

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)

2012

Open to Public
InspectionDepartment of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2012 calendar year, or tax year beginning Apr 1, 2012, and ending Mar 31, 2013

B Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization Harpeth River Watershed Association, Inc.

Doing Business As

Number and street (or P.O. box if mail is not delivered to street addr)

Room/suite

PO Box 1127

City, town or country

State ZIP code + 4

Franklin

TN 37065

D Employer Identification Number

62-1802858

E Telephone number

(615) 790-9767

G Gross receipts \$ 559,824.

F Name and address of principal officer:

Dorene Bolze 215 Jamestown Park Brentwood TN 37027

H(a) Is this a group return for affiliates?

Yes ☐ No ☒H(b) Are all affiliates included?
If 'No,' attach a list. (see instructions)Yes ☐ No ☐I Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: N/A

H(c) Group exemption number

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of Formation: 1999

M State of legal domicile: TN

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities:	To protect and restore the state scenic Harpeth River and clean water in Tennessee	
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	7
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	7
Revenue	5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	8
	6	Total number of volunteers (estimate if necessary)	6	558
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
	7b	Net unrelated business taxable income from Form 990-T, line 34	7b	
Expenses	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	342,889.	459,062.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	7,100.	11,669.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	49.	28.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	55,799.	47,548.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	405,837.	518,307.
	14	Benefits paid to or for members (Part IX, column (A), line 4)		
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	159,453.	266,852.
	16b	Total fundraising expenses (Part IX, column (D), line 25)	22,912.	
Net Assets or Fund Balances	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	191,743.	268,049.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	351,196.	534,901.
	19	Revenue less expenses. Subtract line 18 from line 12	54,641.	-16,594.
	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21	Total liabilities (Part X, line 26)	148,742.	134,806.
	22	Net assets or fund balances. Subtract line 21 from line 20	17,479.	20,137.
		131,263.	114,669.	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

Date

Dorene Bolze

Type or print name and title.

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☒ if self-employed

PTIN

Richard Fridge, CPA

9/26/13

P00671940

Firm's name Richard Fridge, CPA

Firm's address 1907 21st Ave S

Nashville

TN 37212

Firm's EIN

Phone no. (615) 383-7717

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

BAA For Paperwork Reduction Act Notice, see the separate instructions.

TEEA0101 05/09/13

Form 990 (2012)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☐

1 Briefly describe the organization's mission:

To protect and restore the state scenic
Harpeth River and clean water in Tennessee2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If 'Yes,' describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If 'Yes,' describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 98,142. including grants of \$ 0.) (Revenue \$ 11,669.)River Restoration and Wildlife Protection - see attached narrative description4b (Code:) (Expenses \$ 318,961. including grants of \$ 0.) (Revenue \$ 0.)Water Quality Protection - see attached narrative description4c (Code:) (Expenses \$ 73,607. including grants of \$ 0.) (Revenue \$ 0.)Outreach, Education & Recreation - see attached narrative description

4d Other program services. (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses **490,710.**

Part IV Checklist of Required Schedules

	Yes	No	
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A	1	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I	3		X
4 Section 501(c)(3) organizations Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II	4		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III	5		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I	6		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If 'Yes,' complete Schedule D, Part II	7		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III	8		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV	9		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V	10		X
11 If the organization's answer to any of the following questions is 'Yes', then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a Did the organization report an amount for land, buildings and equipment in Part X, line 10? If 'Yes,' complete Schedule D, Part VI	11a	X	
b Did the organization report an amount for investments — other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII	11b	X	
c Did the organization report an amount for investments — program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII	11c		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX	11d		X
e Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X	11e		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X	11f		X
12a Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI, and XII	12a	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E	13		X
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If 'Yes,' complete Schedule F, Parts I and IV	14b		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If 'Yes,' complete Schedule F, Parts II and IV	15		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If 'Yes,' complete Schedule F, Parts III and IV	16		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions)	17		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II	18	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes,' complete Schedule G, Part III	19		X
20a Did the organization operate one or more hospital facilities? If 'Yes,' complete Schedule H	20		X
b If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules (continued)

		Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If 'Yes,' complete Schedule I, Parts I and II</i>	21		X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If 'Yes,' complete Schedule I, Parts I and III</i>	22		X
23 Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If 'Yes,' complete Schedule J</i>	23		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, and that was issued after December 31, 2002? <i>If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No,' go to line 25</i>	24a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year?	24d		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If 'Yes,' complete Schedule L, Part I</i>	25a		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If 'Yes,' complete Schedule L, Part I</i>	25b		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If 'Yes,' complete Schedule L, Part II</i>	26		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If 'Yes,' complete Schedule L, Part III</i>	27		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a A current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV</i>	28a		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV</i>	28b		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If 'Yes,' complete Schedule L, Part IV</i>	28c		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If 'Yes,' complete Schedule M</i>	29		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If 'Yes,' complete Schedule M</i>	30		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If 'Yes,' complete Schedule N, Part I</i>	31		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If 'Yes,' complete Schedule N, Part II</i>	32		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If 'Yes,' complete Schedule R, Part I</i>	33		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If 'Yes,' complete Schedule R, Parts II, III, IV, and V, line 1</i>	34		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If 'Yes,' complete Schedule R, Part V, line 2</i>	35b		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If 'Yes,' complete Schedule R, Part V, line 2</i>	36		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If 'Yes,' complete Schedule R, Part VI</i>	37		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	X	

BAA

Form 990 (2012)

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response to any question in this Part V ☐

