

## PUBLIC INSPECTION COPY

Form **990****Return of Organization Exempt From Income Tax**

OMB No. 1545-0047

**2010****Open to Public Inspection**Department of the Treasury  
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

<b>A</b> For the 2010 calendar year, or tax year beginning <b>07/01</b> , 2010, and ending <b>06/30</b> , 20 <b>11</b>	
<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization <b>American Heart Association Inc</b> Doing Business As Number and street (or P.O. box if mail is not delivered to street address) Room/suite <b>7272 Greenville Ave</b> City or town, state or country, and ZIP + 4 <b>Dallas, TX 75231</b> <b>D</b> Employer identification number <b>13-5613797</b> <b>E</b> Telephone number <b>214-373-6300</b> <b>G</b> Gross receipts \$ <b>1,012,548,158</b> <b>H(a)</b> Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) <b>H(c)</b> Group exemption number ▶ <b>F</b> Name and address of principal officer: <b>Nancy A Brown</b> <b>7272 Greenville Avenue, Dallas, TX 75231</b> <b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 <b>J</b> Website: ▶ <b>www.heart.org</b> <b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶ <b>L</b> Year of formation: <b>1924</b> <b>M</b> State of legal domicile: <b>NY</b>

**Part I Summary**

<b>Activities &amp; Governance</b>	<b>1</b>	Briefly describe the organization's mission or most significant activities: <b>Building healthier lives, free of cardiovascular diseases and stroke.</b>		
	<b>2</b>	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	<b>3</b>	Number of voting members of the governing body (Part VI, line 1a)	<b>3</b>	<b>22</b>
	<b>4</b>	Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b>	<b>22</b>
	<b>5</b>	Total number of individuals employed in calendar year 2010 (Part V, line 2a)	<b>5</b>	<b>4,487</b>
	<b>6</b>	Total number of volunteers (estimate if necessary)	<b>6</b>	<b>22,000,000</b>
	<b>7a</b>	Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b>	<b>204,317</b>
<b>b</b>	Net unrelated business taxable income from Form 990-T, line 34	<b>7b</b>	<b>9,254</b>	
<b>Revenue</b>	<b>8</b>	Contributions and grants (Part VIII, line 1h)	<b>Prior Year</b>	<b>Current Year</b>
	<b>9</b>	Program service revenue (Part VIII, line 2g)	<b>527,940,836</b>	<b>510,657,964</b>
	<b>10</b>	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	<b>22,528,845</b>	<b>24,726,814</b>
	<b>11</b>	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	<b>33,517,190</b>	<b>55,049,089</b>
	<b>12</b>	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	<b>16,474,735</b>	<b>66,790,439</b>
<b>Expenses</b>	<b>13</b>	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	<b>600,461,606</b>	<b>657,224,306</b>
	<b>14</b>	Benefits paid to or for members (Part IX, column (A), line 4)	<b>108,543,957</b>	<b>128,965,968</b>
	<b>15</b>	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	<b>0</b>	<b>0</b>
	<b>16a</b>	Professional fundraising fees (Part IX, column (A), line 11e)	<b>239,753,017</b>	<b>233,665,077</b>
	<b>b</b>	Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>81,383,891</b>	<b>5,476,048</b>	<b>5,958,500</b>
	<b>17</b>	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	<b>226,653,330</b>	<b>229,272,597</b>
	<b>18</b>	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	<b>580,426,352</b>	<b>597,862,142</b>
<b>19</b>	Revenue less expenses. Subtract line 18 from line 12	<b>20,035,254</b>	<b>59,362,164</b>	
<b>Net Assets or Fund Balances</b>	<b>20</b>	Total assets (Part X, line 16)	<b>Beginning of Current Year</b>	<b>End of Year</b>
	<b>21</b>	Total liabilities (Part X, line 26)	<b>945,715,377</b>	<b>1,009,841,845</b>
	<b>22</b>	Net assets or fund balances. Subtract line 21 from line 20	<b>393,552,319</b>	<b>356,853,431</b>
			<b>552,163,058</b>	<b>652,988,414</b>

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer	<b>FILED ELECTRONICALLY</b>	Date
	<b>Sunder Joshi, Chief Financial Officer</b> Type or print name and title		
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date
	<b>Morgan Souza</b>		
	Firm's name ▶ <b>KPMG LLP</b>	Firm's EIN ▶	
	Firm's address ▶ <b>717 N Harwood Street Suite 3100, Dallas, TX 75201</b>	Phone no. <b>214-840-2000</b>	

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat. No. 11282Y

Form **990** (2010)

\*\*\* Form 990 Online Filers: Please fax completed and signed form to 866-699-3916

Form **8453-EO**

**Exempt Organization Declaration and Signature for Electronic Filing**

OMB No. 1545-1879

For calendar year 2010, or tax year beginning 07/01, 2010, and ending 06/30, 20 11

**2010**

Department of the Treasury  
Internal Revenue Service

For use with Forms 990, 990-EZ, 990-PF, 1120-POL, and 8868  
▶ See instructions on back.

Name of exempt organization

American Heart Association Inc

Employer identification number

13-6613797

**Part I Type of Return and Return Information (Whole Dollars Only)**

Check the box for the type of return being filed with Form 8453-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a below and the amount on that line of the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). If you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than one line in Part I.

1a Form 990 check here ▶ <input checked="" type="checkbox"/>	b Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . . . .	1b <u>657,224,308</u>
2a Form 990-EZ check here ▶ <input type="checkbox"/>	b Total revenue, if any (Form 990-EZ, line 9) . . . . .	2b _____
3a Form 1120-POL check here ▶ <input type="checkbox"/>	b Total tax (Form 1120-POL, line 22) . . . . .	3b _____
4a Form 990-PF check here ▶ <input type="checkbox"/>	b Tax based on investment income (Form 990-PF, Part VI, line 5) . . . . .	4b _____
5a Form 8868 check here ▶ <input type="checkbox"/>	b Balance due (Form 8868, Part I, line 3c or Part II, line 8c) . . . . .	5b _____

**Part II Declaration of Officer**

6 ☐ I authorize the U.S. Treasury and its designated Financial Agent to initiate an Automated Clearing House (ACH) electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment.

☐ If a copy of this return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I certify that I executed the electronic disclosure consent contained within this return allowing disclosure by the IRS of this Form 990/990-EZ/990-PF (as specifically identified in Part I above) to the selected state agency(ies).

Under penalties of perjury, I declare that I am an officer of the above named organization and that I have examined a copy of the organization's 2010 electronic return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund.

Sign Here

Sunder D Joshi  
Signature of officer

11/11/2011  
Date

Sunder Joshi, Chief Financial Officer  
Title

**Part III Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions)**

I declare that I have reviewed the above organization's return and that the entries on Form 8453-EO are complete and correct to the best of my knowledge. If I am only a collector, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return. The organization officer will have signed this form before I submit the return. I will give the officer a copy of all forms and information to be filed with the IRS, and have followed all other requirements in Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns. If I am also the Paid Preparer, under penalties of perjury I declare that I have examined the above organization's return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. This Paid Preparer declaration is based on all information of which I have any knowledge.

<b>ERO's Use Only</b>	ERO's signature ▶ <u>[Signature]</u>	Date <u>11/11/2011</u>	Check if also paid preparer <input type="checkbox"/>	Check if self-employed <input type="checkbox"/>	ERO's SSN or PTIN _____
	Firm's name (or yours if self-employed), address, and ZIP code ▶ _____	EIN _____			
	Phone no. _____				

Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer is based on all information of which the preparer has any knowledge.

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <u>Morgan Souza</u>	Preparer's signature <u>[Signature]</u>	Date <u>11/11/2011</u>	Check <input type="checkbox"/> if self-employed	PTIN <u>P00652612</u>
	Firm's name ▶ <u>KPMG LLP</u>	Firm's EIN ▶ <u>13-5565207</u>			
	Firm's address ▶ <u>717 N Harwood Street Suite 3100, Dallas, TX 75201</u>	Phone no. <u>214-840-2000</u>			

For Privacy Act and Paperwork Reduction Act Notice, see back of form.

Cat. No. 36806Q

Form 8453-EO (2010)

**Part III** Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☐ Yes ☒ No**1** Briefly describe the organization's mission:Building healthier lives, free of cardiovascular diseases and stroke.**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

**4** Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code: ) (Expenses \$ 115,610,291 including grants of \$ 110,886,151 ) (Revenue \$ 0 )See Schedule O**4b** (Code: ) (Expenses \$ 266,793,730 including grants of \$ 13,260,651 ) (Revenue \$ 4,091,327 )See Schedule O**4c** (Code: ) (Expenses \$ 73,686,010 including grants of \$ 934,217 ) (Revenue \$ 88,766,032 )See Schedule O**4d** Other program services. (Describe in Schedule O.) See Schedule O, Statement 1  
(Expenses \$ 11,770,201 including grants of \$ 3,884,949 ) (Revenue \$ 23,777,108 )**4e** Total program service expenses **▶** 467,860,232

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A . . . . .	<b>1</b> ✓	
<b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors? (see instructions) . . . . .	<b>2</b>	✓
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I . . . . .	<b>3</b>	✓
<b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II . . . . .	<b>4</b> ✓	
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III . . . . .	<b>5</b>	✓
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I . . . . .	<b>6</b>	✓
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II . . . . .	<b>7</b>	✓
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III . . . . .	<b>8</b>	✓
<b>9</b> Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV . . . . .	<b>9</b>	✓
<b>10</b> Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V . . . . .	<b>10</b> ✓	
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI . . . . .	<b>11a</b> ✓	
<b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII . . . . .	<b>11b</b>	✓
<b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII . . . . .	<b>11c</b>	✓
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX . . . . .	<b>11d</b> ✓	
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X . . . . .	<b>11e</b> ✓	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X . . . . .	<b>11f</b> ✓	
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII . . . . .	<b>12a</b> ✓	
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional . . . . .	<b>12b</b>	✓
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . .	<b>13</b>	✓
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	<b>14a</b> ✓	
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Parts I and IV . . . . .	<b>14b</b> ✓	
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV . . . . .	<b>15</b> ✓	
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV . . . . .	<b>16</b> ✓	
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) . . . . .	<b>17</b> ✓	
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II . . . . .	<b>18</b> ✓	
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III . . . . .	<b>19</b> ✓	
<b>20a</b> Did the organization operate one or more hospitals? If "Yes," complete Schedule H . . . . .	<b>20a</b>	✓
<b>b</b> If "Yes" to line 20a, did the organization attach its audited financial statements to this return? <b>Note.</b> Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions) . . . . .	<b>20b</b>	

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>21</b> Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	<b>21</b> ✓	
<b>22</b> Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	<b>22</b> ✓	
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	<b>23</b> ✓	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i> . . . . .	<b>24a</b>	✓
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .	<b>24b</b>	
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	<b>24c</b>	
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .	<b>24d</b>	
<b>25a</b> <b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	<b>25a</b>	✓
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	<b>25b</b>	✓
<b>26</b> Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> . . . . .	<b>26</b>	✓
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	<b>27</b>	✓
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	<b>28a</b>	✓
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	<b>28b</b>	✓
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	<b>28c</b>	✓
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .	<b>29</b> ✓	
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	<b>30</b> ✓	
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	<b>31</b>	✓
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	<b>32</b>	✓
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	<b>33</b>	✓
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> . . . . .	<b>34</b>	✓
<b>35</b> Is any related organization a controlled entity within the meaning of section 512(b)(13)? . . . . .	<b>35</b>	✓
<b>a</b> Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	<b>36</b>	✓
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> . . . . .	<b>37</b>	✓
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	<b>38</b> ✓	

**Part V** **Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response to any question in this Part V ☐

		Yes	No
<b>1a</b> Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable . . . . .	<b>1a</b> 3142		
<b>b</b> Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable . . . . .	<b>1b</b> 3		
<b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . .	<b>1c</b>	✓	
<b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	<b>2a</b> 4487		
<b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns? . . . . .	<b>2b</b>	✓	
<b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. (see instructions)			
<b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>3a</b>	✓	
<b>b</b> If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O . . . . .	<b>3b</b>	✓	
<b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . .	<b>4a</b>		✓
<b>b</b> If "Yes," enter the name of the foreign country: ▶ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
<b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . . . .	<b>5a</b>		✓
<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? . . . . .	<b>5b</b>		✓
<b>c</b> If "Yes" to line 5a or 5b, did the organization file Form 8886-T? . . . . .	<b>5c</b>		
<b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible? . . . . .	<b>6a</b>	✓	
<b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .	<b>6b</b>	✓	
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
<b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . .	<b>7a</b>	✓	
<b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . .	<b>7b</b>	✓	
<b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . .	<b>7c</b>	✓	
<b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year . . . . .	<b>7d</b> 1		
<b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<b>7e</b>		✓
<b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .	<b>7f</b>		✓
<b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . .	<b>7g</b>		
<b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . .	<b>7h</b>	✓	
<b>8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? . . . . .	<b>8</b>		
<b>9 Sponsoring organizations maintaining donor advised funds.</b>			
<b>a</b> Did the organization make any taxable distributions under section 4966? . . . . .	<b>9a</b>		
<b>b</b> Did the organization make a distribution to a donor, donor advisor, or related person? . . . . .	<b>9b</b>		
<b>10 Section 501(c)(7) organizations.</b> Enter:			
<b>a</b> Initiation fees and capital contributions included on Part VIII, line 12 . . . . .	<b>10a</b>		
<b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities . . . . .	<b>10b</b>		
<b>11 Section 501(c)(12) organizations.</b> Enter:			
<b>a</b> Gross income from members or shareholders . . . . .	<b>11a</b>		
<b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) . . . . .	<b>11b</b>		
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? . . . . .	<b>12a</b>		
<b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year . . . . .	<b>12b</b>		
<b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>			
<b>a</b> Is the organization licensed to issue qualified health plans in more than one state? . . . . .	<b>13a</b>		
<b>Note.</b> See the instructions for additional information the organization must report on Schedule O.			
<b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . .	<b>13b</b>		
<b>c</b> Enter the amount of reserves on hand . . . . .	<b>13c</b>		
<b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year? . . . . .	<b>14a</b>		✓
<b>b</b> If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . . . .	<b>14b</b>		



**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI ☒

**Section A. Governing Body and Management**

	Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year . . . . . <b>1a</b> 22		
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent . . . . . <b>1b</b> 22		
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .		<input checked="" type="checkbox"/>
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . . . .		<input checked="" type="checkbox"/>
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	<input checked="" type="checkbox"/>	
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .		<input checked="" type="checkbox"/>
<b>6</b> Does the organization have members or stockholders? . . . . .		<input checked="" type="checkbox"/>
<b>7a</b> Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? . . . . .		<input checked="" type="checkbox"/>
<b>b</b> Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		<input checked="" type="checkbox"/>
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b> The governing body? . . . . .	<input checked="" type="checkbox"/>	
<b>b</b> Each committee with authority to act on behalf of the governing body? . . . . .	<input checked="" type="checkbox"/>	
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . .		<input checked="" type="checkbox"/>

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Does the organization have local chapters, branches, or affiliates? . . . . .	<input checked="" type="checkbox"/>	
<b>b</b> If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? . . . . .	<input checked="" type="checkbox"/>	
<b>11a</b> Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? . . . . .	<input checked="" type="checkbox"/>	
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b> Does the organization have a written conflict of interest policy? If "No," go to line 13 . . . . .	<input checked="" type="checkbox"/>	
<b>b</b> Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .	<input checked="" type="checkbox"/>	
<b>c</b> Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done . . . . .	<input checked="" type="checkbox"/>	
<b>13</b> Does the organization have a written whistleblower policy? . . . . .	<input checked="" type="checkbox"/>	
<b>14</b> Does the organization have a written document retention and destruction policy? . . . . .		<input checked="" type="checkbox"/>
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b> The organization's CEO, Executive Director, or top management official . . . . .	<input checked="" type="checkbox"/>	
<b>b</b> Other officers or key employees of the organization . . . . .	<input checked="" type="checkbox"/>	
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.) . . . . .		
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .		<input checked="" type="checkbox"/>
<b>b</b> If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .		

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed ► [See Schedule O, Statement 2](#)

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.

