort to work on a new TMDL that would both be focused on an entire river basin and would demonstrate both a collaborative approach with local community leadership. HRWA prepared blogs, and two campaigns specific to Franklin's decision to build an expanded yet redundant drinking water plant on the Harpeth. HRWA created a large suite of graphic and social media images related to drinking water, the 2015 designation as a Most Endangered River, wildlife, and water quality threats. A \$6000 grant for Patagonia specifically funding the advocacy aspects of this campaign.
- B. Throughout most of 2015 and 2016, HRWA conducted outreach and arranged citizen engagement opportunities regarding the City of Franklin's proposed expansion of its drinking water plant on the Harpeth. Issues of concern to citizens included ambiguity and confusion

on the actual size of the drinking water plant, the cost of construction, and the expected degree of water production and financial return.

- C. HRWA was very successful with press coverage on its core work, especially on the Clean Water Act lawsuit. Because of the nature of Franklin's SLAPP-type lawsuit, it was strategically important to have other entities, like the press and other organizations, cover and speak on the key issues. This both provided third party expertise and credibility as well as demonstrating broad sector support for HRWA's work. Our work resulted in strong coverage of the EPA's legal filing in support of HRWA's right to enforce the Clean Water Act, and of the November 2015 hearing at which the city's SLAPP lawsuit was dismissed. The *Tennessean* also did an open records request to Franklin on how much the city had spent as of June 2015 on legal expenses for their aggressive tactics against HRWA. In addition, the *Tennessean* ran an editorial recognizing the important role of HRWA and others to defend citizen's rights to engage in government decision-making and highlighted the federal judge's criticism of Franklin's tactics.

7. *Responses to Proposed "Closure plan" Without Completing Clean-Up of Contamination by Egyptian Lacquer Manufacturing (ELMCO)*

ELMCO proposed a "closure plan" in September 2015 to TDEC, and the public hearing was set in early December. Without HRWA's public eblasts and outreach to the press there would have been almost no public attendance. The public information session involved a series of poster boards with numerous staff from TDEC and Public Health, ELMCO's consultants, along with U.S. Geological Survey. The USGS specifically presented on their study that contamination can be treated using biostimulation of the existing bacteria to digest and clean-up the groundwater. This was the treatment approach favored by a private consulting firm that ELMCO funded as a result of the lawsuit by local property owners. TDEC initially did not support the continuing treatment effort. HRWA conducted field sampling of the stream and seeps which found concentrations 100 times regulatory levels of concern, which directly contradicted ELMCO's claim that contamination levels were finally dropping low enough to support "closure" of their efforts. HRWA efforts resulted in TDEC further reviewing the closure plan and requiring ELMCO try some basic removal approaches on the property where their own data showed continuing high levels of contamination.

8. *Watershed Development and Stormwater Management*

A. Response to Efforts to Undermine State Water Quality Regulations on Stormwater Management

- 1. In January 2016, the TN Homebuilders Association launched a successful effort to undermine a decade of work by local governments across the state to implement ordinances to manage stormwater from new developments to prevent pollution from runoff and damage/loss to downstream property owners. The adoption of legislation

to prevent stormwater regulations from exceeding the “minimum requirements of federal law” coincided with a proposed update to the statewide permit to require 95 cities/counties around the state to manage stormwater. The new, extremely confusing law made local government very vulnerable to litigation on several aspects of stormwater controls. HRWA worked with the TN Stormwater Association, development industry leaders, and others to address proposed changes in the proposed new state permit, connect local leaders with state legislators, and other efforts.

2. Dorie Bolze, Executive Director, continues to serve as Vice Chair of the Williamson County Stormwater Appeals Board.

B. Rural Landscape Protection/Changes in Annexation Laws/Non-Discharging Sewer Plants

1. The conversion of the rural landscape around the Harpeth, especially in Williamson County, is a significant concern. HRWA is one of the only state non-profits with expertise on land use planning, annexation laws, local development standards, and zoning. In addition, HRWA is the only state conservation group with expertise on the types of non-discharging sewer plants and the regulatory and utility management dynamics which enable the dense growth to occur far from a large river or lake that is typically needed for discharge.
2. HRWA reviewed the annexation in Thompson’s Station and provided our analyses to local and state governmental experts (TN Coalition on Intergovernmental Relations) that are using this as a study on the results of the numerous state laws that have undermined the urban growth boundary law, which was intended to control sprawl. HRWA has set up a restricted fund for local groups forming around particular development proposals and has been working to interconnect this various groups of citizens and leaders to formulate a broader effort. HRWA is solely the fiscal agent of these 2 funds that are governed by committees.