	Yes	No
1 a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1 a	10
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1 b	0
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1 c	
2 a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2 a	8
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. (see instructions)	2 b	X
3 a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3 a	X
b If 'Yes' has it filed a Form 990-T for this year? If 'No,' provide an explanation in Schedule O	3 b	
4 a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4 a	X
b If 'Yes,' enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5 a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5 a	X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5 b	X
c If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T?	5 c	
6 a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6 a	X
b If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6 b	
7 Organizations that may receive deductible contributions under section 170(c).		
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7 a	X
b If 'Yes,' did the organization notify the donor of the value of the goods or services provided?	7 b	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7 c	X
d If 'Yes,' indicate the number of Forms 8282 filed during the year	7 d	
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7 e	X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7 f	X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7 g	
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7 h	
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	
9 Sponsoring organizations maintaining donor advised funds.		
a Did the organization make any taxable distributions under section 4966?	9 a	
b Did the organization make a distribution to a donor, donor advisor, or related person?	9 b	
10 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on Part VIII, line 12	10 a	
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10 b	
11 Section 501(c)(12) organizations. Enter:		
a Gross income from members or shareholders	11 a	
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11 b	
12 a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12 a	
b If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year	12 b	
13 Section 501(c)(29) qualified nonprofit health insurance issuers.		
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13 a	
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13 b	
c Enter the amount of reserves on hand	13 c	
14 a Did the organization receive any payments for indoor tanning services during the tax year?	14 a	X
b If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule O	14 b	

Part VI Governance, Management and Disclosure For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.Check if Schedule O contains a response to any question in this Part VI ☒**Section A. Governing Body and Management**

		Yes	No
1 a Enter the number of voting members of the governing body at the end of the tax year	1 a 7		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b Enter the number of voting members included in line 1a, above, who are independent	1 b 7		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee or key employee?	2		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5		X
6 Did the organization have members or stockholders?	6		X
7 a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7 a		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or other persons other than the governing body?	7 b		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a The governing body?	8 a	X	
b Each committee with authority to act on behalf of the governing body?	8 b	X	
9 Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O	9	X	

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10 a Did the organization have local chapters, branches, or affiliates?	10 a	X
b If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10 b	
11 a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11 a	X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12 a Did the organization have a written conflict of interest policy? If 'No,' go to line 13	12 a	X
b Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12 b	X
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this is done	12 c	X
13 Did the organization have a written whistleblower policy?	13	X
14 Did the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15 a	X
b Other officers of key employees of the organization	15 b	X
If 'Yes' to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16 a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16 a	X
b If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16 b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ Tennessee

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.

☒ Own website ☒ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization makes its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization:

▶ Dorene Bolze 215 Jamestown Park Brentwood TN 37027 (615) 790-9767

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response to any question in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1 a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of 'key employee.'
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) <u>Dorene Bolze</u> Executive Director	40.00				X	X		66,000.	0.	0.
(2) <u>Matt Dobson</u> Chairman	5.00	X		X				0.	0.	0.
(3) <u>Angela Calhoun</u> Vice Chairman	5.00	X		X				0.	0.	0.
(4) <u>Phil Pace</u> Treasurer	5.00	X		X				0.	0.	0.
(5) <u>Mike Corn</u> Secretary	5.00	X		X				0.	0.	0.
(6) <u>Deborah Herron Miede</u> Board Member	5.00	X						0.	0.	0.
(7) <u>David Bridgers</u> Board Member	5.00	X						0.	0.	0.
(8) <u>John Dab</u> Board Member	5.00	X						0.	0.	0.
(9) _____	_____									
(10) _____	_____									
(11) _____	_____									
(12) _____	_____									
(13) _____	_____									
(14) _____	_____									

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (cont)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) -----										
(16) -----										
(17) -----										
(18) -----										
(19) -----										
(20) -----										
(21) -----										
(22) -----										
(23) -----										
(24) -----										
(25) -----										
1 b Sub-total								66,000.	0.	0.
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								66,000.	0.	0.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶

3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual

	Yes	No
3		X
4		X
5		X

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶

Part VIII Statement of RevenueCheck if Schedule O contains a response to any question in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
CONTRIBUTIONS, GIFTS, GRANTS AND OTHER SIMILAR AMOUNTS	1 a Federated campaigns	1 a				
	b Membership dues	1 b				
	c Fundraising events	1 c				
	d Related organizations	1 d				
	e Government grants (contributions)	1 e	196,672.			
	f All other contributions, gifts, grants, and similar amounts not included above	1 f	262,390.			
	g Noncash contributions included in lns 1a-1f: \$					
	h Total. Add lines 1a-1f		459,062.			
PROGRAM SERVICE REVENUE	Business Code					
	2 a Service Revenue	541700	11,669.	11,669.	0.	0.
	b					
	c					
	d					
	e					
	f All other program service revenue					
g Total. Add lines 2a-2f		11,669.				
OTHER REVENUE	3 Investment income (including dividends, interest and other similar amounts)		28.	0.	0.	28.
	4 Income from investment of tax-exempt bond proceeds ..					
	5 Royalties					
	6 a Gross rents	(i) Real (ii) Personal				
	b Less: rental expenses					
	c Rental income or (loss) ...					
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory ..	(i) Securities (ii) Other				
	b Less: cost or other basis and sales expenses					
	c Gain or (loss)					
	d Net gain or (loss)					
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a	87,355.			
	b Less: direct expenses	b	41,517.			
	c Net income or (loss) from fundraising events		45,838.		0.	45,838.
	9 a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities					
10 a Gross sales of inventory, less returns and allowances	a					
b Less: cost of goods sold	b					
c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code				
11 a Misc Revenue	900099	1,710.	0.	0.	1,710.	
b						
c						
d All other revenue						
e Total. Add lines 11a-11d		1,710.				
12 Total revenue. See instructions		518,307.	11,669.	0.	47,576.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	66,000.	52,800.	3,300.	9,900.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	175,760.	159,154.	9,317.	7,289.
7 Other salaries and wages				
8 Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes	25,092.	22,721.	1,330.	1,041.
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	4,574.	0.	4,574.	0.
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amt exceeds 10% of line 25, column (A) amt, list line 11g expenses on Sch O)	183,644.	183,578.	0.	66.
12 Advertising and promotion				
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy	26,319.	23,816.	1,199.	1,304.
17 Travel	6,569.	6,529.	0.	40.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization				
23 Insurance	4,438.	3,972.	224.	242.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Dues and Fees	4,089.	3,277.	812.	0.
b Supplies	38,416.	34,863.	523.	3,030.
c				
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	534,901.	490,710.	21,279.	22,912.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X Balance SheetCheck if Schedule O contains a response to any question in this Part X ☐