☒ Own website ☐ Another's website ☒ Upon request

**19** Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

**20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► [Sunder Joshi CAO CFO, \(214\)373-6300](#)  
7272 Greenville Ave, Dallas, TX 75231

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response to any question in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Debra W Lockwood CPA Chairman	7	✓						0	0	0
William H Roach Jr Esq Chairman-Elect	5	✓						0	0	0
Neil M Meltzer Immediate Past Chairman	4	✓						0	0	0
Ralph L Sacco MD FAHA President	8	✓						0	0	0
Gordon Tomasellei MD FAHA President-Elect	5	✓						0	0	0
Clyde W Yancy MD FAHA Immediate Past President	5	✓						0	0	0
Ron W Haddock Secretary-Treasurer	6	✓						0	0	0
Donna K Arnett PhD FAHA Board Member	3	✓						0	0	0
Joyce Beatty MS Board Member	3	✓						0	0	0
Kenneth D Bloch MD FAHA Board Member	3	✓						0	0	0
Mary A Caldwell RN PhD FAHA Board Member	3	✓						0	0	0
Mary Fastenau Board Member	1.5	✓						0	0	0
Barry A Franklin PhD FAHA Board Member	3	✓						0	0	0
Larry B Goldstein MD FAHA Board Member	3	✓						0	0	0
Max Gomez PhD Board Member	3	✓						0	0	0
Mariell Jessup MD FAHA Board Member	3	✓						0	0	0



**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**
**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Pamela K Knous Board Member	3	✓						0	0	0
Mark B McClellan MD PhD Board Member	3	✓						0	0	0
Janet Murguia Board Member	3	✓						0	0	0
James Postl Board Member	3	✓						0	0	0
Bernard J Tyson Board Member	3	✓						0	0	0
Henry J Wasiak MBA Board Member	3	✓						0	0	0
Nancy A Brown CEO	38			✓				602,529	0	93,014
Sunder Joshi CAO/CFO	38			✓				348,249	0	56,125
David W Livingston EVP-Corp Sec/General Counsel	38			✓				275,978	0	44,481
Gordon McCullough COO-Field Operations	38			✓				398,254	0	45,995
Rose Marie Robertson Chief Science Officer	38			✓				408,512	0	56,083
Meighan Vafa Chief Mission Officer	38			✓				340,138	0	46,322
Leslie Upton Chief Development Officer	38			✓				273,315	0	42,545
Michael Weamer EVP	38				✓			451,777	0	48,986
John Brennan EVP	38				✓			582,596	0	25,796
Roman Bowser EVP	38				✓			375,309	0	39,069

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**
**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Kevin Harker EVP	38				✓			327,479	0	59,378
David Markiewicz EVP	38				✓			381,960	0	61,498
Midge Epstein EVP	38				✓			307,217	0	56,618
Sandra Hijikata EVP	38				✓			286,760	0	46,411
John Meiners EVP	38				✓			359,245	0	44,027
Nicole Sapio EVP	38				✓			285,340	0	40,950
Jeremy Beauchamp EVP	38				✓			210,038	0	38,295
Matthew Bannister EVP Corp Communications	38					✓		263,968	0	38,963
Michael L Wilson EVP Technology & Customer Strategies	38					✓		260,334	0	38,056
Kathleen Rogers EVP Consumer Health	38					✓		259,264	0	49,221
Mark Schoeberl EVP Advocacy	38					✓		241,428	0	42,569
Joanne McLaughlin Affiliate Development Officer	38					✓		236,805	0	34,381
Roberto Bolli Former Board Member	15						✓	96,200	0	0
Mark B Taubman MD FAHA Former Board Member	15						✓	17,930	0	0

[illegible]

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization ► 247

3	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	✓	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	✓	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5		✓

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ► 116

**Part VIII Statement of Revenue**

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
<b>Contributions, gifts, grants and other similar amounts</b>	<b>1a</b>	Federated campaigns . . . . .	<b>1a</b> 7,613,154				
	<b>b</b>	Membership dues . . . . .	<b>1b</b> 0				
	<b>c</b>	Fundraising events . . . . .	<b>1c</b> 255,498,920				
	<b>d</b>	Related organizations . . . . .	<b>1d</b> 0				
	<b>e</b>	Government grants (contributions)	<b>1e</b> 345,510				
	<b>f</b>	All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b> 247,200,380				
	<b>g</b>	Noncash contributions included in lines 1a-1f: \$	67,372,859				
	<b>h</b>	<b>Total.</b> Add lines 1a-1f . . . . .	▶ 510,657,964				
<b>Program Service Revenue</b>			<b>Business Code</b>				
	<b>2a</b>	Conferences & Seminars	900099	21,411,197	21,411,197	0	0
	<b>b</b>	Membership Dues	900099	3,282,577	3,282,577	0	0
	<b>c</b>	Fees & Grants	900099	33,040	33,040	0	0
	<b>d</b>						
	<b>e</b>						
	<b>f</b>	All other program service revenue .		0	0	0	0
	<b>g</b>	<b>Total.</b> Add lines 2a-2f . . . . .	▶ 24,726,814				
<b>Other Revenue</b>	<b>3</b>	Investment income (including dividends, interest, and other similar amounts) . . . . .	▶	16,294,194	0	0	16,294,194
	<b>4</b>	Income from investment of tax-exempt bond proceeds ▶		0	0	0	0
	<b>5</b>	Royalties . . . . .	▶	18,750,654	0	0	18,750,654
			(i) Real (ii) Personal				
	<b>6a</b>	Gross Rents . . . . .	1,163,129 0				
	<b>b</b>	Less: rental expenses	125,798 0				
	<b>c</b>	Rental income or (loss)	1,037,331 0				
	<b>d</b>	Net rental income or (loss) . . . . .	▶ 1,037,331 0	0	0	1,037,331	
	<b>7a</b>	Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
			346,518,274 2,282,322				
	<b>b</b>	Less: cost or other basis and sales expenses . . . . .	308,722,252 1,323,449				
	<b>c</b>	Gain or (loss) . . . . .	37,796,022 958,873				
	<b>d</b>	Net gain or (loss) . . . . .	▶ 38,754,895 0	0	0	38,754,895	
	<b>8a</b>	Gross income from fundraising events (not including \$ 255,498,920 of contributions reported on line 1c). See Part IV, line 18 . . . . .	<b>a</b> 13,567,357				
	<b>b</b>	Less: direct expenses . . . . .	<b>b</b> 30,229,835				
	<b>c</b>	Net income or (loss) from fundraising events . . ▶	-16,662,478		0	-16,662,478	
	<b>9a</b>	Gross income from gaming activities. See Part IV, line 19 . . . . .	<b>a</b> 188,981				
	<b>b</b>	Less: direct expenses . . . . .	<b>b</b> 58,185				
	<b>c</b>	Net income or (loss) from gaming activities . . ▶	130,796	0	0	130,796	
	<b>10a</b>	Gross sales of inventory, less returns and allowances . . . . .	<b>a</b> 73,986,535				
<b>b</b>	Less: cost of goods sold . . . . .	<b>b</b> 14,864,333					
<b>c</b>	Net income or (loss) from sales of inventory . . ▶	59,122,202	59,122,202	0	0		
<b>Miscellaneous Revenue</b>		<b>Business Code</b>					
<b>11a</b>	Change in value of Split Int Agrmnts	900099	1,927,451	0	0	1,927,451	
<b>b</b>	Other Revenue	900099	2,484,483	2,280,166	204,317	0	
<b>c</b>							
<b>d</b>	All other revenue . . . . .		0	0	0	0	
<b>e</b>	<b>Total.</b> Add lines 11a-11d . . . . .	▶ 4,411,934					
<b>12</b>	<b>Total revenue.</b> See instructions. . . . .	▶ 657,224,306	86,129,182	204,317	60,232,843		

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

<b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>		<b>(A)</b> Total expenses	<b>(B)</b> Program service expenses	<b>(C)</b> Management and general expenses	<b>(D)</b> Fundraising expenses
<b>1</b>	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21 . . . . .	128,630,659	128,630,659		
<b>2</b>	Grants and other assistance to individuals in the U.S. See Part IV, line 22 . . . . .	319,309	319,309		
<b>3</b>	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16 . . . . .	16,000	16,000		
<b>4</b>	Benefits paid to or for members . . . . .	0	0		
<b>5</b>	Compensation of current officers, directors, trustees, and key employees . . . . .	7,036,836	0	7,036,836	0
<b>6</b>	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	114,130	114,130	0	0
<b>7</b>	Other salaries and wages . . . . .	178,165,251	125,316,619	18,084,418	34,764,214
<b>8</b>	Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . . . .	15,244,730	10,459,700	1,788,210	2,996,820
<b>9</b>	Other employee benefits . . . . .	18,155,076	12,331,045	2,354,804	3,469,227
<b>10</b>	Payroll taxes . . . . .	14,949,054	10,148,957	2,107,239	2,692,858
<b>11</b>	Fees for services (non-employees):				
<b>a</b>	Management . . . . .	0	0	0	0
<b>b</b>	Legal . . . . .	862,842	0	862,842	0
<b>c</b>	Accounting . . . . .	989,914	0	989,914	0
<b>d</b>	Lobbying . . . . .	2,880,731	2,880,731	0	0
<b>e</b>	Professional fundraising services. See Part IV, line 17 . . . . .	5,958,500			5,958,500
<b>f</b>	Investment management fees . . . . .	1,922,944	0	1,922,944	0
<b>g</b>	Other . . . . .	36,302,917	29,388,575	1,319,961	5,594,381
<b>12</b>	Advertising and promotion . . . . .	4,943,412	4,943,412	0	0
<b>13</b>	Office expenses . . . . .	101,591,188	85,856,503	2,756,155	12,978,530
<b>14</b>	Information technology . . . . .	10,471,767	7,351,777	1,089,792	2,030,198
<b>15</b>	Royalties . . . . .	0	0	0	0
<b>16</b>	Occupancy . . . . .	16,186,193	11,337,542	1,794,349	3,054,302
<b>17</b>	Travel . . . . .	16,991,292	11,419,954	1,800,907	3,770,431
<b>18</b>	Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	0	0	0	0
<b>19</b>	Conferences, conventions, and meetings . . . . .	16,189,953	14,378,535	615,271	1,196,147
<b>20</b>	Interest . . . . .	112,564	0	112,564	0
<b>21</b>	Payments to affiliates . . . . .	0	0	0	0
<b>22</b>	Depreciation, depletion, and amortization . . . . .	10,270,891	7,161,236	1,298,093	1,811,562
<b>23</b>	Insurance . . . . .	1,207,021	411,046	739,398	56,577
<b>24</b>	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24f. If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O.)				
<b>a</b>	Miscellaneous Expenses . . . . .	8,026,104	5,071,638	1,944,322	1,010,144
<b>b</b>	Other Awards & Grants . . . . .	322,864	322,864	0	0
<b>c</b>	. . . . .				
<b>d</b>	. . . . .				
<b>e</b>	. . . . .				
<b>f</b>	All other expenses . . . . .				
<b>25</b>	<b>Total functional expenses.</b> Add lines 1 through 24f	597,862,142	467,860,232	48,618,019	81,383,891
<b>26</b>	<b>Joint costs.</b> Check here <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720). Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation . . . . .	212,898,770	148,823,485	18,862,880	45,212,405

**Part X Balance Sheet**

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	5,625,556	<b>1</b>	20,595,249
	<b>2</b> Savings and temporary cash investments . . . . .	38,763,806	<b>2</b>	23,056,035
	<b>3</b> Pledges and grants receivable, net . . . . .	147,956,820	<b>3</b>	137,887,814
	<b>4</b> Accounts receivable, net . . . . .	6,425,461	<b>4</b>	9,001,114
	<b>5</b> Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .	0	<b>5</b>	0
	<b>6</b> Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) . . . . .	0	<b>6</b>	0
	<b>7</b> Notes and loans receivable, net . . . . .	0	<b>7</b>	0
	<b>8</b> Inventories for sale or use . . . . .	4,308,523	<b>8</b>	8,063,844
	<b>9</b> Prepaid expenses and deferred charges . . . . .	15,644,538	<b>9</b>	17,416,194
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	<b>10a</b> 190,397,367		
	<b>b</b> Less: accumulated depreciation . . . . .	<b>10b</b> 115,480,761	<b>10c</b>	74,916,606
	<b>11</b> Investments—publicly traded securities . . . . .	459,326,825	<b>11</b>	512,993,990
	<b>12</b> Investments—other securities. See Part IV, line 11 . . . . .	3,560,724	<b>12</b>	3,537,099
	<b>13</b> Investments—program-related. See Part IV, line 11 . . . . .	0	<b>13</b>	0
	<b>14</b> Intangible assets . . . . .	0	<b>14</b>	0
	<b>15</b> Other assets. See Part IV, line 11 . . . . .	185,259,673	<b>15</b>	202,373,900
	<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	945,715,377	<b>16</b>	1,009,841,845
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	47,817,703	<b>17</b>	49,167,933
	<b>18</b> Grants payable . . . . .	301,664,773	<b>18</b>	270,499,133
	<b>19</b> Deferred revenue . . . . .	6,861,989	<b>19</b>	6,699,389
	<b>20</b> Tax-exempt bond liabilities . . . . .	1,710,000	<b>20</b>	1,550,000
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .	0	<b>21</b>	0
	<b>22</b> Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .	0	<b>22</b>	0
	<b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .	0	<b>23</b>	0
	<b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .	0	<b>24</b>	0
	<b>25</b> Other liabilities. Complete Part X of Schedule D . . . . .	35,497,854	<b>25</b>	28,936,976
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25 . . . . .	393,552,319	<b>26</b>	356,853,431
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets . . . . .	175,683,999	<b>27</b>	258,066,467
	<b>28</b> Temporarily restricted net assets . . . . .	226,870,252	<b>28</b>	227,526,334
	<b>29</b> Permanently restricted net assets . . . . .	149,608,807	<b>29</b>	167,395,613
	<b>Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds . . . . .		<b>30</b>	
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund . . . . .		<b>31</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds . . . . .		<b>32</b>	
	<b>33</b> Total net assets or fund balances . . . . .	552,163,058	<b>33</b>	652,988,414
	<b>34</b> Total liabilities and net assets/fund balances . . . . .	945,715,377	<b>34</b>	1,009,841,845

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response to any question in this Part XI ☒

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12) . . . . .	<b>1</b>	657,224,306
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25) . . . . .	<b>2</b>	597,862,142
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1 . . . . .	<b>3</b>	59,362,164
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .	<b>4</b>	552,163,058
<b>5</b>	Other changes in net assets or fund balances (explain in Schedule O) . . . . .	<b>5</b>	41,463,192
<b>6</b>	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B)) . . . . .	<b>6</b>	652,988,414

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response to any question in this Part XII ☐

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other \_\_\_\_\_  
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . .
- b** Were the organization's financial statements audited by an independent accountant? . . . . .
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? . . . . .  
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both:  
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
<b>2a</b>		✓
<b>2b</b>	✓	
<b>2c</b>	✓	
<b>3a</b>		✓
<b>3b</b>		



**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No. 1545-0047

**2010**

**Open to Public  
Inspection**

Name of the organization

American Heart Association Inc

Employer identification number

13-5613797

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I      b ☐ Type II      c ☐ Type III—Functionally integrated      d ☐ Type III—Other
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? . . . . .
- (ii) A family member of a person described in (i) above? . . . . .
- (iii) A 35% controlled entity of a person described in (i) or (ii) above? . . . . .

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .	483,709,148	482,887,909	456,896,010	531,080,232	514,026,122	2,468,599,421
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .	0	0	0	0		0
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .	0	0	0	0		0
<b>4 Total.</b> Add lines 1 through 3 . . . . .	483,709,148	482,887,909	456,896,010	531,080,232	514,026,122	2,468,599,421
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . . . .						
<b>6 Public support.</b> Subtract line 5 from line 4.						2,468,599,421

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>7</b> Amounts from line 4 . . . . .	483,709,148	482,887,909	456,896,010	531,080,232	514,026,122	2,468,599,421
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .	41,172,345	41,645,781	37,639,033	34,594,992	36,207,978	191,260,129
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .	51,335,024	48,460,599	0	0	0	99,795,623
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . . .	-2,067,312	2,440,957	-19,448,094	-15,684,974	4,266,070	-30,493,353
<b>11 Total support.</b> Add lines 7 through 10						2,729,161,820
<b>12</b> Gross receipts from related activities, etc. (see instructions) . . . . .					12	386,588,904
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . .						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2010 (line 6, column (f) divided by line 11, column (f)) . . . . .	<b>14</b>	90.45 %
<b>15</b> Public support percentage from 2009 Schedule A, Part II, line 14 . . . . .	<b>15</b>	89.29 %
<b>16a 33<sup>1</sup>/<sub>3</sub>% support test—2010.</b> If the organization did not check the box on line 13, and line 14 is 33 <sup>1</sup> / <sub>3</sub> % or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . .	<input checked="" type="checkbox"/>	
<b>b 33<sup>1</sup>/<sub>3</sub>% support test—2009.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 <sup>1</sup> / <sub>3</sub> % or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . .	<input type="checkbox"/>	
<b>17a 10%-facts-and-circumstances test—2010.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .	<input type="checkbox"/>	
<b>b 10%-facts-and-circumstances test—2009.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .	<input type="checkbox"/>	
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . .	<input type="checkbox"/>	

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.  
If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . .						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . .						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . .						
<b>6 Total.</b> Add lines 1 through 5 . . . .						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons . . . .						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b . . . .						
<b>8 Public support.</b> (Subtract line 7c from line 6.) . . . .						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>9</b> Amounts from line 6 . . . .						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . .						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . .						
<b>c</b> Add lines 10a and 10b . . . .						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . .						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . .						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2010 (line 8, column (f) divided by line 13, column (f)) . . . .	<b>15</b>	%
<b>16</b> Public support percentage from 2009 Schedule A, Part III, line 15 . . . .	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for <b>2010</b> (line 10c, column (f) divided by line 13, column (f)) . . . .	<b>17</b>	%
<b>18</b> Investment income percentage from <b>2009</b> Schedule A, Part III, line 17 . . . .	<b>18</b>	%

- 19a 33 $\frac{1}{3}$ % support tests—2010.** If the organization did not check the box on line 14, and line 15 is more than 33 $\frac{1}{3}$ %, and line 17 is not more than 33 $\frac{1}{3}$ %, check this box and **stop here**. The organization qualifies as a publicly supported organization . ☐
- b 33 $\frac{1}{3}$ % support tests—2009.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 $\frac{1}{3}$ %, and line 18 is not more than 33 $\frac{1}{3}$ %, check this box and **stop here**. The organization qualifies as a publicly supported organization . ☐
- 20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . ☐

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

General Explanation - Schedule A, Part II, Section B, Line 10: Other Income is generally comprised of the change in value of split interest agreements and uncollectible accounts receivable.