9. *Forming Joint Initiatives*

HRWA leadership has been promoting the need for more joint program initiatives among other conservation partners (land preservation, hunting, fishing, environmental education, social justice within TN and nationally), among other organizations with important social focuses (public health, open government, historic preservation, affordable housing, transportation planning, smart growth, etc.) and with business, academia, and government. Joint initiatives enable numerous organizations to coordinate effectively on topics and efforts that they cannot do, or would be unable to secure funding for, alone. One example of this is the new effort by Maddox Charitable Trust to support a large multi-year and multi-organization joint effort on developing a Public Campaign on Water in Nashville. HRWA initiated discussions with Maddox leadership in the summer of 2015 and worked with four (4) other organizations to create a joint proposal that Maddox

funded for two years. All of the funds are for outside market research and media campaign experts and a coordinator for the initiative with no funds from this joint effort to each of the 5 organizations: HRWA, TN Environmental Council, The Nature Conservancy, Richland Creek Watershed Alliance, and the Cumberland River Compact which is the fiscal agent and manages the coordinator. Maddox Charitable Trust has therefore supported each of the 5 organizations \$15,000 annually for general support separately.

10. Expanding Senior Staff Expertise at HRWA: New Senior Directors for Water Quality Protection and Sustainability Program and Watershed Science and Restoration

A core priority in the 2015 annual plan was to develop a phased plan to increase senior staff program expertise beyond the Executive Director. In March 2016, HRWA brought on the first of two planned senior program staff, a senior director to lead the Water Quality Protection and Sustainability Program, the core program of HRWA.

Jim Redwine, HRWA's new Director for Water Quality Protection and Sustainability, has over 30 years of experience as a corporate executive, and environmental and bankruptcy attorney. Jim has particular expertise in difficult environmental transactions, and has successfully negotiated and closed some of the largest and most complex environmental transactions. Jim's work includes the General Motors bankruptcy, where he was responsible for all environmental functions in the largest industrial bankruptcy in US history. Involving over 100 sites, Jim achieved the then-largest environmental trust settlement in US history. Jim is a *magna cum laude* graduate of Harvard University, and of Vanderbilt Law School.

SUBSEQUENT EVENTS NOTE: In September 2016, HRWA continued its efforts to expand its capacity by adding a new Director of Watershed Science and Restoration. Kevin Cronk joined HRWA in September 2016 from the Tip of the Mitt Watershed Council in Northern Michigan. After completing his bachelor's degree at Michigan State University, Kevin served as a fishculture extensionist volunteer for the US Peace Corps in Honduras. Upon his return, he earned a master's degree in aquatic ecology at the University of Michigan. Following a two-year stint with the Coastal Management Program in American Samoa, Kevin spent 12 years directing water resource monitoring and management programs for Tip of the Mitt Watershed Council in Northern Michigan.

Watershed Assessment:

1. With the announcement by TDEC, HRWA, and the City of Franklin in the press of the start of the Harpeth TMDL, TDEC held the first meeting of the five core organizations at the USGS in the summer of 2015. The five entities include the USGS, City of Franklin, TDEC, SELC, HRWA, with the EPA as technical expert for modeling. The EPA contribution the the modeling which will then be useful for years to come is worth \$500,000. EPA key TMDL modeling staff attended the meeting and presented the overall technical approach.

From the meeting the next steps were for the 5 core entities to compile their data to send to the EPA and TDEC over the summer. The city of Franklin did not provide their data until after the settlement of the lawsuit with HRWA the following summer of 2016.