		(A) Beginning of year		(B) End of year
ASSETS	1 Cash – non-interest-bearing	54,489.	1	39,925.
	2 Savings and temporary cash investments		2	
	3 Pledges and grants receivable, net	11,160.	3	0.
	4 Accounts receivable, net	9,394.	4	19,964.
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	1,512.	9	2,723.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 31,230.		
	b Less: accumulated depreciation	10b 31,230.	0.	10c 0.
	11 Investments – publicly traded securities		11	
	12 Investments – other securities. See Part IV, line 11	72,187.	12	72,194.
	13 Investments – program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
15 Other assets. See Part IV, line 11		15		
16 Total assets. Add lines 1 through 15 (must equal line 34)	148,742.	16	134,806.	
LIABILITIES	17 Accounts payable and accrued expenses	13,174.	17	13,802.
	18 Grants payable		18	
	19 Deferred revenue	4,305.	19	6,335.
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D ..		25	
	26 Total liabilities. Add lines 17 through 25	17,479.	26	20,137.
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	117,658.	27	113,988.
	28 Temporarily restricted net assets	13,605.	28	681.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	131,263.	33	114,669.
34 Total liabilities and net assets/fund balances	148,742.	34	134,806.	

BAA

Form 990 (2012)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response to any question in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	518,307.
2	Total expenses (must equal Part IX, column (A), line 25)	2	534,901.
3	Revenue less expenses. Subtract line 2 from line 1	3	-16,594.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	131,263.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	114,669.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response to any question in this Part XII ☐

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____		
If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O.			
2 a	Were the organization's financial statements compiled or reviewed by an independent accountant?		X
If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:			
<input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis			
2 b	Were the organization's financial statements audited by an independent accountant?	X	
If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:			
<input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis			
2 c	If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	X	
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3 a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3 b	If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

BAA

Form 990 (2012)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No. 1545-0047

2012

Open to Public Inspection

Name of the organization

Employer identification number

Harpeth River Watershed Association, Inc.

62-1802858

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☒ An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions — subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a ☐ Type I b ☐ Type II c ☐ Type III — Functionally integrated d ☐ Type III — Non-functionally integrated
 - e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**.
 - f If the organization received a written determination from the IRS that is a Type I, Type II or Type III supporting organization, check this box ☐
 - g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11 g (i)	
(ii) A family member of a person described in (i) above?	11 g (ii)	
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	11 g (iii)	

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in column (i) listed in your governing document?		(v) Did you notify the organization in column (i) of your support?		(vi) Is the organization in column (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants.')						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3 ...						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) ...						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	%
16a 33-1/3% support test — 2012. If the organization did not check the box on line 13, and the line 14 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
b 33-1/3% support test — 2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
17a 10%-facts-and-circumstances test — 2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
b 10%-facts-and-circumstances test — 2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	<input type="checkbox"/>	

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal yr beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions and membership fees received. (Do not include any 'unusual grants.')	609,646.	347,732.	286,286.	342,889.	459,062.	2,045,615.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	10,066.	10,050.	9,349.	7,100.	11,669.	48,234.
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5 ...	619,712.	357,782.	295,635.	349,989.	470,731.	2,093,849.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons	185,000.	119,150.	25,565.	148,545.	136,100.	614,360.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b	185,000.	119,150.	25,565.	148,545.	136,100.	614,360.
8 Public support. (Subtract line 7c from line 6.)						1,479,489.

Section B. Total Support

Calendar year (or fiscal yr beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6	619,712.	357,782.	295,635.	349,989.	470,731.	2,093,849.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	2,400.		35.	49.	28.	2,512.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 ..						
c Add lines 10a and 10b	2,400.		35.	49.	28.	2,512.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	43,577.	43,968.	48,264.	55,799.	47,548.	239,156.
13 Total support. (Add lines 9, 10c, 11, and 12.)	665,689.	401,750.	343,934.	405,837.	518,307.	2,335,517.
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	63.35 %
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	63.67 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	0.11 %
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	0.26 %

19a **33-1/3% support tests – 2012.** If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

☒

b **33-1/3% support tests – 2011.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

☐

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information.
(See instructions).Other Income Part III, Line 12Description: Net Income from Fundraising Events2008: 43577.2009: 43230.2010: 47273.2011: 54851.2012: 45838.Description: Misc Revenue2009: 738.2010: 991.2011: 948.2012: 1710.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization

Supplemental Financial Statements

▶ Complete if the organization answered 'Yes,' to Form 990,
Part IV, lines 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012

**Open to Public
Inspection**

Employer identification number

Harpeth River Watershed Association, Inc.

62-1802858

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered 'Yes' to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part II Conservation Easements. Complete if the organization answered 'Yes' to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2 a
b Total acreage restricted by conservation easements	2 b
c Number of conservation easements on a certified historic structure included in (a)	2 c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2 d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 8.

1 a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$

(ii) Assets included in Form 990, Part X ▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ \$

b Assets included in Form 990, Part X ▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition

b ☐ Scholarly research

c ☐ Preservation for future generations

d ☐ Loan or exchange programs

e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered 'Yes' to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1 a Is the organization an agent, trustee, custodian, or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If 'Yes,' explain the arrangement in Part XIII and complete the following table:

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

	Amount
1 c	
1 d	
1 e	
1 f	

2 a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If 'Yes,' explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐ Yes ☐ No

Part V Endowment Funds. Complete if the organization answered 'Yes' to Form 990, Part IV, line 10.

	(a) Current	(b) Prior year	(c) Two years	(d) Three years	(e) Four years
1 a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment %

b Permanent endowment %

c Temporarily restricted endowment %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3 a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)	<input type="checkbox"/>	<input type="checkbox"/>
3a(ii)	<input type="checkbox"/>	<input type="checkbox"/>
3b	<input type="checkbox"/>	<input type="checkbox"/>

b If 'Yes' to 3a(ii), are the related organizations listed as required on Schedule R? ☐ Yes ☐ No

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1 a Land				
b Buildings				
c Leasehold improvements				
d Equipment	31,230.		31,230.	0.
e Other				

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) 0.

BAA

Schedule D (Form 990) 2012

Part VII Investments – Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) Brokerage Account	72,194.	FMV
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Column (b) must equal Form 990, Part X, column (B) line 12.) ..	72,194.	