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

- ▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **See separate instructions.**

OMB No. 1545-0047

**2010**

**Open to Public  
Inspection**

**If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35a (Proxy Tax), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	Employer identification number
American Heart Association Inc	13-5613797

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures . . . . . ▶ \$
- 3 Volunteer hours . . . . . ▶

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . . . ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . . . ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . . ☐ Yes ☐ No
- 4a Was a correction made? . . . . . ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . . . ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . . ▶ \$
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . . ▶ \$
- 4 Did the filing organization file **Form 1120-POL** for this year? . . . . . ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**A** Check ☐ if the filing organization belongs to an affiliated group.**B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
<b>1a</b>	Total lobbying expenditures to influence public opinion (grass roots lobbying) . . . . .														
<b>b</b>	Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .														
<b>c</b>	Total lobbying expenditures (add lines 1a and 1b) . . . . .														
<b>d</b>	Other exempt purpose expenditures . . . . .														
<b>e</b>	Total exempt purpose expenditures (add lines 1c and 1d) . . . . .														
<b>f</b>	Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
<b>g</b>	Grassroots nontaxable amount (enter 25% of line 1f) . . . . .														
<b>h</b>	Subtract line 1g from line 1a. If zero or less, enter -0- . . . . .														
<b>i</b>	Subtract line 1f from line 1c. If zero or less, enter -0- . . . . .														
<b>j</b>	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? . . . . .		<input type="checkbox"/> Yes <input type="checkbox"/> No												

**4-Year Averaging Period Under Section 501(h)**  
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

<b>Lobbying Expenditures During 4-Year Averaging Period</b>					
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) Total
<b>2a</b> Lobbying nontaxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					

**Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
<b>1</b>	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b>	Volunteers?	✓		
<b>b</b>	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	✓		
<b>c</b>	Media advertisements?	✓		317,095
<b>d</b>	Mailings to members, legislators, or the public?	✓		149,547
<b>e</b>	Publications, or published or broadcast statements?	✓		94,491
<b>f</b>	Grants to other organizations for lobbying purposes?	✓		1,627,619
<b>g</b>	Direct contact with legislators, their staffs, government officials, or a legislative body?	✓		454,116
<b>h</b>	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	✓		237,862
<b>i</b>	Other activities? If "Yes," describe in Part IV		✓	
<b>j</b>	Total. Add lines 1c through 1i			2,880,730
<b>2a</b>	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		✓	
<b>b</b>	If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b>	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b>	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	<b>1</b>	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	<b>2</b>	
<b>3</b> Did the organization agree to carryover lobbying and political expenditures from the prior year?	<b>3</b>	

**Part III-B** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

<b>1</b>	Dues, assessments and similar amounts from members	<b>1</b>	
<b>2</b>	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b>	Current year	<b>2a</b>	
<b>b</b>	Carryover from last year	<b>2b</b>	
<b>c</b>	Total	<b>2c</b>	
<b>3</b>	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	<b>3</b>	
<b>4</b>	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	<b>4</b>	
<b>5</b>	Taxable amount of lobbying and political expenditures (see instructions)	<b>5</b>	

**Part IV** Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

Schedule C, Part II-B, Line 1 - In support of its mission to build healthier lives, free of cardiovascular diseases and stroke, the American Heart Association plans, coordinates and implements a public advocacy program. At the national level, this program includes maintaining and expanding contacts with Members of Congress. Similar relationships are built by the regional affiliates, advocating at the state and local levels. To guide its federal, state and local efforts, the Association implements a public policy agenda by maintaining active partnerships in health-related coalitions with other like-minded groups; robust policy research that is science and evidence-based, producing documents such as policy position statements, fact sheets, and published papers; media advocacy, including letters to the editor, op-ed pieces, advertorials and news conferences; monitoring and commenting on regulatory proposals; submitting testimony and statements for the record in response to proposed policy initiatives; maintaining an active volunteer grassroots network available to write, call and/or visit local, state and federal policymakers; and lobbying of local, state and federal legislative bodies. The American Heart Association is committed through its public policy work to proactively confront and address the health inequities and disparities that exist in our country. The Association encourages Congress and state legislatures to join the fight against cardiovascular disease, including stroke, the leading cause of death in the United States. The Association's strategic public policy priorities are in the following areas: Heart Disease and Stroke Research: A top priority of the Association is to ensure support for basic, clinical, translational, health services, outcomes,



**Part IV - Supplemental Information (Continued)**

genomics, and comparative effectiveness research and the overall research environment as well as community health services, public health programs, policy evaluation and economics. The AHA advocates for significantly increasing funding for the National Institutes of Health and other state and federal government agencies to enhance heart and stroke research. Improving Cardiovascular Health (Prevention): The American Heart Association prioritizes public policies aimed at promoting and improving the health factors for all Americans. These policy priorities address obesity prevention, diagnosis, and treatment, increasing access to healthy and affordable foods, healthy diet and nutrition, increasing physical activity, addressing tobacco control and prevention, and air pollution. The AHA addresses these issues at the local, state, and federal level with legislation, regulation, and other policy change efforts. Support High Quality/High Value Heart and Stroke Care and Reduce Health Disparities: The AHA promotes public policies aimed at improving health care quality, reducing health disparities, and promoting high value, evidence-based cardiovascular care. To promote health care quality, the AHA addresses clinical guidelines and treatment protocols, development of disease registries, the role of quality in health care payment systems, drug formulary policy, delivery system reforms and continuum of care, improved care coordination, the role, development and implementation of electronic medical records and related health information technology, and promoting safe, evidence-based and high value treatments for cardiovascular disease. Ensure Appropriate and Timely Access to Heart Disease and Stroke Care: The AHA advances comprehensive coverage and timely access to appropriate care for heart disease, peripheral artery disease, and stroke with a focus on adequate and affordable coverage, appropriate systems of emergency care, telemedicine and surveillance. This includes promoting systems of care around stroke, ST Elevated Myocardial Infarction (STEMI), emergency care, Out of Hospital Cardiac Arrest, and Telehealth. Charitable organizations: The Association supports policies that preserve the viability of non-profit organizations by monitoring and as appropriate, including legislative and regulatory efforts that attempt to restrict or prohibit charitable giving and other non-profit efforts and activities. These include protecting non-profit sector interests, promoting tax policy conducive to charitable organizations, encouraging volunteerism, preserving public funding for voluntary health organizations, and safeguarding the ability of charitable organizations to engage in advocacy.

**SCHEDULE D  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

American Heart Association Inc

**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes," to Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

**2010**

**Open to Public  
Inspection**

Employer identification number

13-5613797

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year . . . . .		
2 Aggregate contributions to (during year) . . . . .		
3 Aggregate grants from (during year) . . . . .		
4 Aggregate value at end of year . . . . .		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? . . . . .		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? . . . . .		<input type="checkbox"/> Yes <input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of an historically important land area

☐ Protection of natural habitat ☐ Preservation of a certified historic structure

☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements . . . . .	2a
b Total acreage restricted by conservation easements . . . . .	2b
c Number of conservation easements on a certified historic structure included in (a) . . . . .	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register . . . . .	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? . . . . . ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? . . . . . ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$

(ii) Assets included in Form 990, Part X . . . . . ▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$

b Assets included in Form 990, Part X . . . . . ▶ \$



**Part VII Investments—Other Securities.** See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other -----		
(A) -----		
(B) -----		
(C) -----		
(D) -----		
(E) -----		
(F) -----		
(G) -----		
(H) -----		
(I) -----		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.) ►		

**Part VIII Investments—Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 13.) ►		

**Part IX Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) <b>Split-Interest Agreements</b>	<b>74,347,590</b>
(2) <b>Beneficial Interest in Perpetual Trusts</b>	<b>128,026,310</b>
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ►	<b>202,373,900</b>

**Part X Other Liabilities.** See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount	
(1) Federal income taxes	<b>0</b>	
(2) <b>Capital Lease Obligations</b>	<b>1,787,128</b>	
(3) <b>Post-Retirement Benefits</b>	<b>10,568,136</b>	
(4) <b>Charitable Gift Annuities</b>	<b>11,726,617</b>	
(5) <b>Rent Deferrals/Amortization</b>	<b>2,451,744</b>	
(6) <b>Supplemental Retirement Plan</b>	<b>2,304,380</b>	
(7) <b>Other Payables</b>	<b>98,971</b>	
(8)		
(9)		
(10)		
(11)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►	<b>28,936,976</b>	

**2. FIN 48 (ASC 740) Footnote.** In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

**Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements**

<b>1</b>	Total revenue (Form 990, Part VIII, column (A), line 12)	<b>1</b>	657,224,306
<b>2</b>	Total expenses (Form 990, Part IX, column (A), line 25)	<b>2</b>	597,862,142
<b>3</b>	Excess or (deficit) for the year. Subtract line 2 from line 1	<b>3</b>	59,362,164
<b>4</b>	Net unrealized gains (losses) on investments	<b>4</b>	33,558,705
<b>5</b>	Donated services and use of facilities	<b>5</b>	0
<b>6</b>	Investment expenses	<b>6</b>	0
<b>7</b>	Prior period adjustments	<b>7</b>	0
<b>8</b>	Other (Describe in Part XIV.)	<b>8</b>	7,904,487
<b>9</b>	Total adjustments (net). Add lines 4 through 8	<b>9</b>	41,463,192
<b>10</b>	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	<b>10</b>	100,825,356

**Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

<b>1</b>	Total revenue, gains, and other support per audited financial statements	<b>1</b>	709,260,635
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
<b>a</b>	Net unrealized gains on investments	<b>2a</b>	33,558,705
<b>b</b>	Donated services and use of facilities	<b>2b</b>	5,537,752
<b>c</b>	Recoveries of prior year grants	<b>2c</b>	0
<b>d</b>	Other (Describe in Part XIV.)	<b>2d</b>	0
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	39,096,457
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	670,164,178
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line <b>1</b> :		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	1,922,944
<b>b</b>	Other (Describe in Part XIV.)	<b>4b</b>	-14,862,816
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	-12,939,872
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.)	<b>5</b>	657,224,306

**Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

<b>1</b>	Total expenses and losses per audited financial statements	<b>1</b>	608,435,279
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
<b>a</b>	Donated services and use of facilities	<b>2a</b>	5,537,752
<b>b</b>	Prior year adjustments	<b>2b</b>	0
<b>c</b>	Other losses	<b>2c</b>	0
<b>d</b>	Other (Describe in Part XIV.)	<b>2d</b>	-7,904,487
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	-2,366,735
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	610,802,014
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line <b>1</b> :		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	1,922,944
<b>b</b>	Other (Describe in Part XIV.)	<b>4b</b>	-14,862,816
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	-12,939,872
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.)	<b>5</b>	597,862,142

**Part XIV Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Schedule D, Part V, Line 4 - The intended use of endowment funds is to provide funding for research and other mission related programs.

Schedule D, Part X, Line 2 - The Association is exempt from federal income taxes on related income under Section 501(a) of the Internal Revenue Code (IRC) of 1986, as amended, as an organization described in IRC Section 501(c)(3). Further, the Association has been classified as an organization that is not a private foundation under IRC Section 509(a) and, as such, contributions to the association qualify for deduction as charitable contributions. However, income generated from activities unrelated to the Association's exempt purpose is subject to tax under IRC Section 511. The Association did not have any material unrelated business income tax liability for the years ended June 30, 2011 and 2010. The Association believes that it has taken no significant uncertain tax positions.

Schedule D, Part XI, Line 8 - FASB Statement 158 requires employers to fully recognize the overfunded or underfunded positions (the difference between the fair value of plan assets and the benefit obligation) of defined benefit pension, retiree healthcare and other

**Part XIV - Supplemental Information (Continued)**

postretirement plans in their balance sheets. The effect of this change on AHA is \$7,904,487 for fiscal year ended June 30, 2011.

Schedule D, Part XII, Line 4b - Cost of goods sold (14,864,333) , rental expenses (125,798), fundraising expenses 127,315. Net total (\$14,862,816) is included in revenue on Form 990 but included in expenses on the financial statements.

Schedule D, Part XIII, Line 2d - Effect of Adoption of FASB Statement No 158: FASB Statement 158 requires the association to fully recognize the overfunded or underfunded positions (the difference between the fair value of plan assets and the benefit obligation) of its postretirement benefit plan in the balance sheets. The effect of this change on AHA is \$7,904,487 for fiscal year ended June 30, 2011.

Schedule D, Part XIII, Line 4b - Refer to Schedule D, Part XII, Line 4b explanation.

**SCHEDULE F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Statement of Activities Outside the United States**

► **Complete if the organization answered "Yes" to Form 990,  
Part IV, line 14b, 15, or 16.**

► **Attach to Form 990. ► See separate instructions.**

OMB No. 1545-0047

**2010**

**Open to Public  
Inspection**

Name of the organization

American Heart Association Inc

Employer identification number

13-5613797

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ **Yes** ☐ **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) <a href="#">Sch F, Stmt 1</a>					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
<b>3a</b> Sub-total . . . . .					
<b>b</b> Total from continuation sheets to Part I . . . . .					
<b>c Totals</b> (add lines 3a and 3b)	4	4			127,086,044



**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 . . . . . ☐  
 Part II can be duplicated if additional space is needed.

<b>1</b> (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)		Sch F, Stmt 2						
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								

**2** Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . **1**

**3** Enter total number of other organizations or entities . . . . . **0**

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) <a href="#">Sch F, Stmt 3</a>							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

**Part IV Foreign Forms**

- 1** Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . . ☒ Yes ☐ No
- 2** Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* . . . . . ☐ Yes ☒ No
- 3** Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see Instructions for Form 5471)* . . . . . ☒ Yes ☐ No
- 4** Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . . . . . ☐ Yes ☒ No
- 5** Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see Instructions for Form 8865)* . . . . . ☐ Yes ☒ No
- 6** Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713)* . . . . . ☐ Yes ☒ No

**Part V** **Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Schedule F, Part I, Line 2 - Procedure for Monitoring Use of Grant Funds: With respect to grants made by American Heart Association to foreign entities, the recipient of AHA funds must satisfy certain requirements outlined in the grant agreement. Upon satisfactory completion of the agreement and written acceptance of all services, AHA remits the remaining balance of the granted funds to the recipient organization.

Schedule F, Part I, Line 3 - The association's investments in securities of foreign corporations are made through U.S. brokerage accounts. These investments are managed by independent investment managers as part of a diversified strategy for the association's investments. The investment managers are guided by the association's investment policy overseen by the Investment Committee of the Board of Directors.



## Accounts and Activities Outside the United States

		Offices	Employees	Total
<b>Region</b>	Central America and the Caribbean	1	1	134,855
<b>Activities</b>	Program Services			
<b>Services</b>	Sales of educational & training materials			
<b>Region</b>	Europe (including Iceland and Greenland)	0	0	1,500
<b>Activities</b>	Grantmaking			
<b>Services</b>	Science research prize and honorarium			
<b>Region</b>	Europe (including Iceland and Greenland)	1	1	615,133
<b>Activities</b>	Program Services			
<b>Services</b>	Sales of educational & training materials			
<b>Region</b>	Middle East and North Africa	1	1	308,355
<b>Activities</b>	Program Services			
<b>Services</b>	Sales of educational & training materials			
<b>Region</b>	North America (including Canada and Mexico, but not the United States)	0	0	445,977
<b>Activities</b>	Program Services			
<b>Services</b>	Sales of educational & training materials			
<b>Region</b>	South America	0	0	960,955
<b>Activities</b>	Program Services			
<b>Services</b>	Sales of educational & training materials			
<b>Region</b>	South Asia	0	0	72,159
<b>Activities</b>	Program Services			
<b>Services</b>	Sales of educational & training materials			
<b>Region</b>	Sub-Saharan Africa	0	0	93,619
<b>Activities</b>	Program Services			
<b>Services</b>	Sales of educational & training materials			
<b>Region</b>	East Asia and the Pacific	1	1	689,991
<b>Activities</b>	Program Services			
<b>Services</b>	Sales of educational & training materials			
<b>Region</b>	East Asia and the Pacific	0	0	10,000
<b>Activities</b>	Grantmaking			
<b>Services</b>	Stroke research support			
<b>Region</b>	East Asia and the Pacific	0	0	2,000
<b>Activities</b>	Grantmaking			
<b>Services</b>	Lecture honorarium			
<b>Region</b>	East Asia and the Pacific	0	0	500
<b>Activities</b>	Grantmaking			
<b>Services</b>	Science research prize and honorarium			
<b>Region</b>	East Asia and the Pacific	0	0	2,000
<b>Activities</b>	Grantmaking			
<b>Services</b>	Student scholarship			
<b>Region</b>	Central America and the Caribbean			40,000
<b>Activities</b>	Investments			
<b>Region</b>	East Asia and the Pacific			26,986,000
<b>Activities</b>	Investments			
<b>Region</b>	Europe (including Iceland and Greenland)			74,800,000
<b>Activities</b>	Investments			

Schedule F, Part V, Statement 1  
Services

American Heart Association Inc

Region	Middle East and North Africa	425,000
Activities	Investments	
Services		
Region	North America (including Canada and Mexico, but not the United States)	15,291,000
Activities	Investments	
Services		
Region	Russia and the newly independent States	1,349,000
Activities	Investments	
Services		
Region	South America	3,247,000
Activities	Investments	
Services		
Region	South Asia	704,000
Activities	Investments	
Services		
Region	Sub-Saharan Africa	907,000
Activities	Investments	
Services		
Total:		127,086,044

Schedule F, Part V, Statement 2

Form: Schedule F

Page: 2

Line Number: Part II Line 1

American Heart Association Inc

13-5613797

Grants To Organization Outside US

		Cash Grant	Non-Cash Assistance
Region	East Asia and the Pacific	10,000	0
Grant	Stroke research support		
Cash Disbursement	Wire Transfer		
Non-Cash Assistance			
Valuation			



**Grants To Individuals Located Outside US**

		<b>Recipients</b>	<b>Cash Grant</b>	<b>Non-Cash Assistance</b>
<b>Assistance</b>	Scientific lecture honorarium	1	2,000	0
<b>Region</b>	East Asia and the Pacific			
<b>Cash Disbursement</b>	Wire Transfer			
<b>Non-Cash Assistance</b>				
<b>Valuation</b>				
<b>Assistance</b>	Science research prize and honorarium	1	500	0
<b>Region</b>	East Asia and the Pacific			
<b>Cash Disbursement</b>	Wire Transfer			
<b>Non-Cash Assistance</b>				
<b>Valuation</b>				
<b>Assistance</b>	Science research prize and honorarium	1	1,500	0
<b>Region</b>	Europe (including Iceland and Greenland)			
<b>Cash Disbursement</b>	Wire Transfer			
<b>Non-Cash Assistance</b>				
<b>Valuation</b>				
<b>Assistance</b>	Student scholarship	1	2,000	0
<b>Region</b>	East Asia and the Pacific			
<b>Cash Disbursement</b>	Wire Transfer			
<b>Non-Cash Assistance</b>				
<b>Valuation</b>				