2. HRWA compiled all of its water quality data in the format EPA requested for the Harpeth River TMDL. HRWA organized input from the TMDL core agencies for input on HRWA's 2015 water quality sampling efforts. We also submitted all of the water quality data HRWA has gathered from Metro, Williamson County, Franklin, Brentwood and others on the Harpeth and submitted that to the EPA in an effort to expedite EPA's "data gap analysis." HRWA staff is engaged in outreach efforts to get the TMDL process moving in a more collaborative fashion.
3. HRWA organized a conference call later in the summer of 2015 as a follow-up to the TMDL initial meeting to get input for HRWA summer water quality monitoring. This group forms the basis of a Technical Advisory Committee (TAC) that includes federal, state, and local governments, and other water quality experts that oversee the Harpeth River basin-wide water quality monitoring plan, to obtain monitoring, assessment, and restoration priorities based on prior years' water quality studies. HRWA worked with federal, state, and local stakeholders to compile prior years' water quality data as part of the new official effort on a pollution reduction plan for the Harpeth. Input from the EPA staff at the May and June 2016 meetings provided input to the water quality study priorities.
4. HRWA conducted several water quality studies to identify and/or further assess high risk areas that threaten wildlife and human health. This includes dissolved oxygen, nutrient enrichment, algae, and solvent contamination from a paint-coating plant. After input from the first TMDL meeting and subsequent conference call, HRWA began a new nutrient study that will contribute to the basin-wide plan. Also, we collaborated with USGS on a continuous dissolved oxygen study.
5. Working with a number of national water quality experts, HRWA designed a multi-pronged study plan to target what data is available and/or would be needed to support EPA's TMDL work on the Harpeth. In addition, we are attempting to bring national focus on how to address nitrogen and phosphorus pollution to Tennessee. Too much nitrogen and phosphorus become pollutants by fueling algal growth that leads to depleted oxygen levels that violate state standards that occurs during the summer in the Harpeth.
6. HRWA conducted algal studies, conducted water quality sampling with EPA standard protocols, and organized our seventh volunteer dissolved oxygen study. In addition, HRWA coordinated with various agencies to establish the locations for the USGS's continuous water quality summer study. The USGS is conducting its national nutrient enrichment (nitrogen and phosphorus) study on the Harpeth as the TN focus. The annual \$20,000 from the settlement agreements between HRWA and the two small sewer plants allow USGS to make this happen. In addition, HRWA secured \$60,000 over 2 years from Dugas Family Fund, and \$40,000 in 2015 from Maddox (of which \$25,000 is being redirected to the new Water

Initiative in 2016), and \$2500 from Bonnaroo Works Fund, to support our watershed assessment program and citizen science efforts.

II. River Restoration and Wildlife Protection: \$126,034

A chart of the projects conducted during the fiscal year under the River Restoration program and Outreach and Education Program is attached at the end of the report.

A. *Fish Habitat Restoration Initiative*

HRWA partnered with the Tennessee Environmental Council (TEC) to create the Fish Habitat Restoration Initiative (FHRI) with support from the Dan and Margaret Maddox Charitable Fund. This fiscal year, HRWA used funding from the Maddox Charitable Fund to perform the following restoration projects:

1. Riparian reforestation project at Burns Park in Kingston Springs with the help of 18 volunteers that contributed 45 hours. Volunteers planted 250 trees that were part of a 50k Tree Day, an initiative to plant 50,000 trees across the state in one day. 50K Tree Day was February 27, 2016.
2. Live staking and streambank stabilization project at River Park on April 10, 2015.
3. The purchase of volunteer and restoration supplies and equipment, including insurance for volunteer events.
4. Live staking project on MLK Day 2016 involving streambank stabilization.
5. Weed Wrangle Project conducted on March 5, 2016 and involved invasive species removal along the Harpeth River Greenway with volunteers from Open Call.

B. *City of Brentwood Parks and Recreation Stream Restoration*

Each year HRWA has a \$4995 contract with Brentwood city parks to conduct streambank restoration around the parks system. For Brentwood's 2015-16 fiscal year, HRWA worked with Boy Scout Troop 298 to install cedar revetments along the Little Harpeth Greenway. The planning for this work began in the 2014-2015 fiscal year, but work did not begin until May 2015.

C. *River Clean-ups*

From May to October 2015, HRWA hosted three river clean-ups. Two clean-ups used funds and volunteers from Convergent Technologies and HCA. The third clean-up used general funding and involved volunteers from Girl Scout Troop 832. Overall, 48 volunteers contributed 278.5 volunteer hours and helped remove over 4,000 pounds of trash, tires, and sheet metal from the Harpeth River and its tributaries.