Part VIII Investments – Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, column (B) line 13.) ..		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, column (B), line 15.) ..	

Part X Other Liabilities. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, column (B) line 25.) ..	

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	559,824.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	41,517.
e	Add lines 2a through 2d	2e	41,517.
3	Subtract line 2e from line 1	3	518,307.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	518,307.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	576,418.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	41,517.
e	Add lines 2a through 2d	2e	41,517.
3	Subtract line 2e from line 1	3	534,901.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	534,901.

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Pt XII Line 2d Event expenses of \$41,517 netted against revenues per the
audited financial statements, but shown separately per the 990.

Pt XIII Line 2d Event expenses of \$41,517 netted against revenues per the
audited financial statements, but shown separately per the 990.

Part XIII Supplemental Information (continued)

[illegible]

Department of the Treasury
Internal Revenue Service

Complete if the organization answered 'Yes' to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

2012

Open to Public Inspection

Employer identification number


62-1802858

Part I

Fundraising Activities. Complete if the organization answered 'Yes' to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- a ☐ Mail solicitations

- b** ☐ Internet and email solicitations

- c  Phone solicitations

- d ☐ In-person solicitations

- e** ☐ Solicitation of non-government grants

- f ☐ Solicitation of government grants

- g Special fundraising events

- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No

- b** If 'Yes,' list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

Total

- 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part I Fundraising Events. Complete if the organization answered 'Yes' to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

REVENUE		(a) Event #1 <u>Dinner/Concert</u> (event type)	(b) Event #2 _____ (event type)	(c) Other events _____ (total number)	(d) Total events (add column (a) through column (c))
1	Gross receipts	87,355.			87,355.
2	Less: Charitable contributions				
3	Gross income (line 1 minus line 2)	87,355.			87,355.
DIRECT EXPENSES	4	Cash prizes			
	5	Noncash prizes	170.		170.
	6	Rent/facility costs	18,507.		18,507.
	7	Food and beverages	3,000.		3,000.
	8	Entertainment	2,758.		2,758.
	9	Other direct expenses	17,082.		17,082.
	10	Direct expense summary. Add lines 4 through 9 in column (d)			41,517.
	11	Net income summary. Combine line 3, column (d), and line 10			45,838.

Part III Gaming. Complete if the organization answered 'Yes' to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

REVENUE		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add column (a) through column (c))
1	Gross revenue				
DIRECT EXPENSES	2	Cash prizes			
	3	Non-cash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	Yes _____ % No	Yes _____ % No	Yes _____ % No
	7	Direct expense summary. Add lines 2 through 5 in column (d)			
	8	Net gaming income summary. Combine lines 1, column (d) and line 7			

9 Enter the state(s) in which the organization operates gaming activities: _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ Nob If 'No,' explain: _____
_____10 a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ Nob If 'Yes,' explain: _____

- | | | | |
|----|---|------------------------------|-----------------------------|
| 11 | Does the organization operate gaming activities with nonmembers? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 12 | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 13 | Indicate the percentage of gaming activity operated in: | | |
| a | The organization's facility | 13 a | % |
| b | An outside facility | 13 b | % |
| 14 | Enter the name and address of the person who prepares the organization's gaming/special events books and records: | | |

Name ▶ _____

Address ▶

- 15 a Does the organization have a contact with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No
- b If 'Yes,' enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____
- c If 'Yes,' enter name and address of the third party: _____

Name

Address ▶ _____

- 16 Gaming manager information:

Name

Gaming manager compensation ▶ \$ _____

Description of services provided ▶

☐ Director/officer

Employee

☐ Independent contractor

- ## 17 Mandatory distributions

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? _____ ☐ Yes ☐ No
- b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV. Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

Harpeth River Watershed Association, Inc.

Employer identification number

62-1802858

Pt VI, Line 11a The draft of the 990 is reviewed by the financial administration staff team and sent to the board's finance committee for review before submittal to the IRS.

Pt VI, Line 15 The Executive Director and all HRWA full-time employees are reviewed annually. Supervisors review staff that they manage. For the Executive Director, the board appoints a review committee each year. The Executive Director completes a review as do the members of the review committee. The rest of the board is provided with the results of the annual review for input as well. Recommendations for priorities for the Executive Director, compensation and other aspects from the review committee are presented to the full board for approval. The annual review process is timed to fit with the annual budget cycle.

Pt VI, Line 19 All HRWA governing documents are on a public web site managed and reviewed by the Community Foundation of Middle TN, called Giving Matters. The Giving Matters staff review all postings and they specifically enter the financial data that is derived from the 990s. HRWA has a link on our web site to Giving Matters and informs the public that the governing documents, by-laws, and financial documents can be found here. We also provide such information to any who request it.

Schedule O (Form 990) Supplemental Information to Form 990

Form 990, Page 6, Line 9 (continued)

Name	Address	City	St	ZIP
<u>Matt Dobson</u>	<u>1102 18th Ave</u>	<u>Nashville</u>	<u>TN</u>	<u>37212</u>
<u>Angela Calhoun</u>	<u>1005 Scramblers Knob</u>	<u>Franklin</u>	<u>TN</u>	<u>37069</u>
<u>Phil Pace</u>	<u>2601 Westwood Dr</u>	<u>Nashville</u>	<u>TN</u>	<u>37204</u>
<u>Mike Corn</u>	<u>215 Jamestown Park #100</u>	<u>Brentwood</u>	<u>TN</u>	<u>37027</u>
<u>John Dab</u>	<u>One Nissan Way</u>	<u>Franklin</u>	<u>TN</u>	<u>37067</u>
<u>David Bridgers</u>	<u>150 4th Ave N #2000</u>	<u>Nashville</u>	<u>TN</u>	<u>37219</u>
<u>Deborah Herron Miede</u>	<u>6878 Walnut Hills Dr</u>	<u>Brentwood</u>	<u>TN</u>	<u>37027</u>



HARPETH RIVER WATERSHED ASSOCIATION

ANNUAL REPORT: FY12

April 1, 2012 to March 31, 2013

I. Water Quality Protection and Sustainability: \$318,961

- Harpeth River Restoration and Lowhead dam project:

This nationally funded project was designed and planned in the prior year. In FY12, the actual work began to demolish the dam and build the in-stream cross vein structure, install the toe wood structure to build new and appropriate fish habitat, plant native trees and shrubs on the stepped-back banks of the river, and install a pervious pavement parking area. All details of the project, including time lapse video of the project as it unfolded beginning in July of 2012, are on our website: <http://www.harpethriver.org/programs/water/dam>. During the spring of 2012 the plans for installing a dam cam were completed and we found a sponsor, Waste Management to underwrite the cost of the project, for which we negotiated a discount. The first stage of taking out the trees near the dam site in order to allow equipment to get in and remove the structure was undertaken and completed in July of 2012. This received a great deal of public comment, however the project could not have been safely undertaken without removing the trees first. Full reforestation was part of the final stage of the project. Earlier in the spring, testing of the silt caught behind the dam confirmed that the sediment was not toxic. The demolition of the lowhead dam structure began in early August with TDEC playing a major role in this stage. Once the dam was demolished and pieces removed, the work on constructing the double-invert boulder cross vane structure in the main channel to control pool elevation and several features to help with streambank stability and habitat began. There are other structures in two tributaries and boulders in the main channel for fish habitat. This plan restored over 8000 feet of the river to a free flowing natural riffle, run, pool structure, reduced siltation and sedimentation, improved habitat and water quality, and allowed for fish passage while providing the city with a beautiful improved access for fishing and paddling; all while not hindering the city's ability to make drinking water under the terms of the state ARAP water withdrawal permit. The Harpeth River is now free flowing for its entire length, which makes its only one of 2 or 3 such rivers in all of the state.

Final grant paperwork and write ups were completed in the spring, including powerpoints for use of all partners. The project represented a significant savings to the city for a project that retained their ability to make drinking water as before, but greatly improved the ecosystem in that area of the river, protecting key species and improving habitat for at risk species.

With the public nature of this project, press coverage was extensive. Many stories ran in the Tennessean and other papers in the region. News of the project made national press and already, other states are seeking to replicate what was done on this project, showing that this

project has served as a national model of what can be done to retain drinking water access but allow for a more natural river flow and improved ecology. A major press event was held at the beginning of the dam demolition process, and included the mayor of Franklin, representatives from the Department of Interior and other partners. Over the course of the Fall of 2012 and the Spring of 2013, the plantings began to take hold and begin to reforest the river banks.

TDEC nominated the project for the Environmental Stewardship Award in Excellence in Natural Heritage. HRWA helped with the nomination materials. The project was chosen for the Governor's award. The ceremony was held in the spring in the next FY.

State Water Quality Protection and Stormwater:

- This fiscal year HRWA worked with a team of experts to prepare a comprehensive water quality monitoring and watershed management effort. The 3 NPDES permits for the sewer plants in northern Williamson County: the city of Franklin (the largest at 12 MGD), Lynwood (now called Berry's Chapel) and Cartwright Creek Utility district, were up for renewal in the fall of 2011. HRWA worked with agencies, the permittees, TDEC, EPA, USGS, and others to prepare a comprehensive monitoring plan that the sewer permittees would participate in as part of their permit and would be expanded to include stormwater jurisdictions, TDOT and others. In various meetings and conference calls, there is consensus that appropriate water quality data needs to be gathered. HRWA also looked around the country and reached out to partners such as the Charles River Watershed Association to learn about processes in place that have multiple point sources coordinating in one comprehensive monitoring program and in decision making on pollutant load allocations. HRWA compiled these documents and in February provided all the federal and state agencies and sewer permittees with a draft watershed water quality monitoring program, the structure for a Technical Advisory Committee and how it would function under TDEC to oversee monitoring and new river models and a TMDL, a compilation of all continuous monitoring data on dissolved oxygen, and examples of approaches around the country. The draft new NPDES permits incorporated aspects of HRWA's recommendations that were issued for comment in April.
- Dissolved Oxygen data for the entire Harpeth River Mainstem was compiled and presented at the April 2012 AWRA TN conference. The presentation focused on how the data indicates that the river is persistently not meeting state water quality standards and the need to integrate proposals of Franklin's IWRP to come up with a long-term plan for sewer and drinking water expansion for the city that enables the river to meet standards. This data with the draft comprehensive monitoring plan was distributed to TDEC, EPA, sewer permittees and others in the fall of 2012 for TDEC to have as they worked on the draft permits. HRWA also prepared a proposal for initiating the TAC and the monitoring plan, but it was not funded by the TVA funded TN Healthy Watershed Initiative. Those funds are now obligated.
- In April, the city's consultants presented a \$200 million capital cost proposal from the IWRP that would have treated sewer discharge from a new plant discharge into the river just 3 miles upstream of the city's current drinking water intake. HRWA, the government agency people on the city's stakeholder group and others specifically in February 2012 did not vote on one of the 4 basic options analyzed by the IWRP and made it clear that the public would likely not support

this “toilet to tap” approach. At the April presentations to BOMA, the consultants and city staff presented the one option as if the stakeholders had voted and recommended this one. HRWA attended meetings and provided comments in writing and verbally to clarify that there was no vote nor a recommendation by the experts/stakeholders. This was because there was no support for a particular scenario. As a result, the city BOMA did not vote on a complete \$200 million package. The city leadership felt some of the items, such as the \$50 million to manage sludge, could be revisited and some were too far into the future to need to be approved now. Also the city aldermen did NOT support an expansion in the drinking water plant. HRWA did a short but effective effort to explain the complex IWRP, help aldermen with questions, and prepared a flier and outreach to the public for them to communicate with aldermen.