SCHEDULE G  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information Regarding  
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2010

Open to Public  
Inspection

Name of the organization

American Heart Association Inc

Employer identification number

13-5613797

Part I

**Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17.  
Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- |  |   |
|--|---|
| a <input checked="" type="checkbox"/> Mail solicitations               | e <input checked="" type="checkbox"/> Solicitation of non-government grants |
| b <input checked="" type="checkbox"/> Internet and email solicitations | f <input checked="" type="checkbox"/> Solicitation of government grants     |
| c <input checked="" type="checkbox"/> Phone solicitations              | g <input checked="" type="checkbox"/> Special fundraising events            |
| d <input checked="" type="checkbox"/> In-person solicitations          |   |
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ Yes ☐ No
- b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 See Schedule G, Part IV, Statement 1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

Total 12,314,182 5,958,500 6,355,682

- 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AK, AL, AR, AZ, CA, CO, CT, DC, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS, MT, NC, ND, NE, NH, NJ, NM, NV, NY, OH, OK, OR, PA, PR, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, WY

**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1 <u>Dallas Heart Walk</u> (event type)	(b) Event #2 <u>San Francisco Heart</u> (event type)	(c) Other events <u>3905</u> (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	<b>1</b> Gross receipts . . . . .	3,621,223	3,067,872	220,267,612	226,956,707
	<b>2</b> Less: Charitable contributions . . . . .	3,621,223	3,067,872	206,700,255	213,389,350
	<b>3</b> Gross income (line 1 minus line 2) . . . . .	0	0	13,567,357	13,567,357
Direct Expenses	<b>4</b> Cash prizes . . . . .	0	0	0	0
	<b>5</b> Noncash prizes . . . . .	61,030	45,310	7,517,963	7,624,303
	<b>6</b> Rent/facility costs . . . . .	154,969	63,385	7,802,810	8,021,164
	<b>7</b> Food and beverages . . . . .	53	15,649	5,971,234	5,986,936
	<b>8</b> Entertainment . . . . .	8,651	0	1,167,360	1,176,011
	<b>9</b> Other direct expenses . . . . .	11,392	9,634	2,103,883	2,124,909
	<b>10</b> Direct expense summary. Add lines 4 through 9 in column (d) . . . . . ▶				( 24,933,323 )
	<b>11</b> Net income summary. Combine line 3, column (d), and line 10 . . . . . ▶				-11,365,966

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	<b>1</b> Gross revenue . . . . .	0	0	188,981	188,981
Direct Expenses	<b>2</b> Cash prizes . . . . .	0	0	0	0
	<b>3</b> Noncash prizes . . . . .	0	0	37,969	37,969
	<b>4</b> Rent/facility costs . . . . .	0	0	0	0
	<b>5</b> Other direct expenses . . . . .	0	0	20,216	20,216
	<b>6</b> Volunteer labor . . . . .	<input type="checkbox"/> Yes _____ % <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input checked="" type="checkbox"/> No	
	<b>7</b> Direct expense summary. Add lines 2 through 5 in column (d) . . . . . ▶				( 58,185 )
	<b>8</b> Net gaming income summary. Combine line 1, column d, and line 7 . . . . . ▶				130,796

**9** Enter the state(s) in which the organization operates gaming activities: See Schedule G, Part IV, Statement 2

**a** Is the organization licensed to operate gaming activities in each of these states? . . . . . ☐ Yes ☒ No

**b** If "No," explain: Licensed where required. Some state do not require specific licensure or the activity is below the specified threshold.

**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . . ☐ Yes ☒ No

**b** If "Yes," explain: \_\_\_\_\_

- 11** Does the organization operate gaming activities with nonmembers? ☐ Yes ☒ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☒ No
- 13** Indicate the percentage of gaming activity operated in:
- |                                      |            |       |
|--------------------------------------|------------|-------|
| <b>a</b> The organization's facility | <b>13a</b> | 0 %   |
| <b>b</b> An outside facility         | <b>13b</b> | 100 % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ Sunder Joshi CAO CFO

Address ▶ 7272 Greenville Avenue Dallas, TX 75231

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☒ No
- b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c** If "Yes," enter name and address of the third party:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

**16** Gaming manager information:

Name ▶ See Schedule G Part IV

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

☐ Director/officer

☐ Employee

☐ Independent contractor

**17** Mandatory distributions:

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☒ No
- b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ 0

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Schedule G, Part III, Line 16 - The association does not have an overall manager for gaming activities. Each gaming event is managed locally by the affiliate office staff responsible for events in that location.

## Schedule G, Part IV, Statement 1

Form: Schedule G

Page: 1

Line Number: Part I Line 2b

American Heart Association Inc

13-5613797

## Fundraiser Activity Information

Name and Address	Activity	C1	Gross Receipts	C2	C3
Infocision Management Corp 325 Springside Drive Akron, OH 44333	Telemarketing Solicitations	No	11,791,957	5,542,086	6,249,871
Cone LLC 855 Boyston Street Boston, MA 02116	Fundraising consultant	No	0	289,098	-289,098
Insurance Auto Auctions 13085 Hamilton Crossing Suite 500 Carmel, IN 46032	Donated vehicle program	Yes	522,225	127,316	394,909
<b>Total:</b>			<b>12,314,182</b>	<b>5,958,500</b>	<b>6,355,682</b>

C1 = Fundraiser control of funds?

C2 = Amount paid to (or retained by) fundraiser

C3 = Amount paid to (or retained by) organization

**Schedule G, Part IV, Statement 2**

Form: Schedule G

Page: 2

Line Number: Part III Line 9

**American Heart Association Inc**

**13-5613797**

**States Where Gaming Conducted**

---

**States**

---

AL

AR

AZ

CO

FL

HI

MO

MS

MT

ND

NE

NY

OR

SD

TN

**SCHEDULE I  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

OMB No. 1545-0047

**2010**

**Open to Public  
Inspection**

Employer identification number

13-5613797

American Heart Association Inc

**Part I General Information on Grants and Assistance**

**1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No**

**2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed . . . . . ☐

<b>1 (a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
(1) Sch I, Stmt 1							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

**2** Enter total number of section 501(c)(3) and government organizations . . . . . ▶ 302

**3** Enter total number of other organizations . . . . . ▶ 23

**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
<b>1</b> Lecture honoraria	<b>17</b>	<b>20,600</b>	<b>0</b>		
<b>2</b> Travel stipends to scientific conferences	<b>168</b>	<b>94,959</b>	<b>0</b>		
<b>3</b> Investigator and science research prizes	<b>149</b>	<b>128,950</b>	<b>0</b>		
<b>4</b> Student scholarships	<b>23</b>	<b>74,800</b>	<b>0</b>		
<b>5</b>					
<b>6</b>					
<b>7</b>					

**Part IV Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Schedule I, Part I, Line 1 - Institutional Eligibility for Awards and Location of Work for Applicants/Awardees: Association research awards must be limited to non-profit institutions. Such institutions include: medical, osteopathic and dental schools, veterinary schools, schools of public health, pharmacy schools, nursing schools, universities and colleges, public and voluntary hospitals and other non-profit institutions that can demonstrate the ability to conduct the proposed research. Applications will not be accepted for work with funding to be administered through any federal institution or work to be performed by a federal employee with the exception of the Veterans Administration employees. Individual Eligibility for Awards: The principal investigator must hold a doctoral or appropriate advanced degree at the time the award is activated for fellowships and, for grants, at the time of application. Exceptions must be documented in writing by the research committee of reference and approved by the AHA Research Committee. The basic requirements of eligibility for all American Heart Association research programs, National Center or Affiliate are given below. Predoctoral fellowships: Post baccalaureate, predoctoral students seeking a Ph.D., M.D., D.O., or equivalent degree who seek research training and experience under the supervision of a sponsor/mentor prior to embarking on a postgraduate research career. This award is not intended for individuals who have already attained a doctoral degree, unless the individual is pursuing a second doctoral degree (example: M.D. who is seeking a Ph.D.). Postdoctoral fellowships: Individuals who have obtained a Ph.D., M.D., D.O. or equivalent degree by the time of award activation and who seek additional research training under the supervision of a sponsor/preceptor/mentor prior to embarking on a career of independent research. This award is not intended for individuals of faculty rank. Exception: MD's or MD/PhD's with clinical responsibilities who need instructor or similar title to see patients, but who devote at least 80% full-time to research training. Clinical Research Program: Health care professionals with a Masters, M.D., D.O. or Ph.D. degree. Individuals are not eligible to be the principal investigator if they currently hold or have held, certain NIH awards (such as RO1, R21, PO1); certain AHA awards (BGIA, SDG, EIA, GIA); or an award equivalent to the above (an independent investigator award). Interdisciplinary research teams are eligible. All principal investigators must also identify a mentor with an earned doctorate and a track record of high quality clinical investigation. Beginning Grant-in-Aid: Faculty/staff members initiating independent research careers. At application, applicants must hold an M.D., Ph.D., D.O. or equivalent doctoral degree and must meet institutional requirements for grant submission. At activation, applicants must hold a faculty/staff rank up to and including Assistant Professor (or equivalent). Scientist Development Grant: Individuals initiating independent research careers. At application, applicants must hold an M.D., Ph.D., D.O. or equivalent doctoral degree and must meet institutional requirements for grant submission. At activation, applicant must hold a faculty/staff position. Applicant's faculty rank shall be up to and including Assistant Professor (or equivalent) at application. Applications may be submitted in the final year of a postdoctoral research fellowship or in the initial years of the independent research career. At time of award activation, no more than four years will have elapsed since applicant's first full-time faculty/staff appointment at the level of assistant professor or its equivalent. A pivotal requirement is the demonstration that the award will promote independent status for the applicant. Applicant shall have received no prior national-level grant as of time of Scientist Development Grant activation. Established Investigator Award: At time of application,



**Part IV - Supplemental Information (Continued)**

faculty/staff members at the mid-level stages of their independent research careers. At application, applicants must hold an M.D., Ph.D., D.O. or equivalent doctoral degree and must meet institutional requirements for grant submission. At the time of award activation, the investigator must be at least four (4) years but no more than nine (9) years (i.e., eight years and 12 months) since the first faculty/staff appointment at the level of assistant professor or equivalent (including, but not limited to, research assistant professor, research scientist, staff scientist, etc.) Instructor positions (or equivalent positions) do not count toward the four or nine years of eligibility. Applicants must have current national-level funding as principal investigator on an R01 grant or its equivalent (e.g. VA Merit Award, NSF Grant, or PI on Program Project Grant from NIH). NIH "K" series awards are not considered equivalent to an R01. Grant-in-Aid: Faculty/staff members conducting independent research at time of application. At application, principal investigator must hold an M.D., Ph.D., D.O. or equivalent doctoral degree and must meet institutional requirements for grant submission. Special Awards/Pilot Programs: Eligibility is determined by an Affiliate or the National Center based upon special local or national circumstances. The funding component must request and receive approval from the AHA Research Committee to develop and implement a pilot research program for a limited period of time. In addition to these awards, the association continues to award grants for its Affiliate Summer Undergraduate Research Fellowship, National Fellow to Faculty Transition Award, and National Innovative Research Grant programs. Awards are made to principal investigators and trainees who are: (a) United States citizens or (b) foreign nationals holding permanent residence or certain other visa statuses or (c) foreign nationals who have applied for permanent residency (Form I-485 on file with U.S. Citizenship and Immigration Services) and who have received authorization to legally remain in the U.S. (having filed an Application for Employment Form I-765). Awardee must meet American Heart Association citizenship criteria throughout the duration of the award. The National Center and each Affiliate Research Committee have the authority to add more restrictive eligibility criteria to a research award program. For example, a limitation may be placed on annual funding dollars from other sources.

Schedule I, Part I, Line 2 - Grant Monitoring Procedures: Research grants are awarded by the American Heart Association annually and paid to the grantee's institution quarterly over the multi-year life of the award. Grantees are required to submit reports of scientific progress annually. These reports are reviewed by volunteer committees comprised primarily of active and experienced researchers. An annual financial report is required prior to issuing each subsequent year's payments. Financial reports are required to be filed within 120 days of the end of each grant year and are reviewed by AHA.

## Schedule I, Part IV, Statement 1

American Heart Association Inc

Form: Schedule I

13-5613797

Page: 1

Line Number: Part II

## Description of Grants and Other Assistance to Governments and Organizations in the United States

		Amount of cash grant	Amount of non-cash assistance
<b>Name and address</b>	Albany College of Pharmacy 106 New Scotland Avenue Albany, NY 12208	282,997	0
<b>EIN</b>	14-1423161		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Albert Einstein College of Medicine Belfer Bldg Rm 1108 1300 Morris Park Avenue Bronx, NY 10461	492,489	0
<b>EIN</b>	13-1624225		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Arizona State University Tempe PO Box 876011 Tempe, AZ 85287-6011	363,853	0
<b>EIN</b>	86-0196696		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Baylor College of Medicine Houston One Baylor Plaza Houston, TX 77030	964,763	0
<b>EIN</b>	74-1613878		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Beth Israel Deaconess Medical Ctr 330 Brookline Ave Boston, MA 02215	457,573	0
<b>EIN</b>	04-2103881		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Blood Center of Wisconsin PO Box 78961 Milwaukee, WI 53278	131,392	0
<b>EIN</b>	39-0807235		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Boston University Medical Campus	384,068	0
	25 Buick Street		
	Boston, MA 02115		

EIN 04-2103547

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Bowman Gray School of Medicine Winston Salem	141,499	0
	Medical Center Boulevard		
	Winston Salem, NC 27157		

EIN 22-3849199

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Brandeis University Waltham	116,690	0
	415 South Street		
	MS 110		
	Waltham, MA 02454		

EIN 04-2103552

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Brigham & Women's Hospital	3,172,692	0
	PO Box 3887		
	Boston, MA 02241		

EIN 04-2312909

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	California Institute of Technology Pasadena	147,930	0
	1200 E California Boulevard		
	Mail Code 117-15		
	Pasadena, CA 91125		

EIN 95-1643307

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	California State University Long Beach	5,972	0
	6300 State University Drive		
	Suite 332		
	Long Beach, CA 90815-4670		

EIN 95-6106694

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	California State University Long Beach	5,972	0
	6300 State University Drive		
	Suite 332		
	Long Beach, CA 90815-4670		

EIN 95-6106694

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Cardiovascular Research Institute San Francisco 1855 Folsom Street MCB 425 Box 0897 San Francisco, CA 94143-0897	128,635	0
<b>EIN</b>	94-6036493		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Carnegie-Mellon University Pittsburgh 5000 Forbes Avenue Pittsburgh, PA 15213	80,856	0
<b>EIN</b>	25-0969449		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106-4979	817,751	0
<b>EIN</b>	34-1018992		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Cedars-Sinai Medical Center 6500 Wilshire Boulevard Suite 1150 Los Angeles, CA 90048	143,796	0
<b>EIN</b>	95-1644600		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Children's Hospital Los Angeles 4650 Sunset Boulevard Los Angeles, CA 90027-6062	82,694	0
<b>EIN</b>	95-1690977		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Children's Hospital Oakland Research Institute 5700 Martin Luther King Jr Way Room 2805 Cavigiolio Lab Oakland, CA 94609	5,972	0
<b>EIN</b>	94-0382330		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Children's Hospital Boston PO Box 414413 Boston, MA 02241-4413	714,843	0
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EIN 04-2774441

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Children's Hospital Cincinnati 3333 Burnet Avenue Cincinnati, OH 45229	510,865	0
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EIN 31-0833936

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Children's Memorial Hospital 2300 Children s Plaza Box 205 Chicago, IL 60614	47,779	0
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EIN 36-2170833

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Clemson University PO Box 345307 Clemson, SC 29634-5307	42,266	0
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EIN 57-6000254

IRC code section State of S. Carolina

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Cleveland Clinic PO Box 931531 Cleveland, OH 44193-5012	1,754,950	0
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EIN 34-0714585

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Cleveland State University 2121 Euclid Avenue AC 255 Cleveland, OH 44115	42,266	0
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EIN 34-0966056