D. *Implementation of the Headwaters of the Harpeth Watershed Restoration Plan*

HRWA continued work on implementation of Phases II and III of the Harpeth River Headwaters Watershed Restoration Plan with grant funding from the TN Department of Agriculture. The funding comes from the 319 funds under the Clean Water Act for nonpoint source pollution reduction efforts. In partnership with NRCS and producer Jimmy

Smotherman, HRWA completed an agricultural BMP installation project that included livestock exclusion fencing, an alternative watering source, and streambank stabilization. The project benefited Concord Creek and the Harpeth River by controlling cattle access to the creek and controlling erosion, which reduces fecal bacteria, nutrient pollution, and sediment pollution (dirt). In October 2015, HRWA and NRCS worked with Producer John Taylor to install heavy use area BMPs, which further reduced sediment loading to Concord Creek. In January 2016, we started the planning process with producer Horace Jackson to install agriculture BMPs to protect Concord Creek. Also in January, HRWA collaborated with the Rutherford County Co-op in Eagleville to hold an outreach and education event at the Co-op. In February, HRWA met with the City of Eagleville to discuss incorporating stormwater runoff mitigation into plans for construction of a new public safety building. Stormwater mitigation in Eagleville will help restore Cheatham Creek, improving water quality and stream ecosystem health in the Harpeth River Headwaters.

E. *TN Wildlife Resources Agency (TWRA), \$500 stream restoration grant:* TWRA provided a small grant to HRWA for \$500 for restoration and volunteer supplies. Funds were used to purchase live cuttings for stream bank stabilization and riparian reforestation.

F. *Tennessee Valley Authority (TVA):* TVA provided \$3,000 as part of their Reservoir & Community Cleanups program to assist in the cleanup of waterways and public places. With TVA funds, HRWA hosted three cleanups in Williamson and Davidson Counties between June and September 2015. In all, there were 75 volunteers that contributed 243 volunteer hours and helped clean 4,660 pounds of tires, sheet metal, other garbage out of the Harpeth River and Little Harpeth River.

III. Outreach, Education & Recreation: \$59,309

A chart of the projects conducted during the fiscal year under the River Restoration program and Outreach and Education Program is attached at the end of the report.

A. Community Outreach and Education

1. A full-color 12-page printed newsletter was produced in summer of 2015.
2. A full-color printed joint press release with American Rivers was produced on April 7, 2015: "Harpeth River Among America's Most Endangered Rivers of 2015"
3. HRWA's website, HarpethRiver.org, continues to be updated and enhanced to provide current and historical information about all aspects of the watershed: policy, volunteer activities, fundraising, and wildlife information. Enhanced integration with the email system and online donation processing have improved the efficiency for the organization and made a more seamless user experience for site visitors. We also regularly posted facts about wildlife. HRWA sought new ways to share information about our region's uniquely abundant

biodiversity by using the HRWA website, other social media forms by developing posting two wildlife facts per week on social media.

4. Wildlife and Water Quality Education: HRWA's education program is funded by the Barbara J. Mapp Foundation (\$15,000). With this funding, HRWA staff visited camps, schools, and other community groups to facilitate activities related to exploring the wildlife that live in and around our waters. HRWA led activities with groups from Boy Scout Troop 298 Eagle Project, Middle TN STEM Innovation Hub, Humphrey County Envirothon, Nashville A Rocha, St. Augustine Creation Care Camp, Camp Warner Parks, Adventure Science Center, PEN Pals, Ravenwood High School, and a "Lost Rivers" Film Screening.
5. Community Outreach: HRWA set up tables at multiple community events throughout the year to conduct general outreach and education about the Harpeth River and HRWA as well as to solicit registrations for the specialty license plate (see below). These included, but are not limited to Mid TN STEM Expo, Earth Day Centennial Park, Springfest, Paddlefest, Five River RC&D Meeting/Presentation, NRCS Farm Field Day, Rotary Club of Brentwood, University of Scouting. A complete list of events is below.
6. HRWA also helped generate effective press coverage and development of press and broad understanding of the issues: Most Endangered Rivers Designation, Clean Water Act lawsuit, as well as Harpeth River and TN clean water issues in general.
7. "Wildlife of the Month" column by Cooper Breeden, River Restoration and Outreach Program Coordinator, in Nashville Native magazine.