- City of Franklin ARAP for water withdrawal for drinking water: Water withdrawal permits are for five years and do not automatically renew. The city proposed a continuation of their current permit. HRWA and TWRA provided comments. HRWA met with USGS staff and had them do a site visit to provide input to the city on how to gage the river’s flow before the withdrawal now that the lowhead dam was removed. These were sent to the city and to TDEC in the comments to provide more specificity on how to both measure the river’s instantaneous flow prior to withdrawal, report both the city’s withdrawals to TDEC on a regular basis, and have the river’s flow data publicly available. In addition, science on the effects of water withdraws on river systems has advanced. A new compilation of studies found that withdrawals of over 10% of a river’s flow will affect ecology and that 20% or above will cause significant degradation. HRWA provided this to TDEC, the city of Franklin and others along with recommendations that the new permit conditions include the reduction of the 20% to 10% withdrawal. The final new permit was not issued until September of 2013.
- Scenic River Designation: In the state legislature, Representative Bo Mitchell, from Bellevue, introduced a bill to prohibit landfills within a 2 mile radius of any public park in Davidson County. This was initiated to resolve any future proposal by Mitchell on the property on Old Charlotte Pike. The new final solid waste regulations that has the State Scenic Rivers Act language incorporated were issued in March. HRWA sent them to the Davidson County Solid Waste Board to recommend they include these into their solid waste plan and review of new proposed landfills. Now the board has the authority to not approve proposed landfills along the stat scenic river prohibition zone along the Harpeth since it is in the solid waste act which is also the act that authorizes the board. This would prevent the local board from approving landfills in areas that could not get a state permit. The Solid Waste board will be focusing on this sometime in 2013.
- Army Corps of Engineers completed its Harpeth River Reconnaissance study. At the beginning of 2013 the efforts of the Army Corps to move to a Feasibility Study was out for comment. HRWA has helped to garner financial support of four local partners: Metro, Franklin, Brentwood, and Williamson County. The Recon and Feasibility study documents put heavy emphasis on a dry-dam in the headwaters. This led to press reports and HRWA concerns about a new structure right after removing the last remaining structure- the lowhead dam. The Corps explained that the emphasis in the report was more for internal use and that no project can be funded if there is no local funding. The Corps intends to de-emphasize such a large construction

project. Bolze was put officially on the Feasibility study's Project Team since HRWA is such a significant stakeholder and can help to find resources.

- In the spring of 2013 HRWA prepared a report on the potential of effects of Oil and Gas Fracking in TN based on the experience with the drilling of a drinking water well in the western highland rim in the southern Fairview area. A shallow well ended up full of methane that was burned for a few weeks. The nearby creek had oil and gas bubbling into the creek from limestone cracks. The report was part of efforts by a coalition working on establishing tighter state regulations, eliminating the 200,000 gallon a day pump size for public notice, and the UT effort to do "research" fracking. HRWA has a web page on this report.
- Bolze is vice-Chair of both Franklin and Williamson County's Stormwater Appeals Board. Various items came before the boards.

II. River Restoration and Wildlife Protection: \$109,811

A chart of the projects conducted during the fiscal year under the River Restoration program is attached at the end of the report.

Nashville Waterway Recovery Project

- HRWA's work around the Harpeth River was supported by the remaining \$35,000 grant from the CFMT Middle Tennessee Emergency Response Fund and through Hands on Nashville with the River Fund. At the end of the fiscal year and new collaborative grant through Metropolitan Development and Housing Agency for \$125,000 funded HRWA and other partners to address most all of the remaining sites. A site assessment of the conditions in the Harpeth River in flood damaged areas was conducted by HRWA during the summer of 2012 with funding from the River Fund via HON. The results of this assessment enabled HRWA to find remaining hotspots of flood debris and prioritize bank reforestation areas and resulted in the specific Davidson County sites in need of stream cleanups for the MDHA grant. Through the final CFMT Grant money, HRWA conducted 8 stream cleanups in Davidson County. In March of 2013, HRWA conducted 1 additional stream cleanup under the MDHA grant. Nearly 200 volunteers donated time to aid in the removal of 15 tons of debris from these 9 stream cleanups. See project details in the chart below.

Fish Habitat and River Restoration

- Fish Habitat and Restoration Initiative: HRWA partnered with the Tennessee Environmental Council (TEC) to create an initiative around middle TN with support from the Dan and Margaret Maddox Charitable Fund. This project was first funded in 2010. The project's goal in 2012 is to restore fish habitat via 10 stream restoration projects and at least 2500 trees planted, with a variety of approaches that enable volunteer involvement and target a percentage of public and low income areas. Project types include reforestation along stream banks, bank stabilization techniques, and rain garden installations with the target being to reduce sediment pollution in streams. The grant support is from December to November 2012. HRWA has been able to match funds in order broaden our efforts. During this fiscal year, 12 projects were completed by

HRWA which included 2 bank stabilization projects and 3193 trees and live stakes planted with the help of 230 volunteers. Additional plans for a 4,000 sq ft rain garden are in the beginning design phases during this annual cycle and will go be installed next fiscal year.

- Stream restoration in Brentwood city parks, Little Harpeth
Each year HRWA has a \$4995 contract with Brentwood city parks to conduct streambank restoration around the parks system. For Brentwood's 2012-13 fiscal year, HRWA conducted a full assessment of all past projects within the Brentwood Parks and began to plan future events accordingly. HRWA completed past bank stabilization projects along the greenway trail in Brentwood's River Park and Crocket Park by planting live stakes of cottonwood and willow shrubs along cedar revetments and bare banks. Projects were completed using 49 volunteers equaling 204 hours. HRWA provided environmental education of the Harpeth River habitat on behalf of Brentwood with each event and to all passersby.
- 319 USDA Nonpoint Source Grant in Headwaters of the Harpeth, Eagleville
HRWA began work on the 319 Grant for \$70,000 in May 2012. During this fiscal year, HRWA has contacted nearly 10 residents in Eagleville and confirmed 2 large parcels for Agricultural BMP work, including fencing cattle out of streams, providing alternative watering sources, and planting native vegetation along the stream. HRWA has partnered with NRCS in order to achieve more results by matching funds. During this fiscal year, HRWA met with the Mayor of Eagleville, created an active partnership with Eagleville High School (EHS), provided a "train the trainer" workshop for EHS teachers to begin monitoring water quality, assessed past projects in the region, and began photo documenting for a DIY Brochure.
- Ensworth High School
HRWA staff completed three Service Learning Work Day events with Ensworth students as part of the implementation of their stormwater management plan (SWMP) under a contract agreement that establishes 4 project days in October 2012, December 2012, February 2013, and April 2013 with the help of nearly 75 student volunteers. These included providing environment education with every event, conducting a macroinvertebrate sample to measure water quality along the schools property, plant trees from whip to 1" caliper sizes along the Restoration B section of the SWMP, removing exotic invasive plants, and conduct a stream cleanup along a drainage area into the Little Harpeth River. This project was included in Ensworth school publications via their Service Learning Programs.
- During this fiscal year, Tennessee Wildlife Resources Agency (TWRA) provided a small grant to HRWA for \$5,000 for restoration supplies, including additional shovels, waders, rubber mallets, trees, etc. These funds aided HRWA in stretching additional grant monies further to produce greater environmental improvements in the region.
- City of Franklin River Clean-up grant: The City of Franklin approached HRWA to incorporate a clean-up of the metal debris in the river in the downtown section with an expansion of residential recycling for a TDOT Litter Grant. It was awarded in the spring of 2013.