IRC code section State of Ohio

Method of valuation

## Description of non-

## cash assistance

<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Colorado State University Fort Collins 2002 Campus Delivery Fort Collins, CO 80523-2002	328,519	0
<b>EIN</b>	84-6000545		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Columbia University New York 722 West 168th Street New York, NY 10032	648,688	0
<b>EIN</b>	13-5598093		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Cornell University New York City 575 Lexington Avenue 9th Floor New York, NY 10022	181,927	0
<b>EIN</b>	13-1623978		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Dallas VA Research Corporation PO Box 516 Lancaster, TX 75146	128,635	0
<b>EIN</b>	75-2329831		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Dartmouth College 11 Rope Ferry Road Hanover, NH 03755-1404	40,428	0
<b>EIN</b>	02-0222111		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Duke University Durham 324 Blackwell Street Suite 1000 Durham, NC 27701	861,855	0
<b>EIN</b>	56-0532129		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Purpose of grant</b>	Research		
<b>Name and address</b>	East Carolina University Greenville 2200 South Charles Boulevard Suite 2900 Greenville Centre Greenville, NC 27858	367,529	0
<b>EIN</b>	56-6000403		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	East Tennessee State University Johnson City PO Box 70732 Johnson City, TN 37614	282,997	0
<b>EIN</b>	62-6021046		
<b>IRC code section</b>	State of Tennessee		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Eastern Virginia Medical School Norfolk 721 Fairfax Avenue Rm 132 Attn Grants Accounting Norfolk, VA 23507-1607	42,266	0
<b>EIN</b>	54-6055378		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Emory University School of Medicine 1599 Clifton Road Atlanta, GA 30322	2,305,648	0
<b>EIN</b>	58-0566256		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Emory University School of Nursing 1599 Clifton Road Atlanta, GA 30322	58,098	0
<b>EIN</b>	58-0566256		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Florida Atlantic University 777 Glades Road Boca Raton, FL 33431	151,606	0
<b>EIN</b>	65-0385507		
<b>IRC code section</b>	State of Florida		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	Florida State University 2000 Levy Avenue Building A Suite 351 Tallahassee, FL 32311	40,759	0
<b>EIN</b>	59-3211153		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Geisinger Clinic 100 North Academy Avenue Danville, PA 17822-2602	80,856	0
<b>EIN</b>	23-6291113		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	George Washington University 44983 Knoll Square Bldg II 2nd Floor Ashburn, VA 20147	325,263	0
<b>EIN</b>	53-0196584		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Georgetown University Washington DC 2121 Wisconsin Avenue NW 4th Floor Washington, DC 20007	141,499	0
<b>EIN</b>	53-0196603		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Georgia Institute of Technology PO Box 100117 Atlanta, GA 30384-7004	233,123	0
<b>EIN</b>	58-0603146		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Georgia State University PO Box 3999 Atlanta, GA 30302-3999	20,379	0
<b>EIN</b>	58-1845423		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		



## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	Gladstone Institute San Francisco 1650 Owens Street San Francisco, CA 94158	282,997	0
<b>EIN</b>	23-7203666		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Harvard Medical School PO Box 415649 Boston, MA 02241-5649	40,428	0
<b>EIN</b>	04-2103580		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Harvard School of Public Health PO Box 415649 Boston, MA 02241	464,924	0
<b>EIN</b>	04-2103580		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Henry Ford Hospital and Health Network One Ford Place 5E Henry Ford Health System Detroit, MI 48202	90,482	0
<b>EIN</b>	38-1357020		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Hospital for Special Surgery New York 535 East 70th Street New York, NY 10021	282,997	0
<b>EIN</b>	13-1624135		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Indiana University Indianapolis PO Box 66057 Indianapolis, IN 46266-6057	953,520	0
<b>EIN</b>	35-6001673		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Johns Hopkins University School of Medicine 733 North Broadway	2,972,389	0

## Schedule I, Part IV, Statement 1

American Heart Association Inc

	Baltimore, MD 21205-2196		
<b>EIN</b>	52-0595110		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Kansas State University Manhattan	179,170	0
	Anderson Hall		
	Room 2		
	Manhattan, KS 66506-0108		
<b>EIN</b>	48-0771751		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Kent State University	42,266	0
	Bursars Office		
	Kent, OH 44242		
<b>EIN</b>	31-6402079		
<b>IRC code section</b>	State of Ohio		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	La Jolla Institute for Allergy and Immunology	537,511	0
	9420 Athena Circle		
	La Jolla, CA 92037		
<b>EIN</b>	33-0328688		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Lehigh University Bethlehem	282,997	0
	526 Brodhead Avenue		
	Bethlehem, PA 18015		
<b>EIN</b>	24-0795445		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Loma Linda University	5,972	0
	24880 Prospect Avenue		
	Loma Linda, CA 92354		
<b>EIN</b>	95-1816009		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Louisiana State University New Orleans	437,598	0
	433 Bolivar Street		
	New Orleans, LA 70112		
<b>EIN</b>	72-6087770		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

IRC code section State of Louisiana

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Loyola University Chicago	83,403	0
	820 North Michigan Avenue LT 1300		
	Chicago, IL 60611		

EIN 36-1408475

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Loyola University Medical Center	95,557	0
	800 North Michigan		
	Lewis Towers		
	Chicago, IL 60601		

EIN 36-1408475

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Maine Medical Center	80,856	0
	22 Bramhall Street		
	Portland, ME 04102		

EIN 01-0238552

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Masonic Medical Research Laboratory Utica	282,997	0
	2150 Bleecker Street		
	Utica, NY 13501		

EIN 13-5648611

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Massachusetts General Hospital	2,241,006	0
	100 Federal Street		
	PO Box 414876		
	Boston, MA 02241-4876		

EIN 04-2697983

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Mayo Clinic Arizona	282,997	0
	13400 E Shea Boulevard		
	SC Johnson Building		
	Scottsdale, AZ 85259-5499		

EIN 86-0800150

## Schedule I, Part IV, Statement 1

American Heart Association Inc

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Mayo Clinic Rochester 200 1st Street SW PO Box 4008 Rochester, MN 55903-4008	1,150,410	0
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EIN 41-6011702

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Medical College of Georgia PO Box 945552 Atlanta, GA 30394-5552	2,562,234	0
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EIN 58-1418202

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Medical College of Wisconsin 8701 Watertown Plank Road Milwaukee, WI 53226	1,056,638	0
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EIN 39-0806261

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Medical University of South Carolina 19 Hagood Avenue Ste 608 MSC 806 Charleston, SC 29425-8060	509,004	0
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EIN 57-6000722

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Michigan State University 301 Administration Building East Lansing, MI 48824	226,949	0
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EIN 38-6005984

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Montefiore Medical Center Bronx 111 East 210th Street Bronx, NY 10467	384,068	0
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EIN 13-1740114

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Mount Desert Island Biological Laboratory	282,997	0
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PO Box 35

Salisbury Cove, ME 04672

EIN 01-0202467

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Mount Sinai School of Medicine	371,204	0
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One Gustave L Levy Place

Box 1130

New York, NY 10029-6574

EIN 13-6171197

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	New York Medical College Valhalla	606,422	0
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40 Sunshine Cottage Road

Valhalla, NY 10595

EIN 13-1099420

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	New York University School of Medicine	137,823	0
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550 First Avenue

GBH SC1-47

New York, NY 10016

EIN 13-5562308

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	North Dakota State University Fargo	47,779	0
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NDSU Dept 3130

PO Box 6050

Fargo, ND 58108

EIN 45-6002439

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Northeastern University Boston	323,425	0
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360 Huntington Avenue

960 RP

Boston, MA 02115

EIN 01-4679980

## Schedule I, Part IV, Statement 1

American Heart Association Inc

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Northwestern University Chicago	923,368	0
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750 N Lake Shore Drive

Rubloff Bldg 7th Floor

Chicago, IL 60611

EIN 36-2167817

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	NYU Medical Center	78,100	0
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550 First Avenue

SC1-47

New York, NY 10016

EIN 03-5562309

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Oklahoma State University Stillwater	128,635	0
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PO Box 645

Stillwater, OK 74076

EIN 73-6017987

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	OMRF Oklahoma Medical Research Foundation	282,997	0
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825 NE 13th

Oklahoma City, OK 73104-5005

EIN 73-0580274

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Oregon Health Science University Portland	1,070,957	0
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690 SW Bancroft Street

L106SPA

Portland, OR 97239

EIN 93-1176109

IRC code section State of Oregon

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Oregon State University	128,635	0
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Office of Post Award Administration

PO Box 1086

Corvallis, OR 97339-1086

## Schedule I, Part IV, Statement 1

American Heart Association Inc

EIN 48-1278540

IRC code section State of Oregon

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Pennington Biomedical Research Center Baton Rouge	302,880	0
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Rouge

6400 Perkins Road

Baton Rouge, LA 70810

EIN 72-6000848

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Pennsylvania State University College of Medicine	42,266	0
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Hershey

PO Box 850 G230

Hershey, PA 17033

EIN 24-6000376

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Portland State University	68,283	0
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PO Box 751 BORA

Portland, OR 97207-0751

EIN 48-1278529

IRC code section State of Oregon

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Purdue University West Lafayette	143,336	0
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23510 Network Place

Chicago, IL 60673-1235

EIN 35-6002041

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Rehabilitation Institute of Chicago	166,807	0
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345 E Superior Street

Ont - Rm 923

Chicago, IL 60611

EIN 36-3728711

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Rensselaer Polytechnic Institute	40,428	0
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PO Box 33375

Hartford, CT 06150-3375

## Schedule I, Part IV, Statement 1

American Heart Association Inc

EIN 14-1340095

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Research Foundation of SUNY	40,428	0
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402 Crofts Hall  
Buffalo, NY 14260

EIN 14-1368361

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Rhode Island Hospital	726,788	0
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593 Eddy Street  
Aldrich 318  
Providence, RI 02903

EIN 05-0258954

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Rice University	395,093	0
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PO Box 1892 MS-16  
Houston, TX 77251-1892

EIN 74-1109620

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Rush University Medical Center	232,462	0
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1700 West Van Buren  
Rm 277  
Chicago, IL 60612

EIN 36-2174823

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Rutgers The State University of New Jersey New Brunswick	40,428	0
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3 Rutgers Plaza  
New Brunswick, NJ 08901

EIN 22-6001086

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Saint Lukes Hospital of Kansas City	131,392	0
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3100 Broadway  
Penn Tower Suite 900



## Schedule I, Part IV, Statement 1

American Heart Association Inc

	Kansas City, MO 64111		
<b>EIN</b>	44-0545297		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	San Diego State University Research Foundation	148,849	0
	5250 Campanile Drive		
	San Diego, CA 92115		
<b>EIN</b>	95-6042721		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Sanford Burnham Institute for Medical Research	1,188,717	0
	6400 Sanger Road		
	Orlando, FL 32827		
<b>EIN</b>	51-0197108		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Scripps Research Institute	329,398	0
	10550 N Torrey Pines Road		
	La Jolla, CA 92037		
<b>EIN</b>	33-0435954		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	South Dakota State University Brookings	131,290	0
	SAD 133 Box 2201		
	Brookings, SD 57007-1998		
<b>EIN</b>	46-6000364		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Southern Illinois University Carbondale	282,997	0
	MC 6812 101Thalman Hall		
	Carbondale, IL 62901		
<b>EIN</b>	37-6005961		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	St Jude Children's Research Hospital Memphis	45,904	0
	262 Danny Thomas Place		
	Memphis, TN 38105		
<b>EIN</b>	62-0646012		
<b>IRC code section</b>	501c3		

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	St Louis University	92,275	0
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3700 West Pine Mall  
Fusz Memorial Hall 3rd Fl Suite 357  
St Louis, MO 63108

EIN 43-0654872

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Stanford University	1,735,563	0
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355 Galvez Street  
Stanford, CA 94305-6106

EIN 94-1156365

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	SUNY Upstate Medical University	724,943	0
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35 State Street  
PO Box 9  
Albany, NY 12207

EIN 14-1368361

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	SUNY Stony Brook	181,927	0
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W5510 Melville Library  
Stony Brook, NY 11794-3362

EIN 80-4878247

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Temple University	656,039	0
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PO Box 824242  
Philadelphia, PA 19182-4242

EIN 23-1365971

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Texas A&M University Health Science Center	1,813,754	0
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400 Harvey Mitchell Parkway S  
Suite 100  
College Station, TX 77845

EIN 74-1238434

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Texas AgriLife Research 2147 TAMU College Station, TX 77840	128,635	0
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EIN 74-6000541

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Texas Heart Institute 6770 Bertner Avenue Houston, TX 77030	216,842	0
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EIN 74-6053200

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Texas Tech University Health Sciences Center 3601 4th Street STOP 6271 Lubbock, TX 79430	611,935	0
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EIN 75-2668014

IRC code section State of Texas

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	The Children's Hospital of Philadelphia 3615 Civic Center Blvd Philadelphia, PA 19104-4318	818,670	0
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EIN 23-1352166

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	The Methodist Hospital Research Institute 6565 Fannin Houston, TX 77030	128,635	0
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EIN 87-0721923

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	The Ohio State University 1960 Kenny Road Columbus, OH 43210	675,334	0
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EIN 31-6025986

IRC code section State of Ohio

## Method of valuation

## Description of non-

## cash assistance

<b>Purpose of grant</b>	Research		
<b>Name and address</b>	The Queen's Medical Center 1301 Punchbowl Street Univ Tower 619 Honolulu, HI 96813	101,067	0
<b>EIN</b>	99-0073524		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	The Research Institute at Nationwide Children's Hospital PO Box 715245 Columbus, OH 43271-5245	586,208	0
<b>EIN</b>	31-6056230		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	The University of Texas Health Science Center at Tyler 11937 US Highway 271 Tyler, TX 75708-3154	211,329	0
<b>EIN</b>	75-6001354		
<b>IRC code section</b>	State of Texas		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	The University of Texas Medical Branch PO Box 4786-750 Houston, TX 77210-4786	128,635	0
<b>EIN</b>	74-6000949		
<b>IRC code section</b>	State of Texas		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	The Wistar Institute 3601 Spruce Street Philadelphia, PA 19104	282,997	0
<b>EIN</b>	23-6434390		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Thomas Jefferson University 1020 Walnut Street 5th Floor Scott Building Philadelphia, PA 19107	328,938	0
<b>EIN</b>	23-1352651		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			

## Description of non-cash assistance

<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Tufts Medical Center 800 Washington Street Boston, MA 02111	502,596	0
<b>EIN</b>	04-3400617		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Tulane University New Orleans 800 East Commerce Road Suite 203 Harahan, LA 70123	563,321	0
<b>EIN</b>	70-0423889		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	UMDNJ New Jersey Medical School PO Box 2685 New Brunswick, NJ 08903-2685	543,024	0
<b>EIN</b>	22-1775306		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Uniformed Services University of the Health Sciences 1401 Rockville Pike Suite 600 Rockville, MD 20852	282,997	0
<b>EIN</b>	52-1317896		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Akron 302 Buchtel Common Akron, OH 44325-6205	42,266	0
<b>EIN</b>	34-6002924		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Alabama at Birmingham 701 South 20th Street Birmingham, AL 35294-0109	1,021,690	0
<b>EIN</b>	63-6005396		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-</b>			

## cash assistance

<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Arizona PO Box 3520 Tucson, AZ 85722-3520	394,410	0
<b>EIN</b>	74-2652689		
<b>IRC code section</b>	State of Arizona		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Arkansas for Medical Sciences 4301 W Markham Slot 545 Little Rock, AR 72205	45,941	0
<b>EIN</b>	71-6046242		
<b>IRC code section</b>	State of Arkansas		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of California Berkeley 5700 Martin Luther King Jr Way Oakland, CA 94609	442,413	0
<b>EIN</b>	94-0382330		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of California Davis PO Box 989062 West Sacramento, CA 95798-9062	909,669	0
<b>EIN</b>	94-6036494		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of California Irvine 1400 Biological Sciences III Irvine, CA 92697-1050	186,429	0
<b>EIN</b>	95-2226406		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of California Los Angeles 11000 Kinross Avenue Suite 211 Box 951406 Los Angeles, CA 90095-1008	2,964,119	0
<b>EIN</b>	95-6006143		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	University of California Riverside Student Services Bldg Rm 1111 University of California Riverside, CA 92521	74,425	0
<b>EIN</b>	95-6006142		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of California San Diego 9500 Gilman Drive MC 0009 La Jolla, CA 92093	848,532	0
<b>EIN</b>	95-6006144		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of California San Francisco 1855 Folsom Street MCB 425 Box 0897 San Francisco, CA 94103	1,605,336	0
<b>EIN</b>	94-6036493		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of California Santa Barbara SAASB Building Rm 1212 University of California Santa Barbara, CA 93106-2003	5,972	0
<b>EIN</b>	95-6006145		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Central Florida 12424 Research Parkway Research Park Pavilion Suite 300 Orlando, FL 32826	151,606	0
<b>EIN</b>	59-2924021		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Chicago 1427 East 60th Street Suite 120 Chicago, IL 60637	686,378	0
<b>EIN</b>	36-2177139		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Cincinnati P O Box 691031 Cincinnati, OH 45269-1031	754,353	0
<b>EIN</b>	31-6000989		
<b>IRC code section</b>	State of Ohio		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Colorado Denver Mail Stop F428 Dept 238 Denver, CO 80291-0238	2,083,059	0
<b>EIN</b>	84-6000555		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Colorado Boulder Department 220 Denver, CO 80291-0220	87,494	0
<b>EIN</b>	84-6000555		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Connecticut Farmington 263 Farmington Avenue Farmington, CT 06030-5335	623,880	0
<b>EIN</b>	52-1725543		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Connecticut Storrs 438 Whitney Road Ext Unit 1133 Storrs, CT 06269-1133	262,783	0
<b>EIN</b>	06-0772160		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Dayton 300 College Park Dayton, OH 45469-1600	119,724	0
<b>EIN</b>	31-0536715		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Delaware 30 Lovett Avenue	143,215	0



## Schedule I, Part IV, Statement 1

American Heart Association Inc

	116 Student Services Building		
	Newark, DE 19716		
<b>EIN</b>	51-6000297		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Florida Gainesville	2,163,870	0
	123 Grinter Hall		
	PO Box 113001		
	Gainesville, FL 32611		
<b>EIN</b>	59-6002052		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Georgia Athens	323,756	0
	475 North Lumpkin Street		
	Athens, GA 30602-5333		
<b>EIN</b>	58-1353149		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Hawaii	260,563	0
	2530 Dole Street		
	Sakamaki D-200		
	Honolulu, HI 96822		
<b>EIN</b>	99-6000354		
<b>IRC code section</b>	State of Hawaii		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Houston	282,997	0
	PO Box 988		
	Houston, TX 77001-0988		
<b>EIN</b>	74-6001399		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Illinois	2,315,905	0
	PO BOX 20787		
	Springfield, IL 62708-0787		
<b>EIN</b>	37-6000511		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Iowa Iowa City	1,748,456	0
	B5 Jessup Hall		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

	Iowa City, IA 52242		
<b>EIN</b>	42-6004813		
<b>IRC code section</b>	State of Iowa		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Kansas Medical Center MSN 1039 3901 Rainbow Boulevard Kansas City, KS 66160	694,185	0
<b>EIN</b>	48-1108830		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Kansas Lawrence 2385 Irving Hill Road Lawrence, KS 66045-7568	47,779	0
<b>EIN</b>	48-0680117		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Kentucky College of Medicine 201 Kinkead Hall Lexington, KY 40506-0057	267,377	0
<b>EIN</b>	61-6033693		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Kentucky Lexington 201 Kinkead Hall Lexington, KY 40506-0057	520,972	0
<b>EIN</b>	61-6033693		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Louisville 521 Stevenson Hall Louisville, KY 40292	606,422	0
<b>EIN</b>	61-1029626		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Maryland 4101 Chesapeake Bldg College Park, MD 20742	42,266	0
<b>EIN</b>	52-6002033		
<b>IRC code section</b>	State of Maryland		

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Maryland Baltimore	631,207	0
	PO Box 41428		
	Baltimore, MD 21203-6428		

EIN 52-6002033

IRC code section State of Maryland

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Massachusetts Medical School	244,407	0
	55 Lake Avenue North		
	Worcester, MA 01655		

EIN 04-3167352

IRC code section State of Mass.