B. *Media Coverage-* This is not an exhaustive list.

1. Print news coverage in the Tennessean, Williamson Herald, Franklin Home Page and others covered major topics: 1) Harpeth River named among most endangered rivers in U.S. by American Rivers; 2) HRWA naming veteran attorney to new staff position; 3) Judge siding with HRWA in Franklin Lawsuit; 4) Harpeth River Lawsuit being successfully settled.
2. Press coverage included Nissan's grant of \$50,000 to HRWA and various HRWA stream clean-up and restoration projects. The River Swing received media coverage in regional social magazines and in the Tennessean and other newspapers along with complimentary ads. The other events also received print press coverage.

IV. Fundraising, Membership and Other Events: \$35,267

- A. River Swing: On October 3, 2015, approximately 400 guests attended our annual fundraising event held at Fairpath Farm, the property of County Commissioner Todd Kaestner and his wife Susan on the banks of the Harpeth River. With a night of festivities that included a silent auction, dinner and dance, River Swing 2015 generated close to \$120,000. In its 12th

year, the event received extensive media coverage in regional social magazines and newspapers.

- B. *Harpeth Protection Society & River Swing Tasting Event:* On August 20, 2015, Joe Cashia and Angela Humphreys hosted a Tasting Party for River Swing Committee Volunteers, Corporate Sponsors, Table Hosts and members of our Harpeth Protection Society (donors that make an annual gift of \$1,000 or more). Whole Foods Market in Franklin, The Bottle Shop at McEwen, Little Harpeth Brewing LLC, and Fredrich & Clark Realty were presenting sponsors and the event was covered extensively by social media.
- C. *Pint/Open Mic/Film Screening Nights:* Hosted by Cumberland Transit, a local outdoor store, at their Midtown Nashville location, 4 event nights in Spring and Fall were held and a portion of the store's sales were donated to HRWA. HRWA recruited volunteers as well as provided information about conservation activities and ways for attendees to become involved through HRWA. Tennessee "Protecting Rivers and Clean Waters" specialty license plates were promoted.
- D. *Individual Donor Support:* In FY2015-16, efforts continued to expand individual donor support through increased outreach activities and strategic electronic and physical mailings. As a result, the number of individual donors increased by 19%, when compared with the same period a year ago. Communicating the organization's priorities and making the giving process easier through an enhanced online platform contributed to this success.
- E. *Tennessee Specialty License Plate Benefiting Harpeth River Watershed Association*
In June 2015, HRWA achieved 1,000 subscribers for our "Protecting Rivers and Clean Water" Tennessee Specialty License Plate. The initial subscribers' first year fees totaling \$35,000 payment was submitted to the state, and in early November 2015, the plates became available through County Clerk's Offices across Tennessee. From the state, HRWA will receive approximately \$15.50/year/plate for original subscribers claiming their pre-paid plates as well as all future new sales and renewals. With the launch of our specialty license plate (the only plate in the state supporting rivers and water quality), HRWA's watershed restoration and conservation efforts will have statewide support and impact.

V. Administration, Finance, Governance, Organizational Planning: \$15,749

This section is not meant to be comprehensive.

1. The Board held a retreat in the summer to start the process of charting the next 15 years of the organization. A mini-grant from the Center for Non-profit Management helped cover the costs of working with Jim Maddox to review the board and organization's governance structures and leadership priorities. The board's Governance committee then followed with updated revisions to the by-laws that shifted the board member term from a 2 year term to a 3 year term with a limit of 6 years of subsequent service. The new by-laws, adopted also established 3 classes that board members serve in and it is these classes that set the ultimate board member's term. In

addition, the Governance Committee recruited 6 new board members over the year and several new advisory council members during the fiscal year.