- Using Social Media for Restoration Projects

HRWA's facebook page has a photo album for each restoration project conducted in the annual reporting year. Eblasts and newsletters have been sent to the entire HRWA mailing lists which aid to recruit volunteers, provide recognition to volunteers and partnerships, and to thank corporate sponsors and donors. Please view the HRWA facebook page for a full list of event photos. <https://www.facebook.com/HarpethRiverWatershed>

III. **Outreach, Education & Recreation: \$73,607**

- Harpeth river State Specialty License Plate: This license plate legislation had lapsed. We requested from Senator Jack Johnson's office a new piece of legislation to take the place of the old one. Ours was grouped with other similar bills and passed, giving us until July 2015 to complete our license plate program. As of the end of the fiscal year, 230 have been sold (\$35 each). Plans at the end of FY12 included a complimentary front plate with purchase of a back plate. This will help attract more buyers. We also will find retail locations to be point of sale locations for us. Funds are restricted and reported on the 990 in Part X (Balance Sheet) on line 19 for deferred income.

Community Outreach and Education:

- HRWA had booth at the July Living Green Expo that is run by Williamson County.
- HRWA had a booth set up for Earth Day in Centennial Park in Nashville and gave away trees.
- HRWA set up a table at the legislative plaza to educate legislators about HRWA, clean water priorities, and what HRWA is focusing on currently.
- HRWA hired a VISTA volunteer for one year in July 2012. She was responsible for educating residents about drug takeback days, putting together a campaign to stop people from flushing drugs down toilets, set up education and fun days for residents throughout the watershed, work with schools on as-needed basis to do quick and informative education units with students, and start a wildlife education and information campaign to educate residents about the natural wonders that can be found in the Harpeth River. Information on "What's in Our River? What's in Our Water?" and "Wacky Wildlife" was provided in booklet format and on the website.
- One four-color newsletter was produced in Spring of 2012. We will shift to a once-a-year newsletter in FY13.
- Updated areas of the HRWA web site related to project areas: Franklin's Sewer plans and the "Toilet to Tap" proposal, wildlife found in the Harpeth from data provided from USFWS and TWRA on the lowhead dam project.
- The entire website has been redone and shifted to a new platform.
- Bolze gave presentation to Franklin Garden Club. Two table hosts for River Swing cultivated.

- Two powerpoints on the variety of fish found in the Harpeth and mussels prepared by the USFWS and TWRA put on the HRWA web site.
- Prepared a new display board related to our Tens Years of Success for use at educational and outreach events in time for Earth Day. Highly successful event at Nissan.
- Michelle Barbero participated on the American Water Resources Association TN Chapter 2012 Symposium planning committee.

Media Coverage

- This is not an exhaustive list. The Harpeth River Restoration and Lowhead Dam Removal project generated Tennessean press as it was completed in the fall and won the Governor's Award in the spring for the Environmental Stewardship Award. The River Swing and Tasting Appreciation Party—extensive press coverage in social press: NFOCUS, Williamson AM, SOCIAL, Your Williamson, and so forth. Print coverage of Denna Davis's art project to create the 18-foot long rainbow darter out of painted water bottles. Press in Williamson AM on the "toilet to tap" proposal from Franklin's Integrated Water Resources Plan as the consultant attempted to have the city aldermen vote to fund a series of sewer and drinking water options that would have been a closed loop of treated effluent into the river just upstream of the drinking water intake (April 2012.) Press on HRWA concerns with Army Corps of Engineer's emphasis in its Harpeth River Reconnaissance study for a "dry dam" in the headwaters.

IV. Fundraising, Membership and Events: \$62,401

- Harpeth River Swing 2012: About 425 people attended the October 27, 2012 event, which raised nearly \$103,000. Awards were passed out to partners on the Lowhead Dam Removal and Restoration Project, the bulk of which was complete by the end of September, 2012. River Steward Awards were honored as described above and the over 700 volunteers involved in flood clean-up and river restoration projects in the last year were recognized. Extensive media coverage of the event in the social magazines and society pages of the Tennessean and others.
- Harpeth River Tasting and HPS Appreciation Party October, 2012: Whole Foods Market in Franklin hosted a tasting party for all River Swing corporate sponsors and table hosts, in addition to members of our Harpeth Protection Society, as we did not have an event for this group of donors in the spring. This event was a lead up to the River Swing. The Dobson's hosted the event which was covered by press.
- During FY12, HRWA switched our e-blast system from EMMA to Vertical Response. This lowered costs and streamlined our system. Further investigation into Constant Contact and further integrating our Donor Perfect system with both Constant Contact and QuickBooks was begun as well. To be completed in FY13. By summer of FY13 had shifted to Constant Contact and integrated Doner Perfect with QuickBooks and Constant Contact. This has been an huge improvement since data is only entered once.

- Membership program both with semi-annual mailings and complimentary electronic contact in place. The program involves the annual end of year Harpeth Protection Campaign that targets the funding from HRWA's membership and donors. The goal of \$70,000 was met for individual donors. The campaign involved design of a self-mailer and electronic/social media campaign through eblasts and Facebook.

V. Administration, Finance, Governance, Organizational Planning: \$21,356

This section is not meant to be comprehensive. It has some overall descriptions for completeness of the entire report.