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Medicine and Dentistry of New Jersey	88,207	0
	PO Box 2685		
	New Brunswick, NJ 08903-2685		

EIN 22-1775306

IRC code section State of New Jersey

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Miami	151,606	0
	1400 NW 10 Avenue		
	Suite 1012		
	Miami, FL 33136		

EIN 59-0624458

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Miami School of Medicine Miami	676,194	0
	1400 NW 10 Avenue		
	Suite 1012		
	Miami, FL 33136		

EIN 59-0624458

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Michigan	686,136	0
	3003 S State Street		
	Room 1054		
	Ann Arbor, MI 48109-1274		

EIN 38-6006309

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Michigan Medical Center	554,965	0
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3003 S State Street

Room 1054

Ann Arbor, MI 48109-1274

EIN 38-6006309

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Minnesota	641,338	0
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200 Oak Street SE

Minneapolis, MN 55455

EIN 41-6007513

IRC code section State of Minnesota

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Mississippi Jackson	664,286	0
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2500 North State Street

Jackson, MS 39216

EIN 64-6008520

IRC code section 501c3

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Missouri Columbia	314,443	0
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310 Jesse Hall

Columbia, MO 65211-1230

EIN 43-6003859

IRC code section State of Missouri

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Missouri Kansas City	418,270	0
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5100 Rockhill Road

Kansas City, MO 64110-2499

EIN 43-6003859

IRC code section State of Missouri

## Method of valuation

## Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	University of Nebraska Medical Center Omaha	525,357	0
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985100 Nebraska Medical Center

Omaha, NE 68198-5100

EIN 47-0049123

IRC code section 501c3

## Method of valuation

## Description of non-

## cash assistance

<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Nevada Reno 1664 N Virginia Street Mail Stop 124 Reno, NV 89557	128,635	0
<b>EIN</b>	88-6000024		
<b>IRC code section</b>	State of Nevada		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of New England Biddeford 11 Hills Beach Road Biddeford, ME 04005	181,927	0
<b>EIN</b>	01-0211810		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of New Mexico Health Sciences Center 1 University of New Mexico Albuquerque, NM 87131-0001	121,284	0
<b>EIN</b>	85-6000642		
<b>IRC code section</b>	State of New Mexico		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of North Carolina Chapel Hill 333 South Columbia Street Chapel Hill, NC 27514	780,999	0
<b>EIN</b>	56-6001393		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of North Texas Health Science Center Fort Worth 3500 Camp Bowie Boulevard Fort Worth, TX 76107-2699	128,635	0
<b>EIN</b>	75-6064033		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Notre Dame 836 Grace Hall Notre Dame, IN 46556-5612	233,856	0
<b>EIN</b>	35-0868188		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Oklahoma Health Sciences Center PO Box 26901 Oklahoma City, OK 73126-0901	632,149	0
<b>EIN</b>	87-8648294		
<b>IRC code section</b>	State of Oklahoma		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Oregon Eugene PO Box 3237 Eugene, OR 97403-0327	174,157	0
<b>EIN</b>	48-1278531		
<b>IRC code section</b>	State of Oregon		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University Of Pennsylvania 3451 Walnut Street Suite P221 Franklin Bldg Philadelphia, PA 19104	855,423	0
<b>EIN</b>	23-1352685		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Pennsylvania School of Medicine 3451 Walnut Street P221 Franklin Building Philadelphia, PA 19104	1,487,573	0
<b>EIN</b>	23-1352685		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Pittsburgh PO Box 371220 Pittsburgh, PA 15251-7220	2,450,498	0
<b>EIN</b>	25-0965591		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Rhode Island Kingston 70 Lower College Road Kingston, RI 02881-1967	86,369	0
<b>EIN</b>	05-6000522		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	University of Rochester Medical Center 910 Genesee Street Suite 200 Rochester, NY 14611-3847	1,698,821	0
<b>EIN</b>	16-0743209		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of South Alabama Mobile 307 University Boulevard Mobile, AL 36688	553,917	0
<b>EIN</b>	63-0477348		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of South Carolina Columbia 901 Sumter Street Suite 511 Columbia, SC 29208	304,130	0
<b>EIN</b>	57-0967350		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of South Dakota Vermillion 414 East Clark Street Slagle 105 Vermillion, SD 57069-2390	330,776	0
<b>EIN</b>	46-6003541		
<b>IRC code section</b>	State of S. Dakota		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of South Florida Tampa P O Box 864568 Orlando, FL 32886	151,606	0
<b>EIN</b>	59-3102112		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Southern California 3500 S Figueroa Street 102 Los Angeles, CA 90089-8001	476,869	0
<b>EIN</b>	95-1642394		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	University of Tennessee Health Science Center Memphis 62 South Dunlap Street Suite 300 Memphis, TN 38163	434,603	0
<b>EIN</b>	62-6001636		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Texas Health Science Center at San Antonio 7703 Floyd Curl Drive San Antonio, TX 78229	678,091	0
<b>EIN</b>	74-1586031		
<b>IRC code section</b>	State of Texas		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Texas Health Science Center Houston PO Box 203382 Houston, TX 77216	999,678	0
<b>EIN</b>	74-1761309		
<b>IRC code section</b>	State of Texas		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Texas San Antonio One UTSA Circle San Antonio, TX 78249	45,941	0
<b>EIN</b>	74-1717115		
<b>IRC code section</b>	State of Texas		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Texas Southwestern Medical Center at Dallas PO Box 841753 Dallas, TX 75284-1753	1,587,724	0
<b>EIN</b>	75-6002868		
<b>IRC code section</b>	State of Texas		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Texas Arlington 219 W Main Arlington, TX 76010	174,576	0
<b>EIN</b>	75-6000121		
<b>IRC code section</b>	State of Texas		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		



## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	University of Texas Austin PO Box 7159 Austin, TX 78713	128,635	0
<b>EIN</b>	74-6000203		
<b>IRC code section</b>	State of Texas		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Toledo Health Science Campus 2801 W Bancroft Toledo, OH 43606-3390	363,853	0
<b>EIN</b>	34-6401483		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Utah 201 S Presidents Circle Rm 302 Salt Lake City, UT 84112	1,101,667	0
<b>EIN</b>	87-6000525		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Vermont Burlington 223 Waterman Building 85 S Prospect Street Burlington, VT 05405-0160	282,997	0
<b>EIN</b>	03-0179440		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Virginia Charlottesville PO Box 400195 Charlottesville, VA 22904-4195	1,242,247	0
<b>EIN</b>	54-6001796		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Washington Seattle 3917 University Way NE Box 351122 Seattle, WA 98195	1,444,594	0
<b>EIN</b>	91-6001537		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Wisconsin Milwaukee	282,997	0

## Schedule I, Part IV, Statement 1

American Heart Association Inc

	P O Box 500		
	Milwaukee, WI 53201-0340		
<b>EIN</b>	39-1805963		
<b>IRC code section</b>	State of Wisconsin		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	University of Wisconsin Madison	1,970,839	0
	21 North Park Street		
	Suite 6401		
	Madison, WI 53715		
<b>EIN</b>	39-6006492		
<b>IRC code section</b>	State of Wisconsin		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Utah State University	49,616	0
	2400 Old Main Hill		
	Logan, UT 84322-2400		
<b>EIN</b>	87-6000528		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Vanderbilt University	2,615,292	0
	2301 Vanderbilt Place		
	Nashville, TN 37240-1591		
<b>EIN</b>	62-0476822		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Veterans Medical Research Foundation San Diego	128,635	0
	3350 La Jolla Village Drive MC 151A		
	San Diego, CA 92161		
<b>EIN</b>	33-0189397		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Virginia Commonwealth University Richmond	966,597	0
	P O Box 843039		
	Richmond, VA 23284-3039		
<b>EIN</b>	54-6001758		
<b>IRC code section</b>	State of Virginia		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Research		
<b>Name and address</b>	Virginia Polytechnic Institute Blacksburg	162,631	0
	1880 Pratt Dr STE 2000		
	Blacksburg, VA 24060		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

EIN 54-6001805

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Wake Forest University School of Medicine	565,994	0
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Medical Center Boulevard  
Winston Salem, NC 27157

EIN 22-3749199

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Washington University School of Medicine	1,712,781	0
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700 Rosedale Avenue  
Campus Box 1034  
St Louis, MO 63112-1408

EIN 43-0653611

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Wayne State University	47,779	0
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5057 Woodward  
13th Floor  
Detroit, MI 48202

EIN 38-6028429

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Weil Institute of Critical Care Medicine	130,473	0
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35-100 Bob Hope Drive  
Rancho Mirage, CA 92270

EIN 51-0181236

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Weill Medical College of Cornell University	182,586	0
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575 Lexington Avenue  
9th Floor  
New York, NY 10022

EIN 13-1623978

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	West Virginia School Of Osteopathic Medicine	282,997	0
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400 North Lee Street  
Lewisburg, WV 24901

## Schedule I, Part IV, Statement 1

American Heart Association Inc

EIN 55-0561541

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	West Virginia University Morgantown	111,177	0
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PO Box 6001

One Waterfront Place

Morgantown, WV 26506-6001

EIN 19-1510239

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	William Beaumont Hospital Royal Oak	77,936	0
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3811 West Thirteen Mile Road

Suite 501

Royal Oak, MI 48073

EIN 38-1459362

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	Yale University	1,051,132	0
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PO Box 1873

New Haven, CT 06508-1873

EIN 06-0646973

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Research

<b>Name and address</b>	City of Coral Springs FL	9,000	0
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9551 West Sample Road

Coral Springs, FL 33065

EIN 59-1114362

IRC code section Cty of Coral Springs

Method of valuation

Description of non-cash assistance

Purpose of grant Purchase automated external difibrillators

<b>Name and address</b>	Stroke Survivors Empowering Each Other	6,831	0
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1048 Foxworth Boulevard

Lombard, IL 60148

EIN 27-1925734

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Stroke survivor support programs

<b>Name and address</b>	Avera Flandreau Medical Center	13,000	0
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214 North Prairie Street

Flandreau, SD 57028

EIN 46-0224743

## Schedule I, Part IV, Statement 1

American Heart Association Inc

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Aberdeen Advanced Care Ambulance	58,659	0
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111 2nd Avenue  
Aberdeen, SD 57401

EIN 46-6000010

IRC code section City of Aberdeen

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Spearfish Emergency Ambulance	60,010	0
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716 East Colorado Boulevard  
Spearfish, SD 57783

EIN 46-0337939

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Avera Dells Area Health Center	13,000	0
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909 North Iowa Avenue  
Dell Rapids, SD 57022

EIN 46-0224743

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG software program licenses

<b>Name and address</b>	Perkins County Ambulance Services	23,140	0
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P O Box 3  
Lemmon, SD 57638

EIN 46-0340197

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Christensen Ambulance Inc	50,390	0
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P O Box 598  
Webster, SD 57274

EIN 46-0348316

IRC code section

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Marion Community Ambulance Ser	25,905	0
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P O Box 536  
Marion, SD 57043

EIN 23-7312450

IRC code section

Method of valuation

Description of non-

## cash assistance

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Lennox Area Ambulance	26,322	0
	P O Box 228		
	Lennox, SD 57039		

**EIN** 46-6000261

**IRC code section** City of Lennox

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Spink County Ambulance Service	25,774	0
	111 West 10th Avenue		
	Redfield, SD 57469		

**EIN** 46-6000400

**IRC code section** City of Redfield

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Corsica Ambulance	26,029	0
	P O Box 95		
	Corsica, SD 57328		

**EIN** 46-0442945

**IRC code section** Douglas County

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	DeSmet Emergency Rescue Unit	47,753	0
	P O Box 32		
	DeSmet, SD 57231		

**EIN** 46-0308971

**IRC code section**

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Tripp County Ambulance Service	52,102	0
	142 East 4th Street		
	Winner, SD 57580		

**EIN** 46-6000554

**IRC code section** Tripp County

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Avera Heart Hospital	85,300	0
	4500 W 69th Street		
	Sioux Falls, SD 57108		

**EIN** 56-2143221

**IRC code section**

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Rapid City Regional Hospital	83,500	0
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## Schedule I, Part IV, Statement 1

American Heart Association Inc

	353 Farimont Boulevard		
	Rapid City, SD 57701		
<b>EIN</b>	46-0319070		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Dell Rapids Community Ambulance Service	50,860	0
	909 North Iowa Avenue		
	Dell Rapids, SD 57022		
<b>EIN</b>	46-0326229		
<b>IRC code section</b>	501c4		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Bennett County Ambulance Service	19,394	0
	P O Box 70		
	Martin, SD 57551		
<b>EIN</b>	43-2040599		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Jefferson Ambulance Service	22,536	0
	P O Box 335		
	Jefferson, SD 57038		
<b>EIN</b>	46-0418446		
<b>IRC code section</b>	City of Jefferson		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	South Shore Ambulance Service	26,029	0
	P O Box 561		
	South Shore, SD 57263		
<b>EIN</b>	46-6000467		
<b>IRC code section</b>	City of South Shore		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Eureka Ambulance Service	37,484	0
	P O Box 673		
	Eureka, SD 57437		
<b>EIN</b>	46-0342738		
<b>IRC code section</b>			
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Crow Creek Ambulance Service	23,102	0
	P O Box 409		
	Fort Thompson, SD 57339		
<b>EIN</b>	46-0235609		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

IRC code section Crow Creek Sioux

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	St Michael s Hospital 410 West 16th Avenue Tyndall, SD 57066	13,000	0
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EIN 46-0225414

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Software to receive lead ECGs

<b>Name and address</b>	Sanford Medical Center 1305 West 18th Street Sioux Falls, SD 57117	85,300	0
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EIN 46-0227855

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Faith Ambulance Service P O Box 368 Faith, SD 57626	23,139	0
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EIN 46-6000151

IRC code section City of Faith

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	GrantRoberts Ambulance Service P O Box 623 Milbank, SD 57252	81,669	0
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EIN 91-1840757

IRC code section

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Prairie Lakes Healthcare System 401 9th Avenue NW Watertown, SD 57201	85,300	0
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EIN 46-0391067

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	MedStar Paramedic Ambulance Inc P O Box 512 Brandon, SD 57005	39,134	0
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EIN 46-0461500

IRC code section

Method of valuation

Description of non-



## cash assistance

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Douglas County Ambulance 708 8th Street Armour, SD 57313	26,029	0
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**EIN** 46-6000033

**IRC code section** Douglas County

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Tyndall Ambulance Service P O Box 29 Tyndall, SD 57066	26,029	0
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**EIN** 46-6000490

**IRC code section** City of Tyndall

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Avera St Lukes Aberdeen 305 South State Street Sioux Falls, SD 57401	85,300	0
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**EIN** 46-0224598

**IRC code section** 501c3

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Alcester Emergency Medical Service P O Box 911 Alcester, SD 57001	23,620	0
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**EIN** 20-5779185

**IRC code section** City of Alcester

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Phillip Ambulance Service P O Box 395 Phillip, SD 57567	38,474	0
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**EIN** 46-0446551

**IRC code section** 501c3

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Hurley Ambulance Service P O Box 421 Hurley, SD 57036	25,589	0
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**EIN** 46-0353708

**IRC code section** City of Hurley

**Method of valuation**

**Description of non-cash assistance**

**Purpose of grant** EKG monitors and data package services

<b>Name and address</b>	Lake Preston Ambulance Service	26,652	0
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## Schedule I, Part IV, Statement 1

American Heart Association Inc

	111 3rd Street NE		
	Lake Preston, SD 57249		
<b>EIN</b>	46-6000255		
<b>IRC code section</b>	City of Lake Preston		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Jerauld County Ambulance Service	25,720	0
	604 1st St NE		
	Wessington Spring, SD 57382		
<b>EIN</b>	46-6000540		
<b>IRC code section</b>	Jerauld County		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Gregory Ambulance Association	22,626	0
	P O Box 411		
	Gregory, SD 57533		
<b>EIN</b>	46-6000175		
<b>IRC code section</b>	City of Gregory		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Rural Meade Ambulance	22,530	0
	17506 SD Hwy 34		
	Enning, SD 57533		
<b>EIN</b>	46-0442850		
<b>IRC code section</b>	Meade County		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Burke Ambulance Service	25,511	0
	P O Box 61		
	Burke, SD 57523		
<b>EIN</b>	27-1595556		
<b>IRC code section</b>	City of Burke		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Brookings Ambulance Service	59,816	0
	300 22nd Avenue		
	Brookings, SD 57523		
<b>EIN</b>	46-6000069		
<b>IRC code section</b>	City of Brookings		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Moody County Ambulance Service	50,689	0
	108 E Pipestone Avenue		
	Suite B		
	Flandreau, SD 57028		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