2. As part of the Board's efforts to develop strategic direction for the next 5- 10 years, the Board formed the Strategic Planning committee. The Committee conducted a survey of the board and advisors in the first quarter of 2016 which continued work into the next fiscal year. The results found a strong consistent support for the organization's focus, the desire to expand its reach, and the need to more broadly promote the organization.
3. Part of the strategic direction work includes an expansion of the senior expertise of the staff to include two senior program staff to direct the core programs of the organization along with a Development Director and Executive Director. An expert in policy to director the Water Quality and Sustainability program was prioritized first, then with the addition of new funds would the Science Director be added. Jim Redwine, an environmental attorney with 35 years of experience in the private sector was hired in March 2016 for the Director for Water Quality and Sustainability. The senior director position replaced the environmental policy analyst position that was required through much of 2015 because of the extensive work needed to deal with the City of Franklin's counter suit against HRWA. That legal maneuver resulted in significant discovery work until the federal judge threw the City of Franklin's "SLAPP" like lawsuit out in court in November 2015.
4. HRWA was thrilled to be able to bring on a new VISTA program volunteer in February 2016. This is the second of a 3 year program with VISTA. HRWA also was encouraged to apply to host 3 AmeriCorp Volunteer TN positions in the 2016 cycle; however, more applications than funding was available in 2016. The program strongly encouraged HRWA to apply again in 2017. This aspect of the AmeriCorp program provides federal grant funds for these one year positions for college graduates.



HARPETH RIVER WATERSHED ASSOCIATION

"Protecting the State Scenic Harpeth River and Clean Water in Tennessee Since 1999"

River Restoration and Wildlife Protection Program, FY 2015

Date	Project Name	Project Type	Project Partners	County	Funding	Volunteers	Staff	Volunteer hrs	Staff hrs	# Reached (outreach)	# pupils
3/5/16	Weed Wrangle	Invasive Plant Removal	Invasive Plant Control, Open Call	Davidson	Maddox	5	2	12.5	5	0	0
2/27/16	50K Tree Day	Riparian Reforestation	Turner Construction	Cheatham	Maddox	9	2	13.5	3	0	0
2/6/16	MLK Day Live Staking	Streambank Stabilization	Open Call	Williamson	Maddox	18	2	45	5	0	0
10/20/15	HCA Canoe Cleanup	Cleanup	HCA	Williamson	HCA	21	2	136.5	13		
8/15/15	Dissolved oxygen study	Monitoring	Open Call	-	Maddox, Dugas	36	2	324	36	0	0
8/14/15	Nissan Cleanup	Cleanup	Nissan	Williamson	TVA	18	2	108	12	0	0
7/16/15	Wyman Center Cleanup	Cleanup	Wyman Center	Davidson	TVA	50	2	100	4	0	0
6/18/15	TVA Canoe Clean-up	Cleanup	Open Call	Davidson	TVA	7	2	35	10	0	0
6/5/15	Convergint Canoe Clean-up	Cleanup	Convergint Technologie	Williamson	Convergint Technologie	22	2	132	12	0	0

The Harpeth River Watershed Association is a 501(c)(3) organization and all donations are tax deductible to the full extent allowed by law.
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5/28/15	L. Harpeth Revetments	Streambank Stabilization	Boy Scout Troop 298 Eagle Project	Williamson	City of Brentwood	19	0	0	45	0	0
5/22/15	L. Harpeth Revetments	Streambank Stabilization	Boy Scout Troop 298 Eagle Project	Williamson	City of Brentwood	0	0	0	0	0	0
5/21/15	L. Harpeth Revetments	Streambank Stabilization	Boy Scout Troop 298 Eagle Project	Williamson	City of Brentwood	0	0	0	0	0	0
5/17/15	Girl Scout Cleanup	Cleanup	Girl Scout Troop 832	Davidson	General	5	1	10	2	0	0
5/16/15	L. Harpeth Revetments	Streambank Stabilization	Boy Scout Troop 298 Eagle Project	Rutherford	City of Brentwood	0	0	0	0	0	0
4/10/15	Live Staking	Streambank Stabilization	Ensworth High School	Williamson	Maddox	12	2	36	6		

Education and Outreach, FY 2015

Date	Project Name	Project Type	Project Partners	County	Funding	Volunteers	Staff	Volunteer hrs	Staff hrs	# Reached (outreach)	# pupils
3/19/16	University of Scouting	Outreach	Boy Scouts	Williamson	General	0	1	0	4	50	0
3/17/16	A Rocha Rain Garden workshop	Education	Nashville A Rocha	Davidson	Mapp	0	1	0	2	0	8
3/9/16	John Guider at Cumberland Transit	Outreach	Cumberland Transit	Davidson	General	0	2	0	4	50	0