- Board member recruitment remains a focus of the board in this fiscal year with the board having an active membership size of 10 by the end of the fiscal year. The board set the preferred number to 12, but the board is intentional and thoughtful in its recruitment.
- During the year, the organization retained the same level of cash on hand - nearly 6 months of operating costs. This was partly in preparation for staff changes and increases, which will be discussed below. Cash reserves were kept at to \$72,000, even with increases in staff, which is a significant accomplishment. Almost all of the negative net income was simply due to timing on the beginning of our 319 grant for our Phase II restoration work in the headwaters in Eagleville. This simply shifted some expected income into the next fiscal year.
- In accordance with the organizational staffing plan that was prepared in the fall of 2011, HRWA hired a new Associate Director. Dr. Alexandra Ewing began as Associate Director on April 2, 2012. This is part of an overall plan to create the position of President of the organization for the current Executive Director to transition to that will involve shifting most program and operational management to the Associate Director position.
- Staffing shifted in June, with the departure of Mike Cain. In the beginning of July, 2012, Michelle Barbero came on as HRWA's Restoration and Watershed Science Program Manager. In addition, our VISTA volunteer began on August 1, 2012. Another shift occurred in October, 2012 with Anneli Terry-Nelson beginning her part-time job as Restoration Coordinator. In January, 2013 HRWA hired a ¾ time Development Director, Amy Crownover.
- Technology Upgrades: A new server was installed in-office in this FY and preparations were made to complete a series of other systems upgrades and fixes to make software and platforms consistent and working in concert. DROP box was upgraded to the business account level. The goal for FY13 is to continue to make incremental improvements to office systems so that we can function more smoothly and keep communication and work productive and unhampered.
- Completed FY11 audit, tax and state solicitation filings and updated organization's profile on Giving Matters. August mini Strategic Retreat of board was held as a precursor to annual planning. Annual planning meeting was held in late January and a plan was prepared for board.

New FY13 budget prepared and approved by Finance Committee, followed by full Board in March, 2013.



HARPETH RIVER WATERSHED ASSOCIATION

Date	Project Name	Project Type	Volunteers or group	Location Type	Funding	# of Volunteers	Hrs of Project	Volunteer Hrs	Tons of Debris Removed	# of Trees Planted
4/7/2012	McKays Mill	Tree Planting	GSA 1281	urban	CFMT/Maddox/CoF project	12	3.5	42		550
	Belle Meade Plantation	Donate Trees		historic home	CFMT			135		100
4/20/2012	Ensworth	Transplant RC	Students	school	Ensworth	45	3	45		
5/18/2012	Mill Creek @ Blue Hole Rd	Tree Planting	Cummins	urban greenway	Maddox / Cummins	15	3	51		350
5/19/2012	River Park Brentwood	Streambank Stabilization	BSA- Jeremy Steffens	park	Brentwood	27	5	137		
5/24/2012	Warner Park	Streambank Stabilization	Cummins	park	Maddox / Cummins	17	3	195		
6/16/2012	Wimpole Dr	Cleanup	Wyman	urban low income	HON	39	5	195	50 cu yards	
6/20/2012	Warner Park	Streambank Stabilization		park	Cummins/Maddox					
7/20/2012	Wimpole Dr	Cleanup	Wyman		HON		5			
7/27/2012	Wimpole Dr	Cleanup	Methodist		HON		5		50 cu yards	
8/8/2012	Wimpole Dr	Cleanup	Cummins		HON / Cummins		3		50 cu yards	
9/17/2012	MapleWood	Trail Building	Pope John Paul II Students	school	HON	35	4	140	50 cu yards	

The Harpeth River Watershed Association is a 501(c)(3) tax exempt, non-profit organization. All donations are tax deductible.
P.O. Box 1127 ■ Franklin, Tennessee 37065 ■ Phone: 615-790-9767 ■ www.harpethriver.org
♻️ Over 25% recycled paper including 25% post-consumer waste.

11/7/2012	Donald St - Pages Branch	Cleanup	Cumberland University	urban low income	HON	33	4	132	2.74	
11/10/2012	Donald St - Pages Branch	Cleanup	OPEN CALL	urban low income	HON	20	3.5	70	3.64	
11/17/2012	Donald St - Pages Branch	Cleanup	Bearfoot Republic	urban low income	HON	37	2.5	92.5	2.44	
12/8/2012	Donald St - Pages Branch	Cleanup	OPEN CALL	urban low income	HON	5	4	20	2.91	
1/21/2013	MLK Service Day	Tree Planting	Open Call	Boyd Park near TSU	Maddox/TWRA	45	3	135		130
2/16/2013	River Park, Brentwood	Live Staking	Open Call	River Park greenway marker 5 (revetment site) access from Knox Valley Drive	Maddox/Brentwood	7	2	14		170
2/21/2013	Ensworth service learning I	Tree planting	Ensworth	Campus sports fields	Ensworth/Maddox	24	3	72		80
2/21/2013	Ensworth II	Live Staking and whips	Ensworth	Warner Park Pavilion 10	Ensworth/Maddox	12	3	36		95
3/9/2013	Deal Property in Burns	Tree Planting and live stakes	Cross Point and open call	reforest hay field along stream- extend buffer to 35 ft	Maddox	14	3	42		388
3/16/2013	Laurelwood neighbors	tree planting	neighbors	residential- erosion into back yards- stakes and riparian trees	Maddox	9	2.5	22.5		80
3/21/2013	River Park, Brentwood	tree planting and live stakes	Sprint employees and open call	public park and greenway	Brentwood/Maddox	15	3.5	52.5		450
3/23/2013	Stones River- Smith property I	tree planting	TSU students	reforest hayfield along stones river, next door to ball park- widen riparian buffer to 150 ft	Maddox	51	3	153		700

3/25/2013	Stones River - Smith property II	tree planting	DCA students	reforest hayfield along stones river, next door to ball park- widen riparian buffer to 150 ft	Maddox	26	2	52		
3/27/2013	Dewain Drive	cleanup	DCA students	Park and residential stream	MDHA	44	5	220	3	200
TOTALS						532	83.5	2053.5	14.73	3293