EIN 46-6000158

IRC code section Moody County

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Wall Volunteer Ambulance	38,474	0
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P O Box 336

Wall, SD 57790

EIN 46-0276000

IRC code section City of Wall

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Yankton County EMS	32,411	0
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P O Box 1060

Yankton, SD 57078

EIN 46-6000569

IRC code section Yankton County

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Freeman Ambulance Service	29,442	0
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P O Box 178

Freeman, SD 57029

EIN 46-6000164

IRC code section City of Freeman

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Butte County Ambulance Service	50,436	0
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520 Faulk Street

Belle Fourche, SD 57717

EIN 46-0338140

IRC code section Butte County

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	White Ambulance Service	24,309	0
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P O Box 93

White, SD 57276

EIN 81-0634607

IRC code section City of White

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Jones County Ambulance Service	23,139	0
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P O Box 305

Murdo, SD 57559

EIN 36-3334529

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Edgemont Ambulance Service	23,102	0
	P O Box 725		
	Edgemont, SD 57735		
<b>EIN</b>	27-3842489		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Miner County Ambulance Service	22,534	0
	P O Box 395		
	Howard, SD 57349		
<b>EIN</b>	46-6000207		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Custer Regional Hospital	13,000	0
	1039 Montgomery Street		
	Custer, SD 57730		
<b>EIN</b>	46-0360899		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG software program licenses

<b>Name and address</b>	Sturgis Regional Hospital	13,000	0
	949 Harmon Street		
	Sturgis, SD 57785		
<b>EIN</b>	46-0360899		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG software program licenses

<b>Name and address</b>	Spearfish Regional Hospital	13,000	0
	1440 North Main Street		
	Spearfish, SD 57783		
<b>EIN</b>	46-0360899		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG software program licenses

<b>Name and address</b>	Hans P Peterson Memorial Hospital	13,000	0
	503 West Pine Street		
	Phillip, SD 57567		
<b>EIN</b>	46-0361016		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG software program licenses

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	Clark County Ambulance Service 100 South Cloud Street Clark, SD 57225	23,287	0
<b>EIN</b>	46-6000099		
<b>IRC code section</b>	Clark County		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Ipswich Ambulance Service P O Box 586 Ipswich, SD 57451	23,287	0
<b>EIN</b>	46-6000232		
<b>IRC code section</b>	City of Ipswich		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Hill City Ambulance Service P O Box 671 Hill City, SD 57745	42,733	0
<b>EIN</b>	46-0444769		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Madison Medical Services 917 North Washington Avenue Madison, SD 57042	63,689	0
<b>EIN</b>	46-0228038		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Deuel County Ambulance Inc P O Box 453 Clear Lake, SD 57042	25,487	0
<b>EIN</b>	46-0418357		
<b>IRC code section</b>			
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Conde Ambulance Service P O Box 122 Conde, SD 57434	25,589	0
<b>EIN</b>	46-0453491		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Bowdle Ambulance Service P O Box 556 Bowdle, SD 57428	25,526	0

## Schedule I, Part IV, Statement 1

American Heart Association Inc

EIN 46-0369929

IRC code section City of Bowdle

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Lead-Deadwood Regional Hospital Ambulance	63,874	0
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61 Charles Street  
Deadwood, SD 57732

EIN 46-0360899

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Lower Brule Ambulance Service	25,487	0
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187 Oyate Circle  
Lower Brul, SD 57548

EIN 46-0222351

IRC code section Lower Brule Sioux

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Wessington Ambulance	23,287	0
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20426 375th Avenue  
Wessington, SD 57381

EIN 46-6000538

IRC code section

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Sturgis Fire Emergency Services	54,784	0
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1901 Ball Park Road  
Sturgis, SD 57785

EIN 46-6000476

IRC code section City of Sturgis

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Keystone Ambulance Service	50,874	0
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P O Box 175  
Keystone, SD 57761

EIN 46-0425425

IRC code section Pennington County

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Kadoka Ambulance Service	23,287	0
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P O Box 116  
Kadoka, SD 57543

EIN 46-0403059

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Castlewood Ambulance District	22,393	0
	P O Box 278		
	Castlewood, SD 57223		
<b>EIN</b>	46-0448001		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	American Medical Response	32,300	0
	P O Box 26		
	Pierre, SD 57501		
<b>EIN</b>	75-2474011		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Oelrichs Ambulance Service	23,287	0
	P O Box 93		
	Oelrichs, SD 57763		
<b>EIN</b>	46-0353248		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Lyman County Ambulance District	23,102	0
	P O Box 267		
	Presho, SD 57566		
<b>EIN</b>	61-1535422		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Midland Area Emergency Medical Service	23,139	0
	P O Box 25		
	Midland, SD 57552		
<b>EIN</b>	46-0439870		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Hecla Community Ambulance Service	23,102	0
	P O Box 188		
	Hecla, SD 57446		
<b>EIN</b>	46-6000185		

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	Huron Ambulance Service Inc P O Box 632 Huron, SD 57350	46,204	0
<b>EIN</b>	46-0308000		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Kimball Volunteer Ambulance District P O Box 302 Kimball, SD 57355	23,102	0
<b>EIN</b>	46-0453262		
<b>IRC code section</b>			
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Highmore Fire Department Ambulance P O Box 340 Highmore, SD 57346	23,102	0
<b>EIN</b>	02-0672951		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Hand County Ambulance Service 318 West 5th Street Miller, SD 57362	25,487	0
<b>EIN</b>	46-0356287		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Estelline Ambulance Service P O Box 278 Estelline, SD 57234	24,139	0
<b>EIN</b>	46-6000147		
<b>IRC code section</b>	City of Estelline		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Standing Rock Ambulance McLaughlin P O Box D-22 Fort Yates, ND 58538	49,568	0
<b>EIN</b>	45-0220519		
<b>IRC code section</b>	Standing Rock Sioux		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Onida Fire Department P O Box 28 Onida, SD 57554	23,139	0



## Schedule I, Part IV, Statement 1

American Heart Association Inc

EIN 34-2004835

IRC code section

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	McCook County EMS	24,672	0
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Box 309

Salem, SD 57058

EIN 46-0393805

IRC code section

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Missouri Valley Ambulance Service	50,738	0
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P O Box 354

Chamberlain, SD 57325

EIN 91-1768293

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Custer Ambulance Service	47,374	0
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P O Box 847

Custer, SD 57730

EIN 46-0348594

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Watertown Fire Dept Ambulance Service	52,627	0
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129 1st Avenue NW

Watertown, SD 57201

EIN 46-6000515

IRC code section City of Watertown

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Timber Lake Ambulance Service	19,640	0
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P O Box 192

Timber Lake, SD 57656

EIN 46-0350472

IRC code section Dewey County

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Carthage Ambulance Service	25,239	0
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P O Box 84

Carthage, SD 57323

EIN 46-0412693

IRC code section City of Carthage

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Gettysburg Ambulance Service	23,139	0
	109 East Commercial Avenue		
	Gettysburg, SD 57442		

EIN 46-6000172

IRC code section City of Gettysburg

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Campbell County Ambulance Service	21,639	0
	P O Box 37		
	Mound City, SD 57646		

EIN 46-6000328

IRC code section Campbell County

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Lake Norden Ambulance District	24,025	0
	P O Box 71		
	Lake Norden, SD 57248		

EIN 46-0404889

IRC code section

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Bison Ambulance	23,102	0
	P O Box 158		
	Bison, SD 57620		

EIN 46-0433241

IRC code section Perkins County

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Hot Springs Volunteer Ambulance Service	43,949	0
	P O Box 927		
	Hot Springs, SD 57747		

EIN 46-0417845

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Hoven Ambulance Service	22,990	0
	P O Box 162		
	Hoven, SD 57450		

EIN 46-6003552

IRC code section City of Hoven

Method of valuation

## Description of non-cash assistance

Purpose of grant EKG monitors and data package services

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	Mobridge Regional Hospital and Ambulance P O Box 580 Mobridge, SD 57601	52,457	0
<b>EIN</b>	46-0255944		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Northeast Ambulance Service P O Box 1 Rosholt, SD 57260	23,139	0
<b>EIN</b>	46-0361769		
<b>IRC code section</b>	City of Rosholt		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Selby Volunteer Ambulance Service P O Box 192 Selby, SD 57472	21,525	0
<b>EIN</b>	46-0413472		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Mitchell Regional Ambulance Service 201 West 1st Avenue Mitchell, SD 57301	36,913	0
<b>EIN</b>	46-6000305		
<b>IRC code section</b>	City of Mitchell		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	McIntosh Volunteer Fire Ambulance P O Box 174 McIntosh, SD 57641	23,139	0
<b>EIN</b>	01-0753802		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Harding County Ambulance Service P O Box 114 Buffalo, SD 57720	45,126	0
<b>EIN</b>	46-6000074		
<b>IRC code section</b>	Harding County		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	EKG monitors and data package services		
<b>Name and address</b>	Lifesaving Foundation 211 No Broadway Suite 3600 St Louis, MO 63102	50,000	0

## Schedule I, Part IV, Statement 1

American Heart Association Inc

EIN 20-0512259

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant EKG monitors and data package services

<b>Name and address</b>	Sylvia's Scholarship Fund	7,500	0
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310 East 49th Street

Suite 5E

New York, NY 10017

EIN 31-1793365

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Student scholarships

<b>Name and address</b>	Overcoming Obstacles	20,000	0
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125 Maiden Lane

5th Floor

New York, NY 10038

EIN 95-4367454

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	Good Sports	14,400	0
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1515 Hancock Street

Suite 301

Quincy, MA 02169

EIN 75-3138664

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	Boys and Girls Club of Metrowest	9,000	0
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168 Pleasant Street

Marlborough, MA 01752

EIN 04-2387225

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	Weill Medical College of Cornell University	15,481	0
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1300 York Avenue

New York, NY 10065

EIN 13-1623978

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	Beth Israel Medical Center	23,400	0
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Unknown Address

New York, NY 10065

## Schedule I, Part IV, Statement 1

American Heart Association Inc

EIN 13-5564934

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	Children's Aid Society	25,000	0
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105 E 22nd Street

New York, NY 10010

EIN 13-5562191

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	Highbridge Community Life Center	25,000	0
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979 Ogden Avenue

Bronx, NY 10452

EIN 13-3015539

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	YMCA of Greater New York	25,000	0
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5 W 63rd Street

New York, NY 10023

EIN 13-1624228

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	Sociedad Latina	13,500	0
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1530 Tremont Street

Roxbury, MA 02120

EIN 04-2678255

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	City of Somerville	9,000	0
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93 Highland Avenue

Somerville, MA 02143

EIN 04-6011414

IRC code section 501c3

Method of valuation

Description of non-cash assistance

Purpose of grant Community Impact Grants

<b>Name and address</b>	The Family Van	20,000	0
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1542 Tremont Street

Boston, MA 02120

EIN 04-2103580

IRC code section 501c3

Method of valuation

## Description of non-cash assistance

<b>Purpose of grant</b>	Community Impact Grants		
<b>Name and address</b>	Healthworks Foundation 450 Washington Street Dorchester, MA 02124	14,400	0
<b>EIN</b>	04-3431534		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Community Impact Grants		
<b>Name and address</b>	Playworks 31 Germania Street Jamaica Plain, MA 02130	17,100	0
<b>EIN</b>	94-3251867		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Community Impact Grants		
<b>Name and address</b>	Haley House 23 Dartmouth Street Boston, MA 02116	6,750	0
<b>EIN</b>	04-2437845		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Community Impact Grants		
<b>Name and address</b>	YWCA of Greater Lawrence 38 Lawrence Street Lawrence, MA 01840	6,750	0
<b>EIN</b>	04-2130847		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Community Impact Grants		
<b>Name and address</b>	Greater Boston Vineyard 15 Notre Dame Avenue Cambridge, MA 02140	6,300	0
<b>EIN</b>	04-3296440		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Community Impact Grants		
<b>Name and address</b>	Waltham Partnership for Youth Unknown Address Cambridge, MA 02140	7,088	0
<b>EIN</b>	04-3399437		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Community Impact Grants		

## Schedule I, Part IV, Statement 1

American Heart Association Inc

<b>Name and address</b>	Belmont County Fire and Squad Officers Association 69604 Sunset Heights Bridgeport, OH 43912	300,829	0
<b>EIN</b>	34-1416410		
<b>IRC code section</b>			
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Defibrillators and Monitors		
<b>Name and address</b>	St Rose Dominican Health Foundation 102 E Lake Mead Parkway Henderson, NV 89015	30,000	0
<b>EIN</b>	88-0349432		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Post-Stroke Outcome Study		
<b>Name and address</b>	Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106	61,408	0
<b>EIN</b>	34-1018992		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Heart Failure Protocols and Educational Content Study		
<b>Name and address</b>	Alliance for a Healthier Generation 1200 NW Naito Parkway Portland, OR 97209	13,003,819	0
<b>EIN</b>	27-2028308		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Prevention of Childhood Obesity		
<b>Name and address</b>	Tobacco-Free Kids Action Fund 1400 I Street NW Suite 1200 Washington, DC 20005	250,000	0
<b>EIN</b>	52-1974904		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Tobacco prevention cessation		
<b>Name and address</b>	University of Washington 1107 NE 45th Street Suite 505 Seattle, WA 98105	500,000	0
<b>EIN</b>	91-6001537		
<b>IRC code section</b>	501c3		
<b>Method of valuation</b>			
<b>Description of non-cash assistance</b>			
<b>Purpose of grant</b>	Resuscitation Outcomes Consortium Coordinating Center		





**SCHEDULE J**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 23.

► Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047

**2010**

**Open to Public  
Inspection**

American Heart Association Inc

Employer identification number

13-5613797

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |   |
|--|---|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use          |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence          |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input checked="" type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)          |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain . . . . .

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? . . . . .

**3** Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee              | <input checked="" type="checkbox"/> Written employment contract                     |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input type="checkbox"/> Form 990 of other organizations                | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment from the organization or a related organization? . . . . .
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? . . . . .
- c** Participate in, or receive payment from, an equity-based compensation arrangement? . . . . .
- If "Yes" to any of lines 4a–c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5–9.**

**5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? . . . . .
- b** Any related organization? . . . . .
- If "Yes" to line 5a or 5b, describe in Part III.

**6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? . . . . .
- b** Any related organization? . . . . .
- If "Yes" to line 6a or 6b, describe in Part III.

**7** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III . . . . .

**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III . . . . .

**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? . . . . .

Yes No

1b

✓

2

✓

4a

✓

4b

✓

4c

✓

5a

✓

5b

✓

6a

✓

6b

✓

7

✓

8

✓

9

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)–(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)–(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1	Nancy A Brown	(i) 564,956	23,000	14,573	79,835	13,179	695,543	0
		(ii) 0	0	0	0	0	0	0
2	Sunder Joshi	(i) 330,306	15,000	2,943	46,480	9,654	404,383	0
		(ii) 0	0	0	0	0	0	0
3	David W Livingston	(i) 266,898	7,500	1,580	37,311	7,170	320,459	0
		(ii) 0	0	0	0	0	0	0
4	Gordon McCullough	(i) 377,446	0	20,808	34,300	11,695	444,249	0
		(ii) 0	0	0	0	0	0	0
5	Rose Marie Robertson	(i) 397,024	10,000	1,488	47,550	8,533	464,595	0
		(ii) 0	0	0	0	0	0	0
6	Meighan Vafa	(i) 323,611	10,000	6,526	45,572	750	386,459	0
		(ii) 0	0	0	0	0	0	0
7	Leslie Upton	(i) 263,243	6,500	3,572	37,267	5,278	315,860	0
		(ii) 0	0	0	0	0	0	0
8	Michael Weamer	(i) 423,870	0	27,907	34,256	14,730	500,763	0
		(ii) 0	0	0	0	0	0	0
9	John Brennan	(i) 161,636	0	420,960	18,833	6,963	608,392	0
		(ii) 0	0	0	0	0	0	0
10	Roman Bowser	(i) 355,606	0	19,703	33,792	5,277	414,378	0
		(ii) 0	0	0	0	0	0	0
11	Kevin Harker	(i) 320,884	0	6,594	46,080	13,298	386,856	0
		(ii) 0	0	0	0	0	0	0
12	David Markiewicz	(i) 334,028	18,038	29,895	46,768	14,730	443,459	0
		(ii) 0	0	0	0	0	0	0
13	Midge Epstein	(i) 298,877	3,120	5,220	42,857	13,761	363,835	0
		(ii) 0	0	0	0	0	0	0
14	Sandra Hijikata	(i) 283,631	0	3,129	41,134	5,277	333,171	0
		(ii) 0	0	0	0	0	0	0
15	John Meiners	(i) 298,809	32,156	28,280	34,382	9,645	403,272	0
		(ii) 0	0	0	0	0	0	0
16	Nicole Sapio	(i) 224,214	8,299	52,827	31,020	9,930	326,290	0
		(ii) 0	0	0	0	0	0	0

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Schedule J, Part I, Line 1a - Health Club Dues: To encourage good health practices, American Heart Association (AHA) makes available a membership to a local fitness center to senior management. Of the officers and key employees listed, the following participate in the program - Nancy Brown, Sunder Joshi, Leslie Upton, Meighan Vafa, Roman Bowser, Robyn Landry, Matthew Bannister, and Mark Schoeberl. The benefits are treated as taxable income.