2/19/16	Ravenwood High School education program	Education	Ravenwood High	Williamson	MTECC	0	2	0	2	0	30
2/19/16	Ravenwood High School education program	Education	Ravenwood High	Williamson	MTECC	0	2	0	2	0	30
2/19/16	Ravenwood High School education program	Education	Ravenwood High	Williamson	MTECC	0	2	0	2	0	30
2/11/16	Lost Rivers Film Screening	General Education	Cumberland River Compact, Urban Green Lab	Davidson	General	0	2	0	4	50	0
1/30/16	Rotary Club of Brentwood 11th Annual Little Harpeth Clean-up	Outreach	Rotary club of Brentwood	Williamson	General	0	1	0	2	106	0
11/14/15	Harpeth Hangout @ Little Harpeth Brewing	Outreach	Little Harpeth Brewing	Davidson	Little Harpeth Brewing	0	5	0	15	50	0
10/28/15	Orvis Down The Hatch	Outreach	Orvis	Davidson	Orvis	0	5	0	20	250	0
10/22/15	Pint Night @ Cumberland River Transit	Outreach	Cumberland Transit	Davidson	General	0	2	0	8	50	0
9/21/15	Belmont Student/community Fair	Outreach	Belmont	Davidson	General	0	2	0	9	40	0
7/30/15	Camp Warner Park	Education	CWP	Davidson	Mapp	0	2	0	8	0	35
7/24/15	Pen PALS	Education	WPNC	Davidson	Mapp	0	3	0	12	0	30
7/22/15	Adventure Science Center	Education	Adventure Science Center	Davidson	Mapp	0	2	0	6	0	65
7/17/15	Pen PALS	Education	WPNC	Davidson	Mapp	0	3	0	12	0	32
7/16/15	Camp Warner Park	Education	CWP	Davidson	Mapp	0	4	0	12	0	45
7/10/15	Pen PALS	Education	WPNC	Davidson	Mapp	0	3	0	12	0	55
7/9/15	Camp Warner Park	Education	CWP	Davidson	Mapp	0	3	0	9	0	60
6/26/15	Pen PALS	Education	WPNC	Davidson	Mapp	0	3	0	12	0	35
6/19/15	Pen PALS	Education	WPNC	Davidson	Mapp	0	3	0	12	0	25
6/17/15	Adventure Science Center	Education	Adventure Science Center	Davidson	Mapp	0	2	0	2	0	40
6/12/15	Pen PALS	Education	WPNC	Davidson	Mapp	0	3	0	12	0	50

6/11/15	Camp Warner Park	Education	CWP	Davidson	Mapp	0	3	0	9	0	40
6/10/15	St. Augustine Creation Care Camp	Education	St. Augustine	Davidson	Mapp	0	3	0	9	0	20
6/9/15	A Rocha Creation Care Camp	Education	Nashville A Rocha	Davidson	Mapp	0	3	0	9	0	20
5/20/15	NRCS Farm Field Day	Outreach	Five Rivers RC&D	Davidson	General	0	1	0	5.5	40	0
5/19/15	Open mic night	Outreach	Cumberland Transit	Davidson	General	0	1	0	3	25	0
5/14/15	Five River RC&D Meeting/Presentation	Outreach	Five Rivers RC&D	Davidson	General	0	2	0	6	20	0
5/7/15	Pint Night	Outreach	Cumberland Transit	Davidson	General	0	2	0	6	30	0
5/2/15	Paddlefest	Outreach	Paddle Adventures Unlimited, TSRA	Sumner	General	2	3	10	15	50	0
4/26/15	Springfest	Outreach	Green Door Gourmet, Open Call	Davidson	General	2	2	12	12	50	0
4/25/15	Franklin Main Street Festival	Outreach	Waltons Jewelry, Open Call	Williamson	General	2	2	10	10	50	0
4/23/15	Humphrey County Envirothon	Education	Five Rivers RC&D	Humphreys	General	0	1	0	4	0	20
4/18/15	Earth Day Centennial	Outreach	- Open Call	Davidson	General	6	4	18	12	75	0
4/16/15	Pint Night	Outreach	Cumberland Transit	Davidson	General	0	2	0	6		
4/11/15	Bells Bend Outdoor Expo	Outreach	Metro Parks, Open Call	Davidson	General	2	3	12	18		
4/9/15	Mid TN STEM Expo	Education	Middle TN STEM Innovation Hub	Rutherford	Mapp	0	2	0	8		
4/2/15	Outreach LP	Outreach	Whole Foods	Williamson	General	1	1	4	4		