Schedule J, Part I, Line 4 - John Brennan retired as EVP of the Greater Southeast Affiliate in 2010. As a result, Mr. Brennan received a \$351,875 payment for his service to the organization having met certain conditions per agreement. Nonqualified Retirement Plan: AHA provides a 457f Retirement Restoration Plan to certain members of senior management. While AHA employees are generally eligible to participate in the qualified retirement plan and the 403b plan, contributions by AHA to the qualified retirement plan and the 403b plan are capped pursuant to IRS regulations. Under the Retirement Restoration Plan, AHA is allowed to make contributions based on the amount a participant would have been allowed to make if the retirement contributions by AHA were not capped. The Retirement Restoration Plan seeks to make whole, upon a specified vesting date, those participants whose compensation is such that the allowable qualified retirement contribution is capped during their service to AHA. Once a participant is vested, the restoration plan balance (that accumulated over many years and includes gains/losses from the market) is paid out to the participant in a lump sum. After the participant has passed his or her vesting date, any contribution that would have been made to the restoration plan is paid to the employee at the end of the year in a lump sum. The payment is considered earned income with applicable taxes withheld. If the employee leaves AHA prior to reaching his or her vesting date, the account balance is forfeited. During the calendar year, some eligible participants in AHA's Retirement Restoration Plan reached their vesting date or had previously reached their vesting date and received lump sum payments from the Plan. Previously vested, Gordon McCullough received \$19,320. Michael Weamer received \$25,514, Roman Bowser received \$15,932 and Michael Wilson received \$1,291.

Schedule J, Part I, Line 5 - The senior management of AHA participates in an Incentive Plan designed to establish a consistent reward plan for the unified organization and to create a sense of shared responsibility in AHA's success and to focus priorities. The Incentive Plan is designed as part of the total cash compensation provided to the senior executives. The total cash compensation has been determined as reasonable by the Compensation Committee and outside independent compensation consultants. The Incentive Plan focuses on four broad criteria, which have qualitative and quantitative aspects - mission or strategic plan goals, affiliate-specific revenue goals, association-wide revenue goals, and talent management goals. Awards opportunities under the Incentive Plan range from 0% to 30% of base salary.

Schedule J, Part II - General Disclosures regarding compensation: The compensation reported for Mark Taubman and Roberto Bolli represents honoraria fees for services as editor of AHA scientific journals for calendar year 2010. In addition, other reportable compensation for David Markewicz, John Meiners, Matthew Bannister, and Nicole Sapio includes relocation payments totaling \$130,423.

► **Attach to Form 990 to list additional information for Schedule J (Form 990), Part II.**

OMB No. 1545-0047

2010

### Open to Public Inspection

Name of the organization

**American Heart Association Inc**

Employer identification number

13

5613797

**Part I** Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (Schedule J, Part II)

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
Jeremy Beauchamp	(i)	198,154	10,596	1,288	24,393	13,903	248,334	0
	(ii)	0	0	0	0	0	0	0
Matthew Bannister	(i)	220,906	5,800	37,261	24,233	14,730	302,930	0
	(ii)	0	0	0	0	0	0	0
Michael L Wilson	(i)	251,054	6,500	2,779	24,410	13,646	298,389	0
	(ii)	0	0	0	0	0	0	0
Kathleen Rogers	(i)	251,243	6,500	1,521	35,575	13,646	308,485	0
	(ii)	0	0	0	0	0	0	0
Mark Schoeberl	(i)	228,649	10,000	2,780	27,839	14,730	283,998	0
	(ii)	0	0	0	0	0	0	0
Joanne McLaughlin	(i)	234,645	0	2,160	32,778	1,604	271,187	0
	(ii)	0	0	0	0	0	0	0
Roberto Bolli	(i)	96,200	0	0	0	0	96,200	0
	(ii)	0	0	0	0	0	0	0
Mark B Taubman MD FAHA	(i)	17,930	0	0	0	0	17,930	0
	(ii)	0	0	0	0	0	0	0
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

**SCHEDULE M**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

American Heart Association Inc

**Noncash Contributions**

► Complete if the organizations answered "Yes" on Form  
990, Part IV, lines 29 or 30.  
► Attach to Form 990.

OMB No. 1545-0047

**2010**

**Open To Public  
Inspection**

Employer identification number

13-5613797

**Part I** **Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art . . . . .	✓	977	360,944	Fair market value
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .	✓		7,798	Fair market value
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .	✓	720	522,225	Fair market value
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .	✓	213	2,834,642	Fair market value
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .	✓	1	1,006,470	Fair market value
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .				
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .	✓	1133	391,361	Fair market value
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ► (Personal property . . . . .)	✓	6546	1,475,796	Fair market value
26 Other ► (Recreation/Travel . . . . .)	✓	5553	3,851,405	Fair market value
27 Other ► (Ad Council advert . . . . .)	✓	1	50,244,972	Fair market value
28 Other ► (Miscellaneous . . . . .)	✓	9065	6,677,246	Fair market value

29	Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . .	29	1
----	--	----	---

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1–28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .		✓
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? . . . . .	✓	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .	✓	
b If "Yes," describe in Part II.		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

**Part II** **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Schedule M, Part I, Line 32b - The Association receives the proceeds from the sale of donated vehicles that are received and processed by Insurance Auto Auction.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

**2010**

**Open to Public  
Inspection**

Name of the organization

American Heart Association Inc

Employer identification number

13-5613797

Form 990, Part III - 2010-11 marked the first full fiscal year of progress towards the American Heart Association's 2020 Health Impact Goal: To improve the cardiovascular health of all Americans by 20 percent while reducing deaths from cardiovascular diseases and stroke by 20 percent. We've established baseline numbers for measuring progress and are already seeing progress - stroke will soon fall to the No. 4 cause of death in America - the first such decline in 50 years. The American Heart Association's programs fall into four categories: Research Support, Public Education, Professional Education and Community Programs.

Form 990, Part III, Line 4a - Research Support: The American Heart Association funds research at the national and affiliate (regional) levels. Since becoming a voluntary health organization in 1948, we have spent more than \$3.4 billion on projects that explore the prevention, detection and treatment of heart disease and stroke. Grants support projects, fellowships and investigatorships for research training or career development for a specific period. During the past year, the association funded 949 new awards, totaling about \$110,886,151.

Form 990, Part III, Line 4b - Public Education: Informing all Americans about ways to reduce their risk of heart disease and stroke is one of the most important objectives of the American Heart Association. In 2010-11, the association's Public Education efforts provided millions of people important information about cardiovascular health. Programs like Go Red For Women and Power To End Stroke help us reach specific audiences with important health messages. Other initiatives are helping encourage more physical activity. Go Red For Women encouraged women to "Make it their Mission" to fight heart disease, with 1.8 million engaged supporters. A new class of "Go Red Women" was selected from a national casting call, and the third NBC television special reached more than 100 local markets. Go Red Por Tu Corazón, Go Red's sister campaign for Hispanic women, has grown tremendously, with support from Univision.com and the Latin Academy of Recording Arts & Sciences. Power To End Stroke continues to raise awareness among African-Americans. In October 2010, the Most Powerful Voices competition grew to a 13-city gospel tour. The competition also encouraged people to register for Power To End Stroke and to learn about stroke warning signs. It culminated with the 5th Annual Power Awards at the Apollo Theater. Power To End Stroke is still growing, with 665,000 registered and more than 28,000 Power Ambassadors. To promote physical activity, the American Heart Association joined Nintendo to host a summit examining how active-play video games can motivate healthy changes. We've also identified more than 5,000 walking paths nationwide and introduced a free smartphone Walking Paths app to help people find places to walk. Diversity: Reaching and serving diverse audiences is a priority for the American Heart Association. In July, the association hosted the Health Equity Action Summit to collaborate with key thought leaders in support of our strategic plan. Our approach is based on three pillars: increasing cultural competence, implementing targeted minority initiatives and eliminating health disparities. We were also invited to participate in the World Health Organization's First Global Ministerial Conference in Moscow, which gave us the opportunity to help shape the global response to non-communicable diseases. Consumer Publications: In 2010-11, the American Heart Association and Random House released two new editions of our popular cookbooks: "The New American Heart Association Cookbook" and "Quick & Easy Meals." Customer Service: The association strives to anticipate, understand, meet and exceed our customers' needs and expectations. In 2010-11, the multi-channel national service center served more than 732,000 customers. Customer care specialists answered 271,092 toll-free telephone calls, made 40,837 outbound "thank-you" calls, and helped 56,238 customers use the CPR locator. We also handled 155,788 data entry, business reply cards, e-mails, letters, product fulfillment and cards for consumer health initiatives. The association's consumer website, heart.org, received more than 43 million unique visitors throughout the year, generating more than 57 million page views. Communications: The American Heart Association worked with national and local news media outlets to present the latest news on cardiovascular disease and stroke to the public. In 2010-11, media reports from the American Heart Association generated more than 35 billion media impressions. This includes media coverage for cause initiatives, scientific journal articles, scientific meetings, health communications, stroke, health disparities and cultural health and media advocacy. Health Education Initiatives: Heart360 is helping people stay in tune with their hearts by connecting more healthcare providers and patients. The online portal is being used in the AHA hypertension initiative in association with Duke University to improve blood pressure control in a community setting. My Life Check continues to close the gap between heart-health perception and the reality. More than 165,000 people have taken the health assessment, and almost 560,000 have visited the site. To help the association accomplish its 2020 goal, the AHA adopted a Vision for Volunteerism to help engage new volunteers, empower local boards and encourage further volunteer and staff partnerships. In addition, the association launched My Heart.My Life, an initiative designed to change the way Americans think about food and physical activity. It will include community teaching kitchens, worksite cooking demonstrations, playgrounds and school teaching gardens.



## Supplemental Information (Continued)

Form 990, Part III, Line 4c - Professional Education: Research is most useful when its results are made available to scientists and healthcare professionals. To make this happen, the American Heart Association provided continuing education to nearly 677,000 healthcare professionals in 2010-11, an increase of nearly 278,000 registrants from last year. Another important way that the American Heart Association educates healthcare professionals is through conferences. Overall attendance at all of our professional meetings grew 4 percent. Scientific Sessions, the world's largest gathering of scientists, healthcare providers and others concerned about cardiovascular disease, was held in November 2010 and saw attendance gains of 9 percent. The International Stroke Conference, held in February 2011, had a record-breaking 4,200 attendees. Scientific Journals: The American Heart Association reports the latest in cardiovascular research through its 11 scientific and medical journals, which have approximately 135,000 subscribers. Emergency Cardiovascular Care: For more than 40 years, the American Heart Association has pioneered the development of CPR training and published science-based resuscitation guidelines. In October 2010, ECC published its updated guidelines, which recommended that chest compressions be the first step of CPR. This change was named as a "Top 5 Medical Breakthrough" by Time Magazine. Quality Improvement: The cornerstone of the association's quality improvement efforts is Get With The Guidelines®, which helps hospitals consistently care for cardiac and stroke patients following evidence-based guidelines and recommendations. At the end of FY 2010-11, more than 1,929 hospitals were implementing the program. We introduced The Guideline Advantage (formerly Get With The Guidelines-Outpatient) along with the American Cancer Society and American Diabetes Association to improve quality care in the outpatient setting. We also launched Get With The Guidelines-Resuscitation to improve patient outcomes following in-hospital cardiac arrest. About 400 hospitals and about 570 community-based STEMI systems are now registered with Mission: Lifeline, representing coverage of more than 58 percent of the U.S. population.

Form 990, Part III, Line 4d - Community Services: The American Heart Association provides community services at the local, state and national levels, most significantly through public advocacy. Public Advocacy: In 2010-11, the American Heart Association has made prevention the cornerstone of our public policy agenda. We successfully advocated for passage of the historic Child Nutrition Act, a law that increases funding to improve nutrition standards for government-supported school meal programs and establishes strong nutrition standards. You're the Cure advocates sent nearly 25,000 messages to Congress to support standards proposed by the U.S. Department of Agriculture to require more fruits, vegetables and whole grains in school meals and limit sodium, fats and calories. And more than 330 advocates helped further our efforts to advance prevention policies at You're the Cure on the Hill, our federal Lobby Day event. In State Advocacy, the association successfully coordinated stroke systems of care, and Arkansas, New Jersey and Rhode Island, and created stroke registries using Get With The Guidelines-Stroke. In addition, Illinois, Kentucky, Rhode Island and Washington passed laws establishing primary stroke center certification programs. Maryland established a STEMI hospital certification program consistent with our Mission: Lifeline criteria, and created a statewide STEMI registry.

Form 990, Part VI, Section A, Line 4 - In December 2010, the Board approved an amendment to the Certificate of Incorporation to specify further methods by which the AHA can meet its stated purpose, which is the study of and acquisition, dissemination and application of knowledge of heart disease and stroke. Specifically, the Articles have been revised to include (1) education and training of medical professionals and the general public; (2) delivery of services to educate, rehabilitate, and promote the quality of life of patients; (3) the evaluation of dispensary and institutional care facilities based on published science-based criteria; and (4) the use of any available technology, including the internet and emerging technology that is useful to accomplish our purpose. In addition, the Commercial Endorsements section of the Articles was revised to reflect that the Board may permit AHA approval or endorsement of CVD/stroke accreditation programs for hospitals aimed at improving the quality of healthcare. Finally, the Use of AHA Names and Servicemarks section was revised to allow the use of AHA or ASA names, accreditation marks and accreditation statements in materials of hospitals which meet AHA/ASA CVD/stroke accreditation standards for hospitals and are approved by AHA.

Form 990, Part VI, Section B, Line 11a - Form 990 Review Process: In early November, management distributed a draft of the Form 990 to the Audit Committee appointed by the American Heart Association's Board of Directors. The Audit Committee members reviewed the draft. Management updated the draft based on feedback from the Audit Committee members. Prior to finalization of the return, a final draft of Form 990 was provided to all members of the Board of Directors. The form distributed to the Board of Directors reflects the return ultimately filed with the Internal Revenue Service.



## Supplemental Information (Continued)

Form 990, Part VI, Section B, Line 12c - Conflict of Interest Policy Monitoring: The American Heart Association (AHA) has established a Conflict of Interest policy which has been reviewed and approved by the Board of Directors. The policy is binding on all volunteers, staff and components of AHA. A Conflict of Interest questionnaire, which includes the Conflict of Interest Policy, and Standards and Ethics Policy, is required to be completed by all AHA Board of Directors members; committee, subcommittee, task force, writing group members and designated staff; AHA spokespersons upon their appointment; and to Officers and journal editors prior to their election or appointment. After the initial completion of the Conflict of Interest Disclosure Questionnaire, volunteers and designated staff are requested to update it whenever material changes occur in their AHA role, employment or other relationship identified as relevant on the Disclosure Questionnaire. AHA has identified the following areas in its policy to be potential conflicts of interest: direct or indirect interest in, or relationship with, any individual or organization that proposes to enter into any transaction with AHA; the sale, purchase, lease or rental of any property or other asset; employment, or rendition of services, personal or otherwise; the award of any grant, contract, or subcontract; or the investment or deposit of any funds of AHA.

Form 990, Part VI, Section B, Line 14 - Document Retention and Destruction Policy: The Association has a written records retention and destruction policy. The policy has been in effect for many years, but as of June 30, 2011, had not been officially adopted by the governing board. A consent item requesting approval of the retention policy will be submitted to the Board of Directors in February, 2012.

Form 990, Part VI, Section B, Line 15 - Compensation Review: AHA's Board of Directors appoints a Compensation Committee to provide recommendations regarding compensation related matters within the organization. The Compensation Committee is responsible for reviewing and providing recommendations for the Chief Executive Officer's (CEO) compensation to the Officers of the Board of Directors. The Officers of the Board of Directors review and make final recommendations on the Chief Executive Officer's compensation to the Board of Directors for final approval. The Compensation Committee is comprised of members who are considered independent of management pursuant to AHA's Conflict of Interest Policy. The Compensation Committee engages an outside independent consultant to provide external benchmarking with respect to compensation levels and provision of benefits. The Compensation Committee's outside independent consultant provides information with respect to the appropriateness of the CEO's compensation as compared to the external benchmarking as well as the methodology in developing current compensation. Several surveys were utilized in developing the comparison including surveys from various compensation consulting firms. Additionally, the outside independent consultant provided a reasonableness opinion in order to insure that AHA complies with the Intermediate Sanction & Rebuttable Presumption policy. For purposes of the 2010-11 fiscal year, the compensation review of the CEO by the Compensation Committee was last completed in October 2010. Key factors that are considered by the Compensation Committee with respect to compensation are as follows: compensation philosophy, experience and qualifications of the candidate, market competitiveness, and compensation requirements and history of the candidate. Components of compensation that are routinely reviewed by the Compensation Committee include base salary, short-term incentive opportunity, retirement, benefits and perquisites.

Form 990, Part VI, Section C, Line 19 - Availability of Documents to the Public: The American Heart Association (AHA) makes available the three most recent years of audited financial statements, three most recent years of the Form 990 and the Conflict of Interest policy on AHA's internet website, [www.heart.org](http://www.heart.org). The AHA does not make its governing documents available to the general public.

Form 990, Part IX, Line 6 - Compensation to disqualified persons represents honoraria fees paid to former board members Mark Taubman and Roberto Bolli for services as editor of AHA scientific journals. Editors are approved upon review by the Scientific Publishing Committee and the Conflict of Interest Review Committee, and an actively serving board member cannot serve as an editor or associate editor.

Form 990, Part XI, Line 5 - Other Changes in Net Assets include net unrealized gains in investments of \$33,558,705 and Postretirement Adjustment (FAS 158) of \$7,904,487.

Other Program Services Accomplishments

Activity Code	Description	Expense	Grants	Revenue
	See Program Accomplishments disclosure in Schedule O, referencing Form 990, Part III, Line 4d	11,770,201	3,884,949	23,777,108
Total:		11,770,201	3,884,949	23,777,108

**Schedule O, Statement 2**

Form: 990

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Line Number: Part VI Section C Line 17

**American Heart Association Inc****13-5613797****States Where Copy Of Return Is Filed****States**

AK

AL

AR

AZ

CA

CT

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GA

HI

IL

IN

KS

KY

LA

MA

MD

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